

# Two surprises in axion and hidden photon physics

Martin Bauer

MB, Guillaume Rostagni, [hep-ph/2307.09516](#)

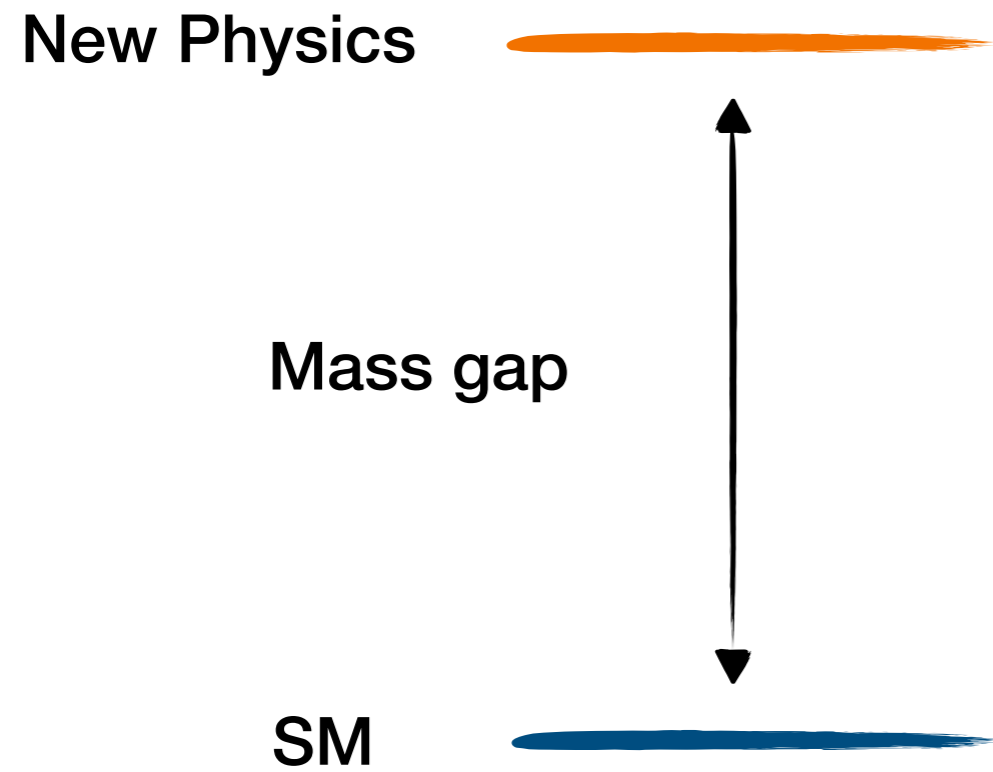
MB, Patrick Foldenauer, [Phys. Rev. Lett. 129, 171801 \(2022\)](#).



