

# Lecture 2: Signatures, Backgrounds and Overview of Experimental Techniques

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### **Content**

#### • WIMP signatures

time dependance of the rate

directional dependance

spectral dependance on the mass of the DM and on the target mass

#### • Backgrounds

background sources

background discrimination

neutrino backgrounds

the neutrino fog

- Experimental techniques : overview
- The direct detection landscape

# Expected would a WAMP signatures like?

• So far: we have seen that the recoil rate is energy dependent due to ๏ the kinematics of elastic WIMP-nucleus scattering How would a WIMP signal look like?  $\epsilon$ inatics of elastic vvilvir -flucieus scattering

 $\circ$  in combination with the WIMP velocity distribution compination with the wilvi<del>r</del> velocity distribution

- However: due to the motion of the Earth with respect to the Galactic rest frame, the recoil rate is: er: due to the motion of the Earth with respect to the Galactic rest frame, the recoil rat
	- ๏ *time and direction dependent* **Figure and diffection dependent** (\* 3 % effects constant of the set o
- We will now look at the time and directional effects • **Diurnal direction modulation** (larger effect, requires low-pressure gas target)



## Time dependance: introduction

- The Earth's orbit about the Sun leads to a time dependance, specifically an annual modulation, in the differential event rate:
	- ๏ the Earth's speed with respect to the Galactic rest frame is largest in summer when the components of the Earth's orbital velocity in the direction of solar motion is largest
	- ๏ therefore the number of WIMPs with high (low) speeds in the detector rest frame is largest (smallest) in summer
	- ๏ consequently, the differential event rate has an annual modulation, with an expected peak in summer and a minimum in winter



Drukier, Freese, Spergel, PRD 33,1986

### Time dependance of the signal

• Since the Earth's orbital speed is significantly smaller than the Sun's circular speed, the amplitude of the modulation is small ( $v_E/v_c \sim 0.07$ ) and the differential event rate can be written to a first approximation as:

$$
\frac{dR}{dE_R}(E_R, t) \simeq \frac{dR}{dE_R}(E_R) \left[1 + \Delta(E_R) \cos \frac{2\pi (t - t_0)}{T}\right]
$$



• where  $T = 1$  year, and  $t_0 = 150$  days



FIG. 7. Modulation of dark-matter signal in the detector due to the motion of the Earth around the Sun. Expected count rate/(averaged count rate) is plotted for different months of the year. This modulation effect can be used to enhance background subtraction.

#### Annual modulation  $\mathbf{s}$ amplitude of the former is  $\mathbf{f}$

• The speed distribution, f(v), and the differential signal in a detector *depend on the halo model* d the differential signal in a detector depend on the halo model  $\mathcal{F}_{\mathcal{A}}$  is the SHM, taking volume  $\mathcal{F}_{\mathcal{A}}$  is the SHM, taking volume volume volume volume variables  $\mathcal{F}_{\mathcal{A}}$ a the differential signal in a detector o Savage, Freese, and Gondolo (2006) and McCabe (2010). end on the halo model

a factor of at least O $\alpha$  at least O $\alpha$ 

• Here two cases: the SHM, and the extreme case of a stream (modelled after the Sagittarius stream, and roughly orthogonal to the galactic plane with speed  $\sim$  350 km/s) rd dasc or a stream prioached arter the which the  $\alpha$  is the  $\alpha$  as  $\alpha$  as  $\alpha$  $g$ ittarius sti $\epsilon$ arif, and I the extreme case of a stream (modelled after the Sagittarius stream, and matter is likely comprised of both a virialized and an unvictic plane with speed  $\sim$  350 km/s)  $\,$ which the Eaglilando Shoam, and

In the remainder of this section, we examine the modula-section, we examine the modula-section, we examine the modula-section,  $\mathcal{L} = \mathcal{L}$ 

speed has an analytical form, presented in Appendix B and in

distribution described by Eq. (14) or (14) or (14) or (17), the mean inverse mean inverse mean inverse mean in