Padua, Sept 6, 2023

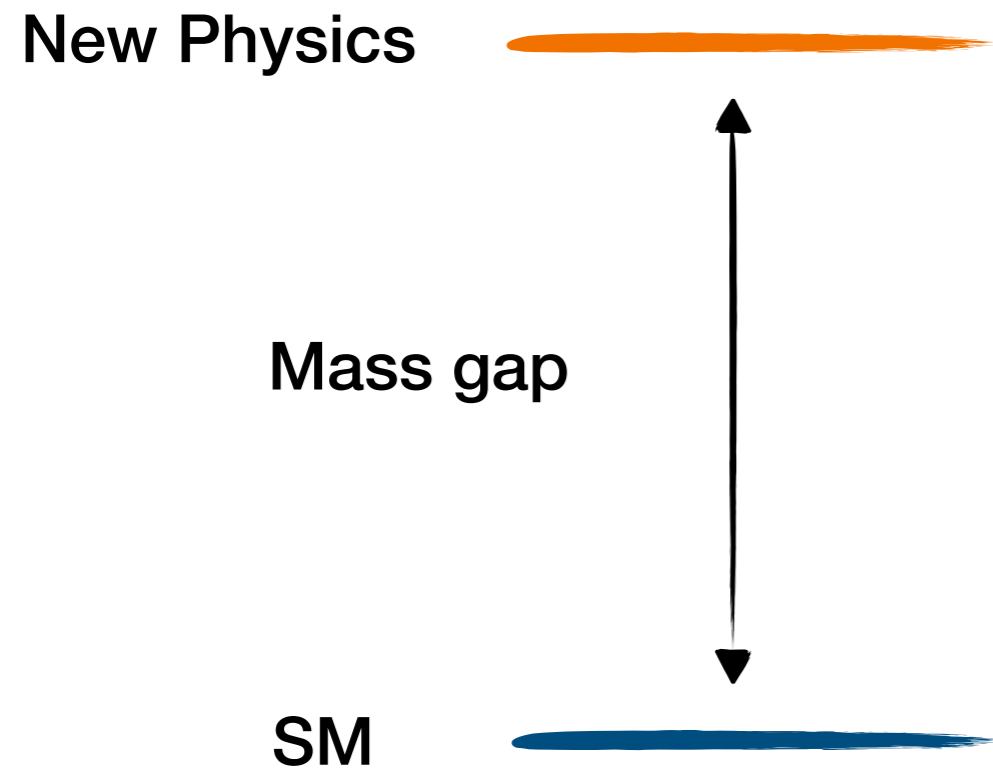


# Landscape of new physics

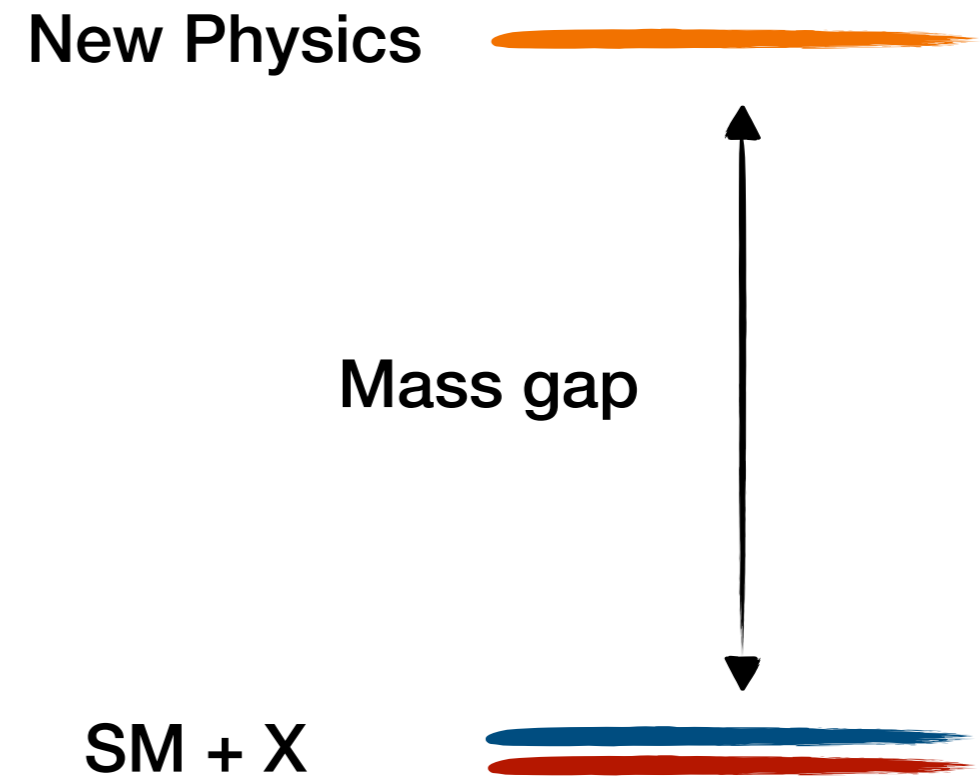


$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \mathcal{L}^5 + \dots$$

# Landscape of new physics



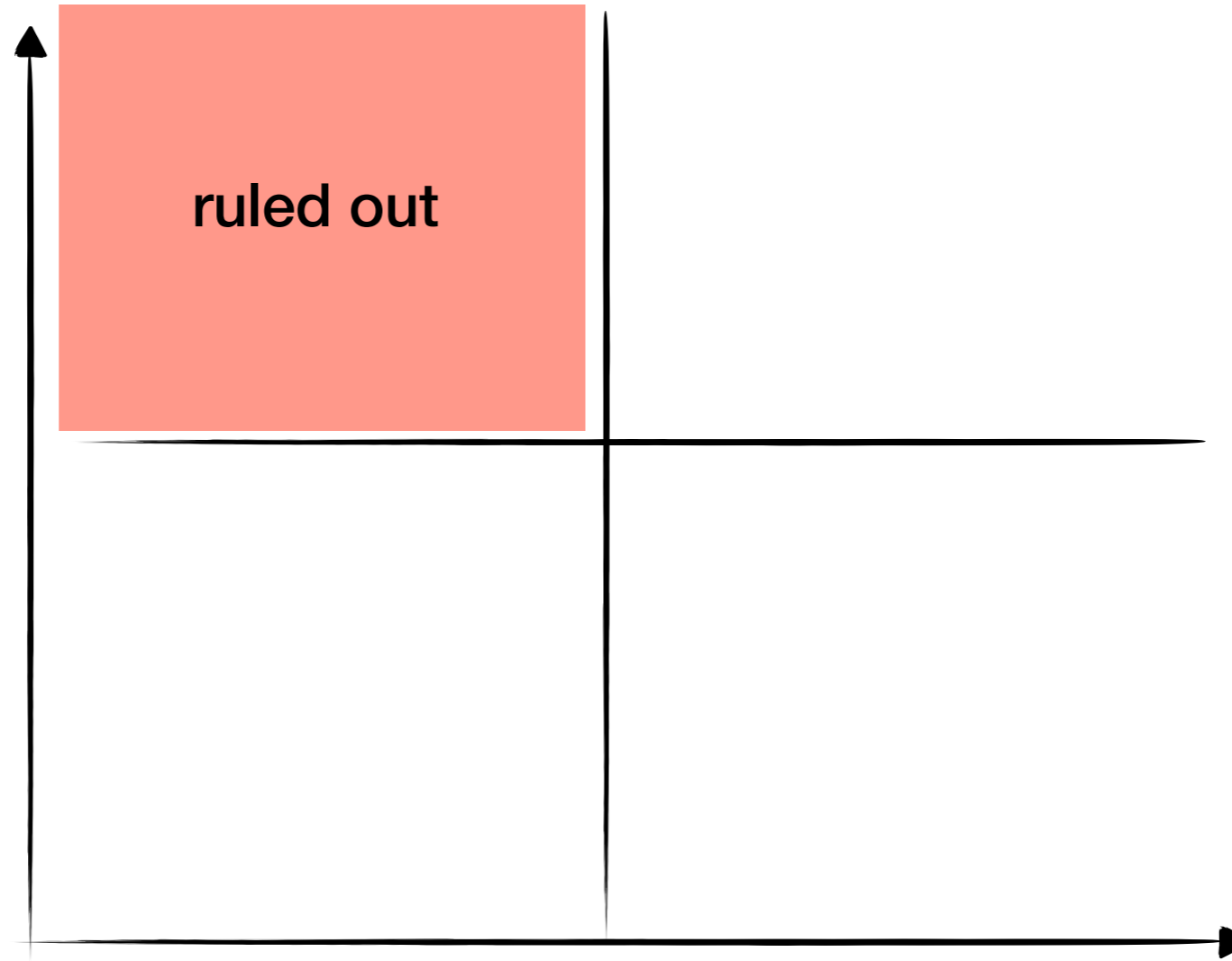
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$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \mathcal{L}_X + \dots$$

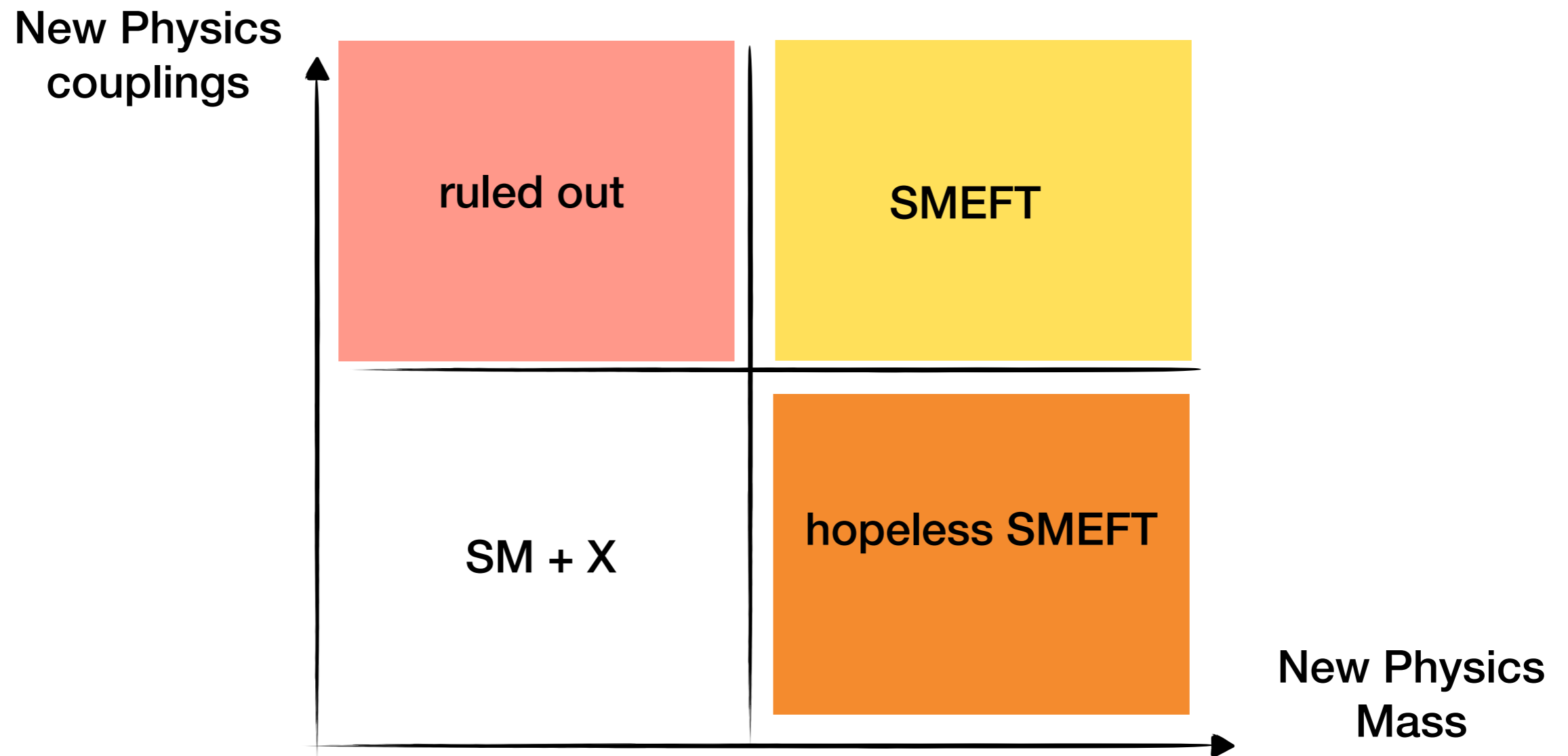
# Landscape of new physics

New Physics  
couplings



New Physics  
Mass

# Landscape of new physics



If these states are light they can be DM or mediators

But why should there be *any* new physics that is light and weakly coupled?

# Light new physics ?

## First example: Goldstone bosons

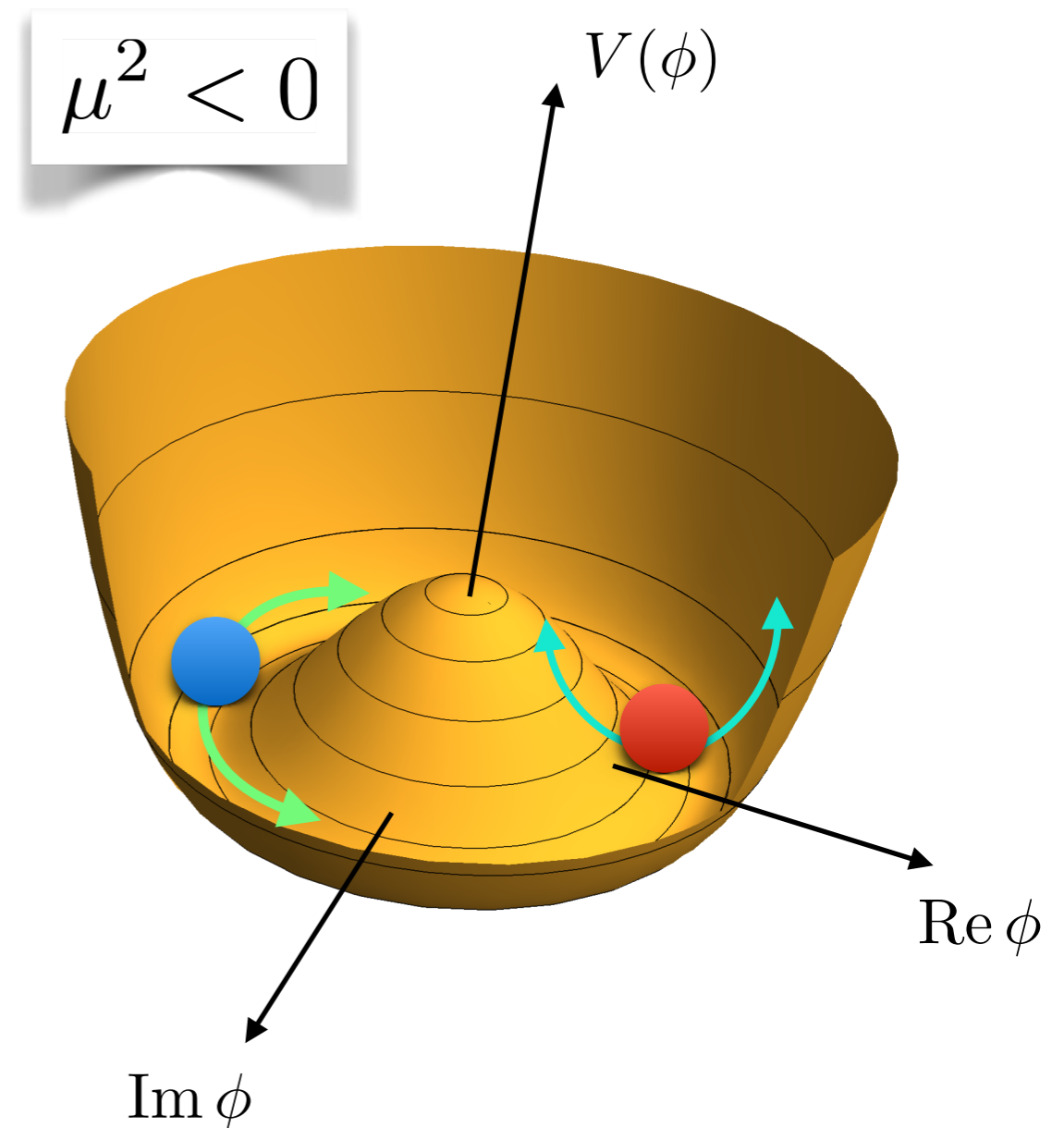
Every spontaneously broken continuous symmetry gives rise to massless spin-0 fields.

$$V(\phi) = \mu^2 \phi \phi^\dagger + \lambda (\phi \phi^\dagger)^2$$

$$\phi = (f + s)e^{ia/f}$$

$$m_s^2 = 4\lambda f^2 = |\mu^2|$$

$$m_a^2 = 0$$



# Goldstone bosons

Since the GB corresponds to the phase of a complex field, it is protected by a shift symmetry

$$\phi = (f + s)e^{ia/f}$$

it is protected by a shift symmetry

$$e^{ia(x)/f} \rightarrow e^{i(a(x)+c)/f} = e^{ia(x)/f} e^{ic/f}$$

This symmetry forbids a mass term, and all couplings are suppressed by the UV scale

$$\mathcal{L} = \frac{1}{2} \partial_\mu a \partial^\mu a + c_\mu \frac{\partial^\nu a}{4\pi f} \bar{\mu} \gamma_\nu \mu + \dots$$

# Goldstone bosons

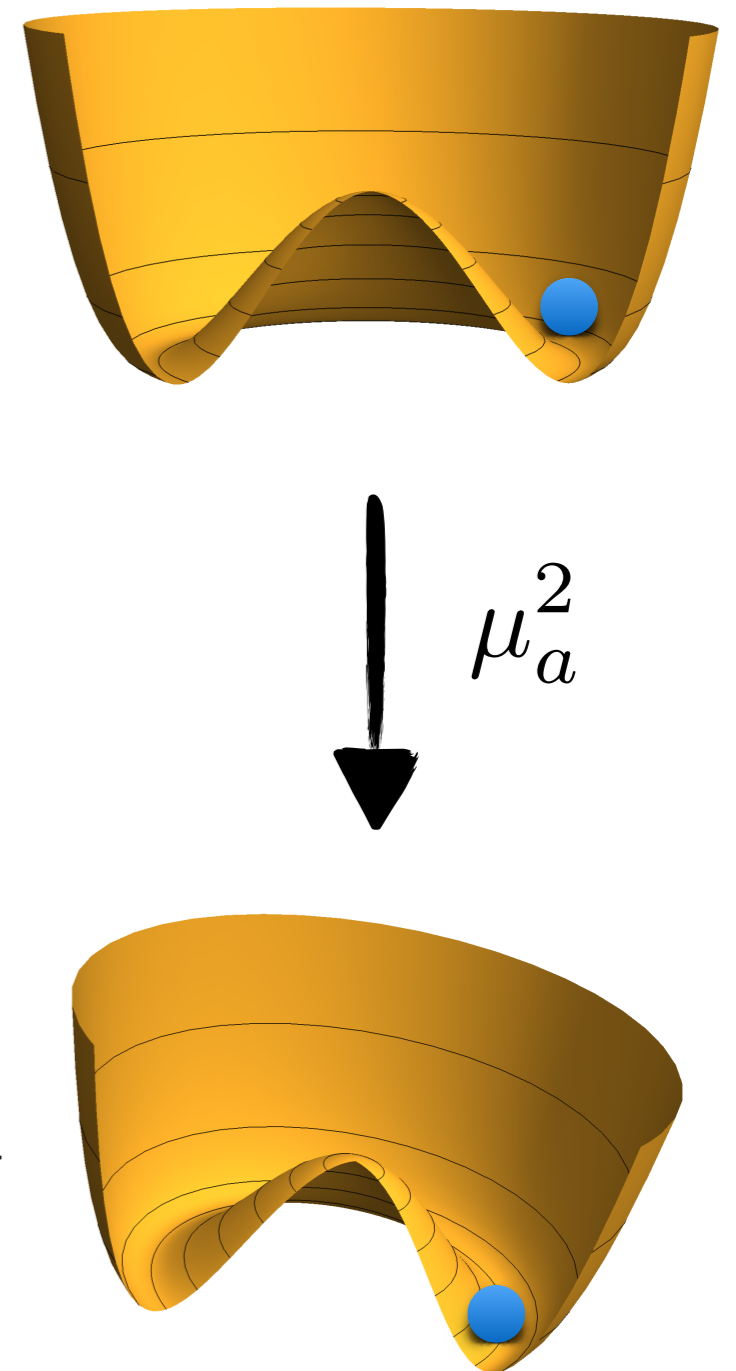
An exactly massless boson is very problematic.