### Annual modulation

#### DAMA/LIBRA: SciPost Phys. Proc. 12, 025 (2023)

- Observed in DAMA/LIBRA (13.7-sigma; 250 kg NaI, 2.86 tons-year, 22 annual cycles)
- Origin of the modulation is still unclear



0.06 Residuals (cpd/kg/keV) DAMA/LIBRA-phase1 (1.04 ton×yr) DAMA/LIBRA-phase2 (1.53 ton×yr)  $0.04$  $0.02$  $\circ$  $-0.02$  $-0.04$  $-0.06$ 4000 5000 6000 7000 8000 9000 Time (day)

 $2-6~keV$ 

Amplitude: ~ (0.0116 ± 0.0013) events/(kg keV d)

 $T = 0.99834 \pm 0.00067$  yr, t<sub>0</sub> = 142.4±4.2 day (t<sub>0</sub> = 152.5 day  $\equiv$  June 2nd)

### Annual modulation

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## Annual modulation

• Problem: muon rate also modulates with the season

• Amplitude & phase can not explain DAMA/LIBRA however

 $2.93 \pm 0.04$  muons/crystal/day,  $12.3 \pm 1.7\%$  modulation amplitude



muon modulation at South Pole, R. Maruyama, UCLA DM 2023



Muon rate variation at LNGS: Amplitude: ~ 0.015; T = 1 year,  $\phi$  = July 15 $\pm$ 15 days

#### Annual modulation: an analysis issue?

https://www.nature.com/articles/s41598-023-31688-4

- Problem: a modulation can be induced by the data analysis method (the observed annual modulation can be reproduced by a slowly varying time-dependent background)
- However the obtained modulation phase is almost opposite to that of the DAMA/LIBRA data



### A global effort to solve the DAMA/LIBRA mystery



## Directional dependance of the signal

- The Earth's motion with respected to the Galactic rest frame produces a direction dependance of the recoil spectrum
- The peak WIMP flux comes from the direction of the solar motion, which points towards the constellation Cygnus
- Assuming a smooth WIMP distribution, the recoil rate is then peaked in the opposite direction
- In the laboratory frame, this direction varies over the course of a sidereal day due to the Earth's rotation
- This effect can provide a robust signature for a Galactic origin of a WIMP signal



#### Directional dependance of the signal 100 GeV has been assumed (from Ref. 12).



Fig. 2. (left) The daily rotation of the Earth introduces a modulation in recoil angle, as measured<br>in the *±*90 directions frame (right) Magnitude of this daily modulation for seven *zah-fixed directions* m the laboratory frame. (right) magnitude of this darly inodulation for seven lab-fixed directions,<br>specified as angles with respect to the Earth's equatorial plane. The solid line corresponds to zero<br>downed and the dotte degrees, and the dotted, dashed, and dash-dot lines correspond to  $\pm 18^\circ$ ,  $\pm 54^\circ$  and  $\pm 90^\circ$ , with rig. 2. (left) The daily rotation of the Earth introduces a modulation in recoil angle, as measured<br>in the laboratory frame. (right) Magnitude of this daily modulation for seven lab-fixed directions, degrees, and the dotted, dashed, and dash-dot lines correspond to  $\pm 18^\circ$ ,  $\pm 54^\circ$  and  $\pm 90^\circ$ , with<br>negative angles falling above the zero degree line and positive angles below. The  $+90^\circ$  directions are co-aligned with the Earth's rotation axis and therefore exhibit no daily modulation. This negative angles falling above the zero degree line and positive angles below. The  $\pm 90^{\circ}$  directions calculation assumes a WIMP mass of 100 GeV and  $CS<sub>2</sub>$  target gas. (from Ref. 13).