The global symmetry can be broken by explicit masses or anomalous effects

$$\mathcal{L} = \frac{1}{2} \partial_\mu a \partial^\mu a + c_\mu \frac{\partial^\nu a}{4\pi f} \bar{\mu} \gamma_\nu \mu + \dots + \frac{1}{2} m_a^2 a^2$$

$$m_a = \frac{\mu_a^2}{f}$$

Small couplings correspond to small masses and a decoupled NP sector.





# Goldstone bosons



$\rho, P, N$

The most famous example is the pion

$$\mathcal{L}_{\text{QCD}} = \bar{q}_L i \not{D} q_L + \bar{q}_R i \not{D} q_R + m_q \bar{q}_L q_R$$

$$\langle \bar{q}_L q_R \rangle = \Lambda_{\text{QCD}}^3 \approx \text{GeV}^3$$

The pion mass is controlled by the explicit breaking through light quark masses

$$m_\pi^2 = \frac{m_u + m_d}{f_\pi^2} \Lambda_{\text{QCD}}^3 \approx (140 \text{ MeV})^2$$

$\pi$



# Goldstone bosons



The most famous example is the pion

NP at f

$$\mathcal{L}_{\text{QCD}} = \bar{q}_L i \not{D} q_L + \bar{q}_R i \not{D} q_R + m_q \bar{q}_L q_R$$

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The pion mass is controlled by the explicit breaking through light quark masses

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axion



# Axionlike particles

Most general dimension five Lagrangian at the UV scale

$$\begin{aligned} \mathcal{L}_{\text{eff}}^{D \leq 5} = & \frac{1}{2} (\partial_\mu a)(\partial^\mu a) - \frac{m_{a,0}^2}{2} a^2 + \frac{\partial^\mu a}{f} \sum_F \bar{\psi}_F \mathbf{c}_F \gamma_\mu \psi_F + c_\phi \frac{\partial^\mu a}{f} (\phi^\dagger i D_\mu \phi + \text{h.c.}) \\ & + c_{GG} \frac{\alpha_s}{4\pi} \frac{a}{f} G_{\mu\nu}^a \tilde{G}^{\mu\nu,a} + c_{WW} \frac{\alpha_2}{4\pi} \frac{a}{f} W_{\mu\nu}^A \tilde{W}^{\mu\nu,A} + c_{BB} \frac{\alpha_1}{4\pi} \frac{a}{f} B_{\mu\nu} \tilde{B}^{\mu\nu} . \end{aligned}$$

All couplings are suppressed by the UV scale  $f$

# Axionlike particles

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 \mathcal{L}_{\text{eff}}^{D \leq 5} = & \frac{1}{2} (\partial_\mu a)(\partial^\mu a) - \frac{m_{a,0}^2}{2} a^2 + \frac{\partial^\mu a}{f} \sum_F \bar{\psi}_F \mathbf{c}_F \gamma_\mu \psi_F + c_\phi \frac{\partial^\mu a}{f} (\phi^\dagger i D_\mu \phi + \text{h.c.}) \\
 & + c_{GG} \frac{\alpha_s}{4\pi} \frac{a}{f} G_{\mu\nu}^a \tilde{G}^{\mu\nu,a} + c_{WW} \frac{\alpha_2}{4\pi} \frac{a}{f} W_{\mu\nu}^A \tilde{W}^{\mu\nu,A} + c_{BB} \frac{\alpha_1}{4\pi} \frac{a}{f} B_{\mu\nu} \tilde{B}^{\mu\nu} .
 \end{aligned}$$

explicit mass term  $\rightarrow$   $\frac{m_{a,0}^2}{2} a^2$   
 couplings to fermions  $F=Q,u,d,L,e$   $\rightarrow$   $\frac{\partial^\mu a}{f} \sum_F \bar{\psi}_F \mathbf{c}_F \gamma_\mu \psi_F$   
 coupling to the Higgs current  $\rightarrow$   $c_\phi \frac{\partial^\mu a}{f} (\phi^\dagger i D_\mu \phi + \text{h.c.})$   
 coupling to gluons  $\rightarrow$   $c_{GG} \frac{\alpha_s}{4\pi} \frac{a}{f} G_{\mu\nu}^a \tilde{G}^{\mu\nu,a}$   
 coupling to  $SU(2)_L$  gauge bosons  $\rightarrow$   $c_{WW} \frac{\alpha_2}{4\pi} \frac{a}{f} W_{\mu\nu}^A \tilde{W}^{\mu\nu,A}$   
 coupling to hypercharge  $\rightarrow$   $c_{BB} \frac{\alpha_1}{4\pi} \frac{a}{f} B_{\mu\nu} \tilde{B}^{\mu\nu}$

All couplings are suppressed by the UV scale  $f$

# Axionlike particles

For the purpose of this talk I will focus on the fermion couplings

$$\mathcal{L}_{\text{eff}}^{D \leq 5} \supset \frac{\partial_\mu a}{2f} \sum_\psi c_\psi \bar{\psi} \gamma_\mu \gamma_5 \psi$$

The derivative coupling can be rewritten as a pseudoscalar coupling using the anomaly equation for the axial-vector current ( or the equations of motion)

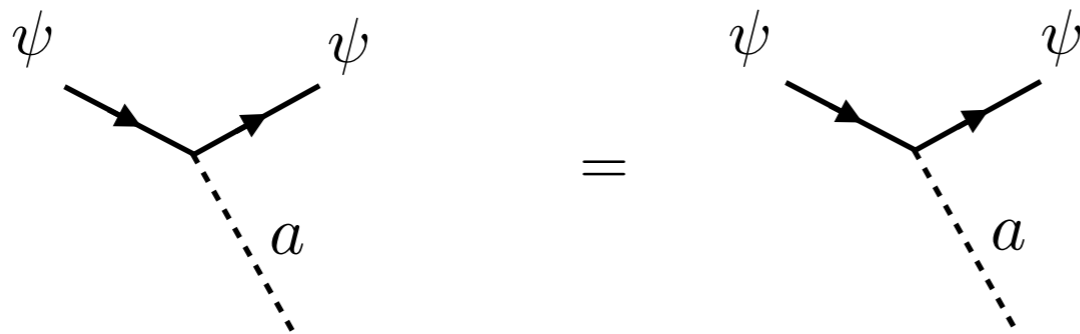
$$\frac{c_\psi}{2} \frac{\partial_\mu a}{f} \bar{\psi} \gamma_5 \gamma^\mu \psi = -c_\psi i m_\psi \frac{a}{f} \bar{\psi} \gamma_5 \psi + c_\psi \frac{\alpha Q_\psi^2}{4\pi} \frac{a}{f} F_{\mu\nu} \tilde{F}^{\mu\nu} .$$

# Axionlike particles

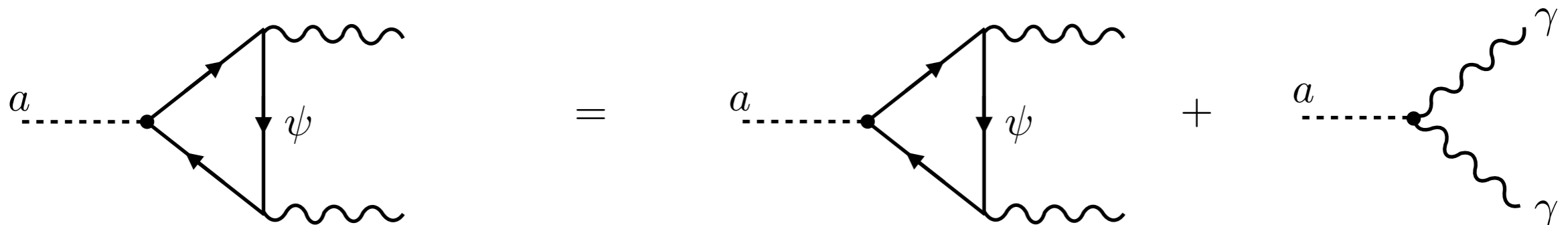
If you calculate axion production, these two bases are equivalent

$$\frac{c_\psi}{2} \frac{\partial_\mu a}{f} \bar{\psi} \gamma_5 \gamma^\mu \psi = -c_\psi i m_\psi \frac{a}{f} \bar{\psi} \gamma_5 \psi + c_\psi \frac{\alpha Q_\psi^2}{4\pi} \frac{a}{f} F_{\mu\nu} \tilde{F}^{\mu\nu} .$$

E.g. an axion radiated off an electron

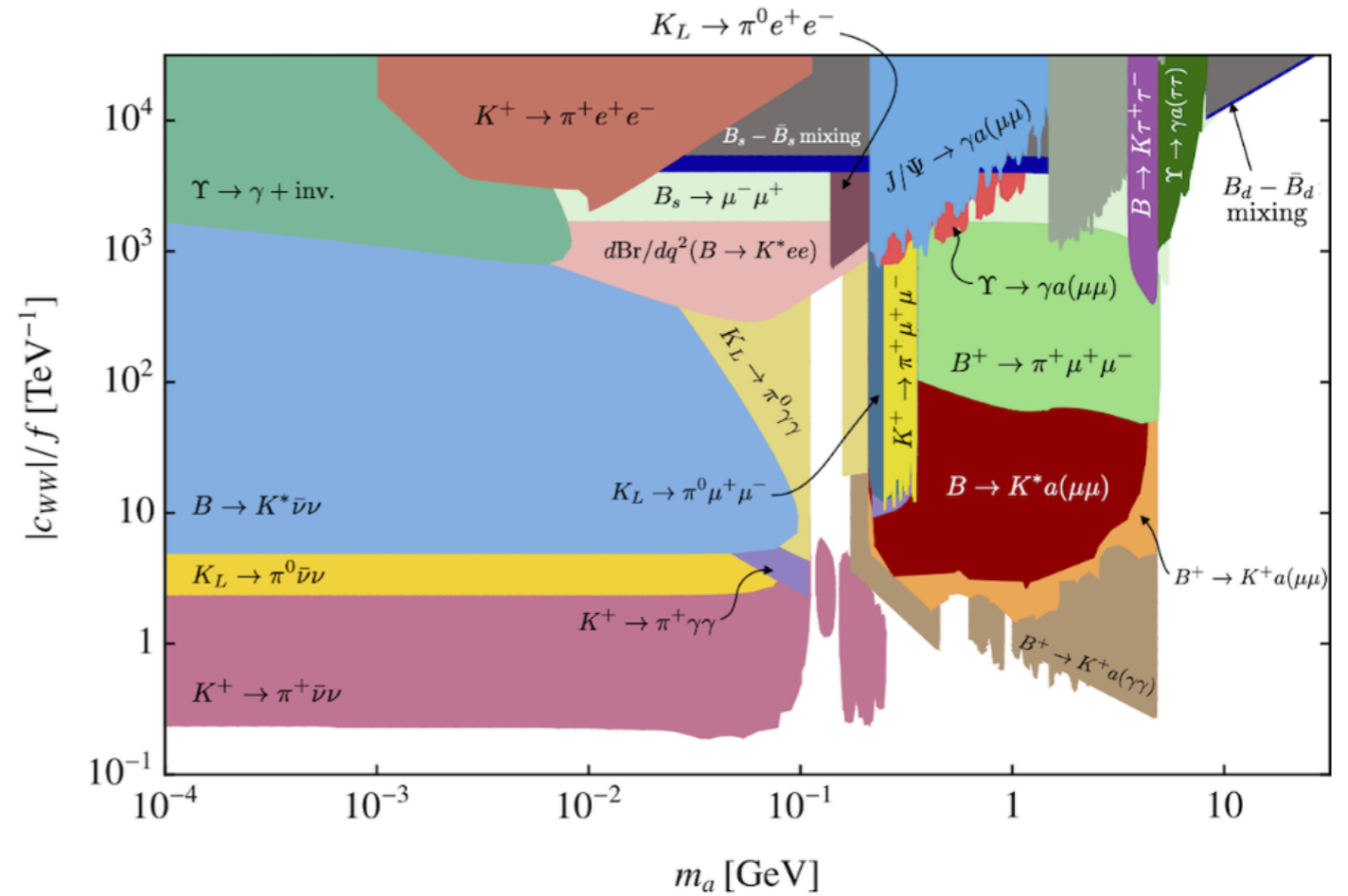
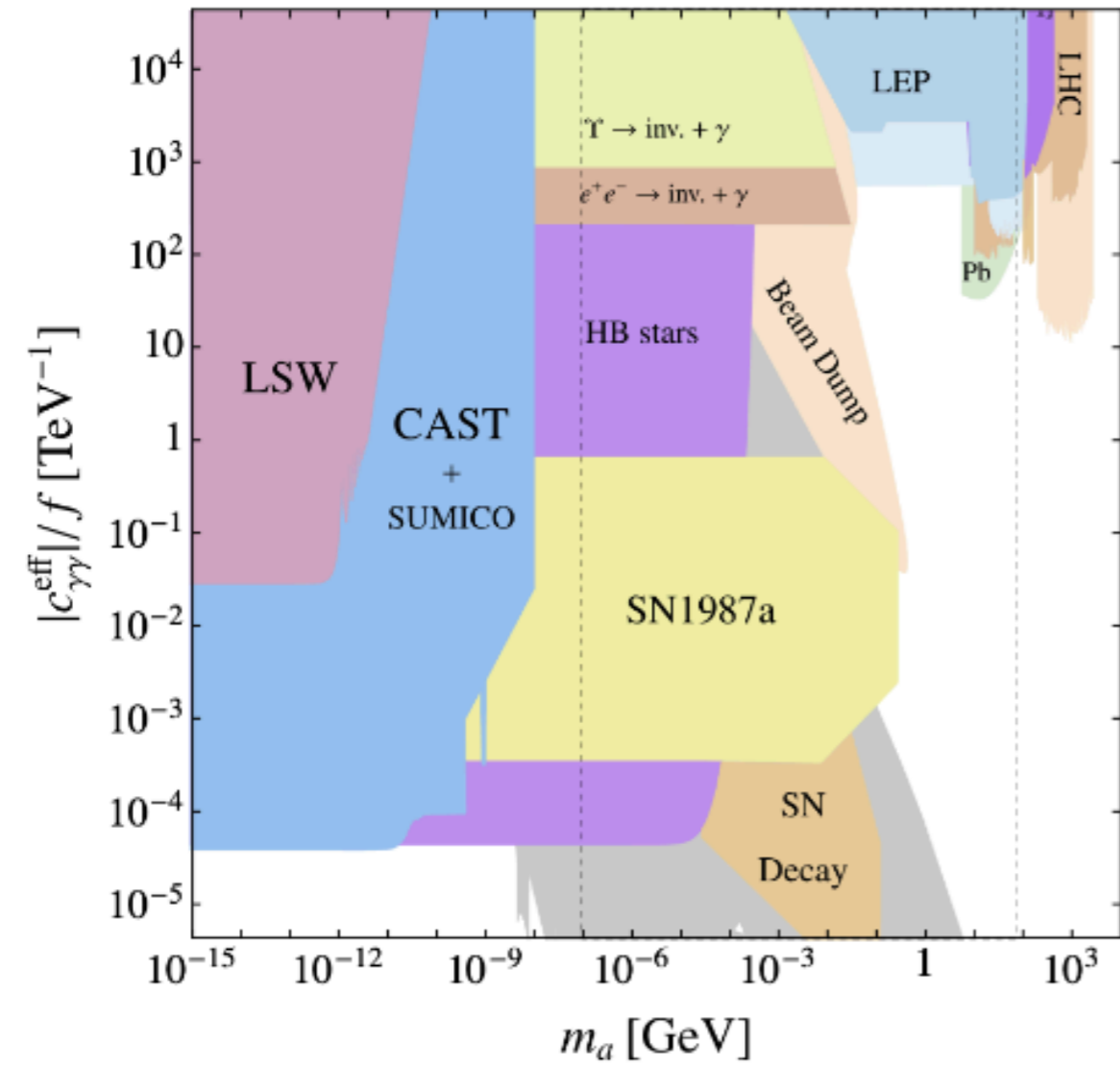