## Directional dependance of the signal

- The number of nuclear recoils along a particular direction in the laboratory frame will thus change over the course of a day
- For the standard halo model, the direction dependance is given by:



## Summary: Signal Characteristics of a WIMP

- A2 dependence of rates
- coherence loss (for  $q \sim \mu v \sim 1/r_n \sim 200$  MeV)
- relative rates, for instance in Ge/Si, Ar/Xe,...
- dependance on WIMP mass
- time & directional dependence





(Standard halo model with  $p = 0.3$  GeV/cm<sup>3</sup>)

# Signal and Backgrounds



# Quenching Factor and Discrimination

- WIMPs, neutrons, neutrinos: scatter off nuclei
- LDM, background sources (γ, e-), neutrinos: scatter off electrons
- Detectors have a different response to NRs than to ERs
- Quenching factor (QF) = describes the difference in the amount of visible energy ( $E_{vis}$ ) in a detector for these two classes of events
	- ๏ keVee = measured signal from an electron recoil
	- $\bullet$  keV<sub>r</sub> = measured signal from a nuclear recoil
- For nuclear recoil events:

 $E_{vis}(\text{keV}_{ee}) = QF \times E_R(\text{keV}_r)$ 

• The two energy scales are calibrated with gamma & beta  $(3H, 57Co, 133Ba, 137Cs, 60Co, 220Rn,$ etc) and neutron (AmBe, 252Cf, n-generators, etc) sources

# Quenching Factor and Discrimination

• The quenching factor allows to distinguish between electron and nuclear recoils if two simultaneous detection mechanisms are used



## Backgrounds in DM Detectors: Overview

- ▸ Muon-induced neutrons: NRs
- ▸ Cosmogenic activation of materials/targets (3H, 32Si, 60Co, 39Ar): ERs
- ▸ Radioactivity of detector materials (n, γ, α, e- ): NRs and ERs
- ▸ Target intrinsic isotopes (85Kr, 222Rn, 136Xe, 39Ar, etc): ERs
- ▸ Neutrinos (solar, atmospheric, DSNB): NRs and ERs





## Backgrounds from cosmic rays

- Cosmic rays and secondary/tertiary particles: go underground
- Hadronic component (n, p): reduced by few meter water equivalent (m w. e.)



Flux of cosmic ray secondaries and tertiary-produced neutrons in a typical Pb shield vs shielding depth Gerd Heusser, 1995



## Backgrounds from cosmic rays

- Most problematic: muons and muon induced neutrons
	- ๏ go deep underground, several laboratories, worldwide





compiled by: R. Gaitskell

# Backgrounds from cosmic rays

Aldo Ianni, SciPost Phys. Proc. 12, 007 (2023) ·

• Overview of underground laboratories



## Backgrounds from radioactivity

- Radioactivity of surroundings
- Radioactivity of detector and shield materials

- Remember: activity of a source
- Do you know?

$$
A = \frac{dN}{dt} = -\lambda N
$$

*N = number of radioactive nuclei* λ *= decay constant, T1/2 = ln2/*λ*=ln2* τ *[A] = Bq = 1 decay/s (1Ci = 3.7 x 1010 decays/s = A [1g pure 226Ra])*

- 1. how much radioactivity (in Bq) is in your body? where from?
- 1. 4000 Bq from <sup>14</sup>C, 4000 Bq from <sup>40</sup>K (e<sup>-</sup> + 400 1.4 MeV  $\gamma$  + 8000  $v_e$ )
- 2. how many radon atoms escape per 1 m2 of ground, per s?
- 2. 7000 atoms/m2 s
- 3. how many plutonium atoms you find in 1 kg of soil?
- 3. 10 millions (transmutation of 238U by fast CR neutrons), soil: 1 3 mg U per kg

## Backgrounds from radioactivity

- External, natural radioactivity: <sup>238</sup>U, <sup>238</sup>Th, <sup>40</sup>K decays in rock and concrete walls of the laboratory  $\Rightarrow$  mostly gammas and neutrons from (a,n) and fission reactions
- Radon decays in air:
	- ๏ passive shields: Pb against the gammas, polyethylene/water against neutrons
	- ๏ active shields: large water Cherenkov detectors or scintillators for gammas and neutrons





purge for Rn **o** Example for an active shields

# Backgrounds from radioactivity

#### • Internal radioactivity:

Tuesday, April 16, 13

- <sup>238</sup>U, <sup>238</sup>Th, <sup>40</sup>K, <sup>137</sup>Cs, <sup>60</sup>CQ, <sup>39</sup>Ar, <sup>85</sup>Kr, ... decays in the detector materials, target medium Low-Radioactivity R11410-21 for XENON11<sup>T</sup>, ... decays in the detector materials, target medium and shields
- Ultra-pure Ge spectrometers (as well as other methods) are used to screen the materials before using them in a detector, down to parts-per-billion (ppb) (or lower) levels