Same for the axion decay rate into photons



# Axionlike particles

There are many ways to search for axions...



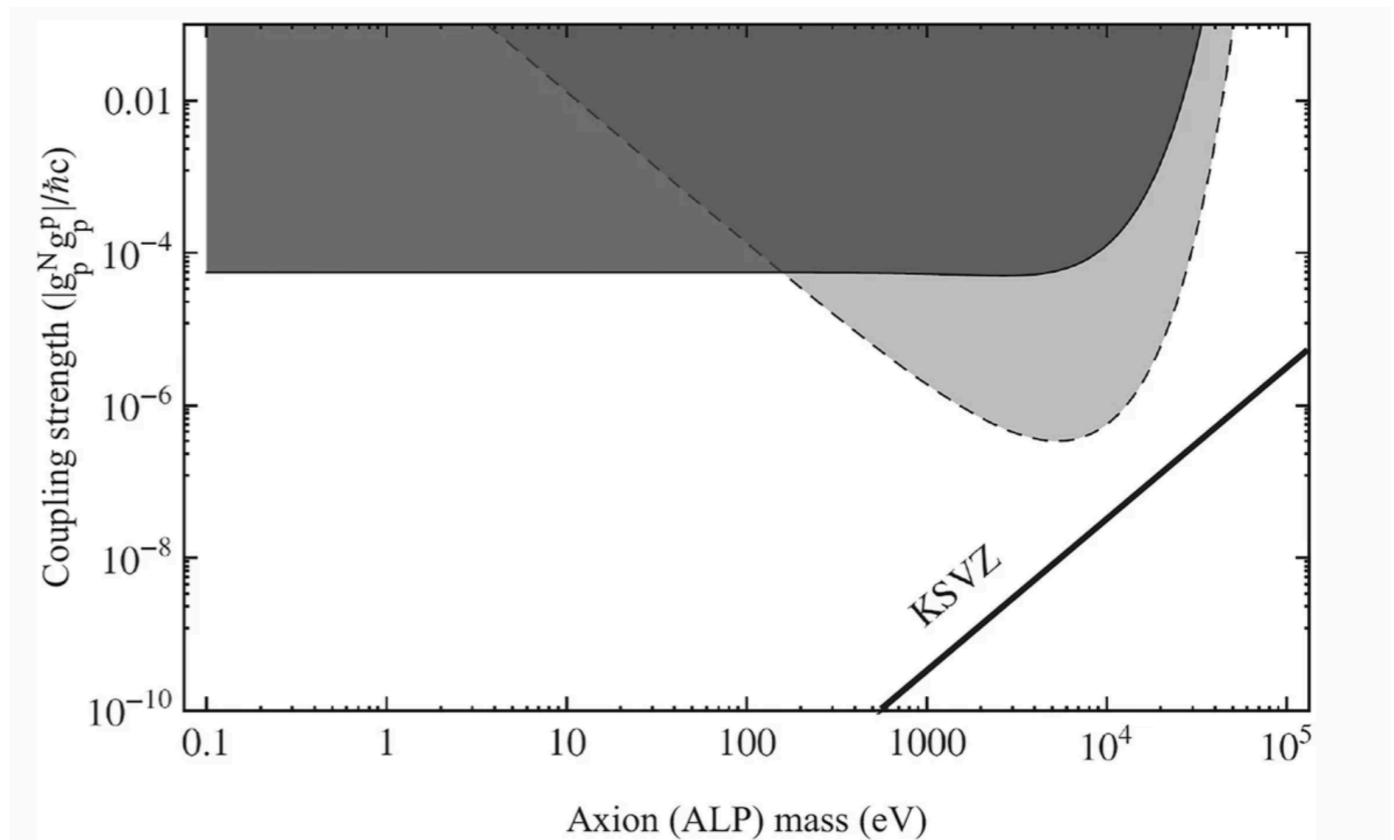
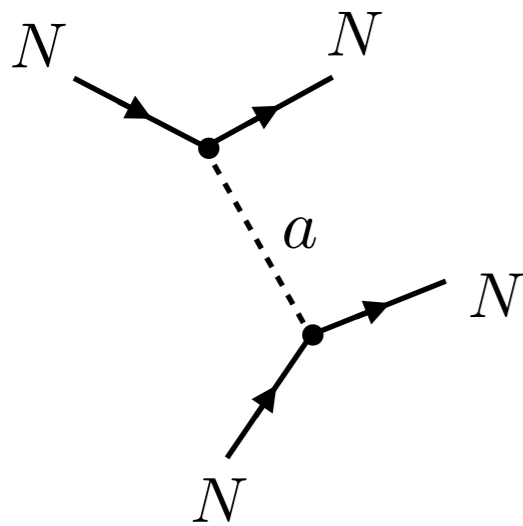
MB, Neubert, Renner, Schnubel, Thamm, *JHEP* 09 (2022) 056

# Axionlike particles

At very low energies, axion exchange induces a spin-dependent force

$$V(r) = \frac{g_P^1 g_P^2}{16\pi M_1 M_2} \left[ (\hat{\sigma}_1 \cdot \hat{\sigma}_r) \left[ \frac{m_\varphi}{r^2} + \frac{1}{r^3} + \frac{4\pi}{3} \delta^3(r) \right] - (\hat{\sigma}_1 \cdot \hat{r})(\hat{\sigma}_2 \cdot \hat{r}) \left[ \frac{m_\varphi^2}{r} + \frac{3m_\varphi}{r^2} + \frac{3}{r^3} \right] \right] e^{-m_\varphi r}$$

Constraints e.g. from hyperfine splitting corrections



J. E. Moody and F. Wilczek, Phys. Rev. D 30 (1984), 130

Ledbetter, Romalis, Kimball, Phys. Rev. Lett. **110**, 040402 (2013)



# Axionlike particles

If there is a theta angle the axion has a tiny scalar coupling as well

$$H_{\text{int}} = \frac{a}{F} \frac{m_u m_d}{m_u + m_d} \theta (\bar{u}u + \bar{d}d + \bar{s}s)$$

Any such force would depend on the size of the theta angle, which acts like a spurion for the shift symmetry

monopole<sup>2</sup>

$$V(r) = \frac{-g_S^1 g_S^2 e^{-m_\varphi r}}{4\pi r}$$

$$\sim (\theta/F)^2$$

monopole x dipole

$$V(r) = (g_S^1 g_P^2) \frac{\hat{\sigma}_2 \cdot \hat{r}}{8\pi M_2} \left[ \frac{m_\varphi}{r} + \frac{1}{r^2} \right] e^{-m_\varphi r}$$

$$\sim \theta/F^3$$

dipole<sup>2</sup>

$$V(r) = \frac{-g_P^1 g_P^2}{16\pi M_1 M_2} (\hat{\sigma}_1 \cdot \hat{r})(\hat{\sigma}_2 \cdot \hat{r}) \left[ \frac{m_\varphi^2}{r} \dots \right]$$

$$\sim 1/F^4$$

# Axionlike particles

This can have interesting effects in situations where the nuclear density is large, because finite density effects change the axion potential

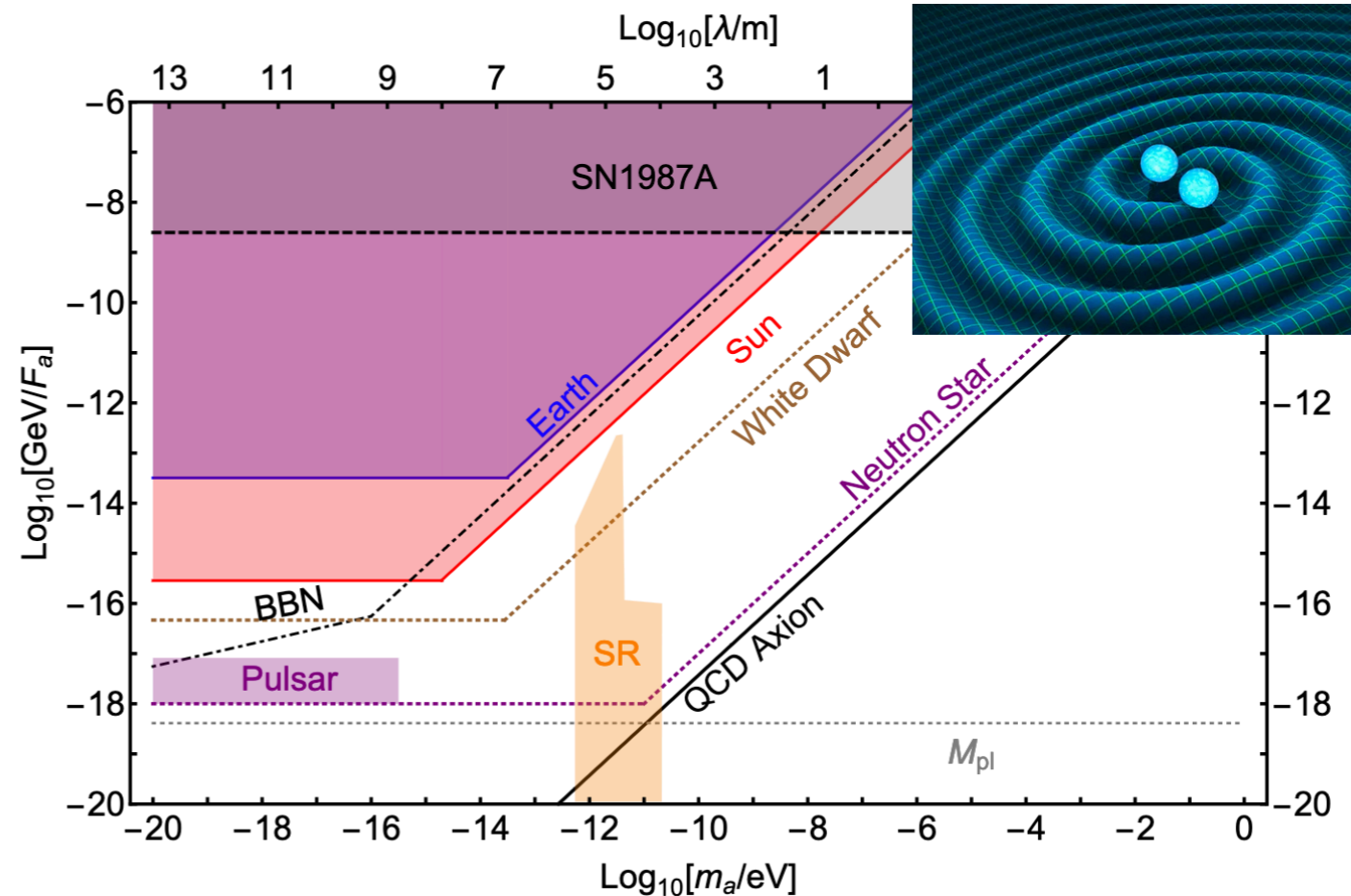
$$V = -m_\pi^2 f_\pi^2 \left\{ \left( \epsilon - \frac{\sigma_N n_N}{m_\pi^2 f_\pi^2} \right) \left| \cos \left( \frac{a}{2f_a} \right) \right| + \mathcal{O} \left( \left( \frac{\sigma_N n_N}{m_\pi^2 f_\pi^2} \right)^2 \right) \right\},$$

nucleon number density

and then one can have

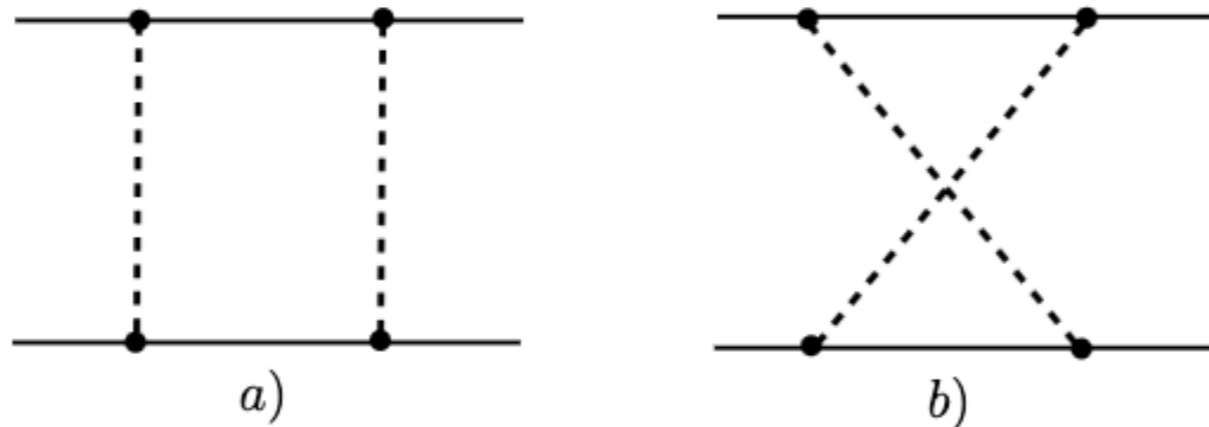
theta ~ pi

and a large force at small distances



# Axionlike particles

The axion also mediates spin-independent forces via 2-axion exchange that is completely theta independent