## Cosmogenic backgrounds

• Activation of detector and other materials during production and transportation at the Earth's surface



#### LB et al., Eur. Phys. J. C75 2015





## Cosmogenic backgrounds

production

in Ge after

surface and

1 yr storage

- Activation of detector and other materials during production and transportation at the Earth's surface. A precise calculation requires:
	- ๏ cosmic ray spectrum (varies with geomagnetic latitude)
	- ๏ cross section for the production of isotopes (only few are directly measured)
- production is dominated by (n,x) reactions (95%) and (p,x) reactions (5%)



## Neutron backgrounds

- MeV neutrons can mimic WIMPs by elastically scattering from the target nuclei
- the rates of neutrons from detector materials and rock are calculated taking into account the exact material composition, the α energies and cross sections for (α,n) and fission reactions and the measured U/Th contents



Codes: SOURCES4a, TALYS1.9, Geant4

## Neutron backgrounds

#### • Comparison among different codes

Vitaly A. Kudryavtsev, et al., SciPost Phys. Proc. 12, 018 (2023)



Material

### Neutrons: how can we distinguish them from WIMPs?

- ๏ mean free path of few cm (neutrons) versus 1010 m (WIMP)
- $\circledcirc$  if n-capture  $\Rightarrow$  distinctive signature (can be tagged with dedicated neutron vetoes)
- ๏ material dependence of differential recoil spectrum
- ๏ time dependence of WIMP signal (if n-background is measured to be constant in time)



#### Neutrino backgrounds

• Neutrino sources for DM detectors: solar, atmospheric, DSNB





from a 6 GeV*/*c<sup>2</sup> WIMP with a SI cross section on the nucleon of 4*.*<sup>4</sup> ⇥ <sup>10</sup><sup>45</sup> cm<sup>2</sup> (black solid line) and the <sup>8</sup>B neutrino event

### Neutrino backgrounds

• Interactions: neutrino-electron and neutrino-nucleus scatters



#### Solar neutrinos

• 8B neutrinos: NRs (CEvNS), ERs (elastic scattering)



B. Dutta, E. Strigari, Annu. Rev. Nucl. Part. Sci. 2019

#### Solar neutrinos



Table 1 The characteristic values of the flux scales [50], their relative uncertainties, the maximum neutrino energies, and the MSW-LMA  $v_e$ survival probability [51] used in this study



$$
\frac{dR}{dT} = N_e \int \frac{d\Phi}{dE_\nu} \left( P_{ee} \frac{d\sigma_e}{dT} + (1 - P_{ee}) \frac{d\sigma_{\nu,\tau}}{dT} \right) dE_\nu
$$

$$
\frac{d\Phi}{dE_{\nu}} = \Phi_{i}(Q_{i} + m_{e} - E_{\nu})[(Q_{i} + m_{e} - E_{\nu})^{2} - m_{e}^{2}]^{\frac{1}{2}}E_{\nu}^{2}
$$
\nFlux scale  
\n
$$
\sum_{i=1}^{n} \Phi_{i}(Q_{i} + m_{e} - E_{\nu})
$$
\n
$$
\sum_{i=1}^{n} \Phi_{i}(Q_{i} + m_{e} - E_{\nu})[(Q_{i} + m_{e} - E_{\nu})^{2} - m_{e}^{2}]^{\frac{1}{2}}E_{\nu}^{2}
$$

### Solar neutrino electron scattering

- Already starting to dominate the low-energy ER background in liquid xenon detectors
- In LXe:  $\sim$  365 events/(t y) from pp v and 140 events/(t y) from 7Be ν



calibration and

 $340$ 

 $\frac{1}{360}$ 

 $\overline{380}$ 

 $\frac{1}{400}$ 

 $\frac{1}{420}$ 

GXe-only mode

 $1.8 \mu Bq/kg$ 

 $\overline{100}$ 

80

60

 $\overline{120}$ 

Time since 01 July 2021 [d]

#### XENON collaboration, PRL 129, 2022

0.8 µBq/kg

Radon Removal System:

GXe+LXe mode

 $0.8 \mu Bq/kg$ 







440

**XENON** Preliminary

### Remarks on CEvNS

๏ Proposed almost 50 years ago (Daniel Z. Freedman PRD 9, March 1974)

- ๏ Observed by COHERENT (CsI, LAr & Ge detectors), 43 y later, with ν's from πDAR
- **๏** Never observed on **xenon** & never observed using **wild neutrinos**
- ๏ For 8B solar neutrinos, the process is fully coherent (even for heavy nuclei)



#### Remarks on CEvNS

๏ Sources: solar 8B and hep ν's; core-collapse SN; DSNB and atmospheric ν's



## Solar neutrino-nucleus scattering

- 8B neutrinos dominate: serious background if the WIMP-nucleon cross section < 10-10 pb
- But: energy of nuclear recoils: <4 keV (heavy targets, Xe, I etc) to <30 keV in light targets (F, C)
- Non-8B neutrino backgrounds: impact on WIMP detectors at much lower WIMP-nucleon cross sections



## Solar neutrino-nucleus scattering

- In LXe: ~99% of events expected < 4 keV NR energy
- Expect:  $10^4$  events/(200 t y) for 2-fold S1 and 5  $n_e$  S2\*
- Background for light WIMPs





## Atmospheric neutrino-nucleus scattering

- Backgrounds for medium-heavy WIMPs
- But, exposures > few 100 t y are needed for 5-σ detection



Newstead, Lang, Strigari, PRD 104, 2021

#### The neutrino floor  $\rightarrow$  fog

**DarkSide**



Credit Ciaran O'Hare

There is no hard *v* floor

The effect of astrophysical *v* backgrounds: gradual, hence the "neutrino fog"

Shown here is the *v* fog for a Xe target: as a blue contour map

At contour n: obtaining a 10 times lower cross section sensitivity requires an increase in exposure of at least 10n

### The neutrino floor  $\rightarrow$  fog

- Here shown for nuclear recoils (ν floor as boundary to "ν fog")
- Region where experiments leave the Poissonian regime\*





 $10<sup>3</sup>$ 

 $10^4$ 

\* σ where the DM discovery limit scales as ∼ (*Mt*) −1/*n*

## The neutrino floor  $\rightarrow$  fog

- Here shown for electronics recoils (ν floor as boundary to "ν fog")
- Region where experiments leave the Poissonian regime\*



#### The "fog" for Si and Xe targets, for 2 mediators

\* σ where the DM discovery limit scales as  $\sim (Mt)^{-1/n}$  43

X

**ER** 

### Overcoming the neutrino background

• Directional signature: Sun does not coincide with WIMP direction at any time **Directional signatures** ● Sun does not coincide with peak WIMP direction at any time



#### Overcoming the neutrino background

• The incoming direction of WIMPs and solar neutrinos differs: this can be exploited to overcome the solar "neutrino fog"



#### **Directional Recoil Detection**

#### Sven E. Vahsen,<sup>1</sup> Ciaran A. J. O'Hare,<sup>2</sup> and Dinesh Loomba<sup>3</sup>

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<sup>2</sup>ARC Centre of Excellence for Dark Matter Particle Physics, The University of Sydney, School of Physics, NSW 2006, Australia; email: ciaran.ohare@sydney.edu.au <sup>3</sup>Department of Physics and Astronomy, University of New Mexico, NM 87131, USA, email: dloomba@unm edu

Annual Review of Nuclear and Particle Science 2021, 71:1-41

This article's doi: 10.1146/annurev-nucl-020821-035016

## Towards the neutrino fog

๏ General goal: quieter detectors, with ER and NR backgrounds below the rates from astrophysical neutrinos



## Direct Detection Techniques: Overview



#### Direct detection landscape



#### Direct detection landscape



Here scattering off nuclei

Snowmass, Cosmic Frontier Report, arXiv: 2211.0997849

## End of Lecture 2