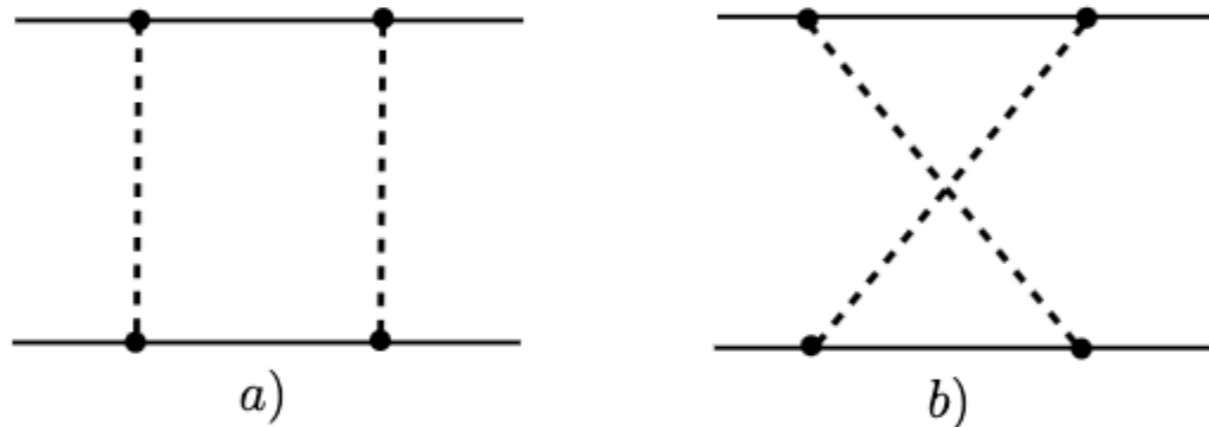


If you calculate the potential you find

$$V_{ab}(r) = -\frac{c_{\psi_1}^2 c_{\psi_2}^2 m_{\psi_1} m_{\psi_2}}{64\pi^3 f^4 r^3} \quad \text{for} \quad : -c_{\psi} i m_{\psi} \frac{a}{f} \bar{\psi} \gamma_5 \psi$$

# Axionlike particles

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$$V_{ab} = \frac{3c_{\psi_1}^2 c_{\psi_2}^2}{128\pi^3 f^4} \frac{1}{r^5} \quad \text{for} \quad \frac{c_{\psi}}{2} \frac{\partial_{\mu} a}{f} \bar{\psi} \gamma_5 \gamma^{\mu} \psi$$

This result can't possibly depend on the basis!

# Axionlike particles

The problem is that applying the equations of motion only accounts for a linear shift in the fermion fields. Since we have an  $1/f^4$  effect we need to shift up to quadratic order

$$\psi \rightarrow \exp\left(i\frac{a}{f}\right)\psi = i\frac{a}{f}\psi - \frac{a^2}{2f^2}\psi + \dots$$

EoM account only for this term

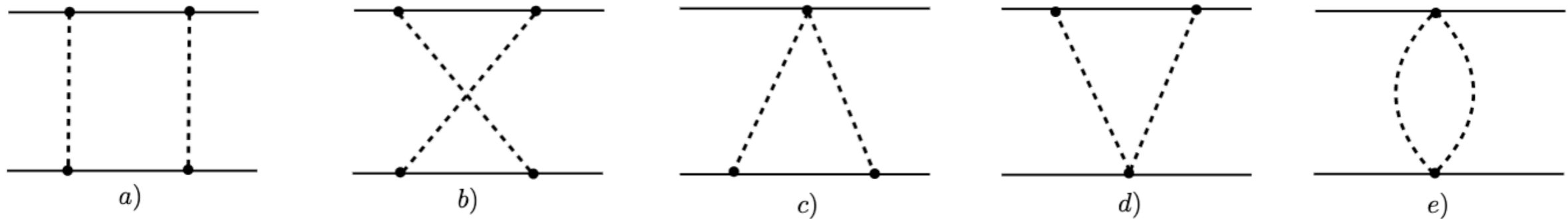


or in terms of the anomaly equation

$$\frac{c_\psi}{2} \frac{\partial_\mu a}{f} \bar{\psi} \gamma_5 \gamma^\mu \psi = -c_\psi i m_\psi \frac{a}{f} \bar{\psi} \gamma_5 \psi + c_\psi^2 m_\psi \frac{a^2}{f^2} \bar{\psi} \psi + c_\psi \frac{\alpha Q_\psi^2}{4\pi} \frac{a}{f} F_{\mu\nu} \tilde{F}^{\mu\nu} + \mathcal{O}\left(\frac{a^3}{f^3}\right)$$

# Axionlike particles

As a result the full calculation in the pseudoscalar basis has additional contributions

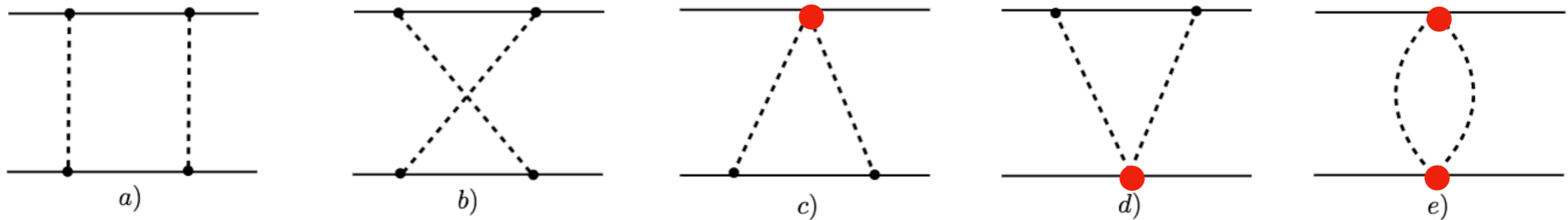


And the result agrees with the derivative basis

$$\begin{aligned}
 V(r) &= V_{ab}(r) + V_c(r) + V_d(r) + V_e(r) \\
 &= \frac{3c_{\psi_1}^2 c_{\psi_2}^2}{128\pi^3 f^4} \frac{1}{r^5} \left[ \left( x_a + \frac{x_a^3}{6} \right) K_1(x_a) + \frac{x_a^2}{2} K_0(x_a) \right] \\
 &= \frac{3c_{\psi_1}^2 c_{\psi_2}^2}{128\pi^3 f^4} \left[ \frac{1}{r^5} - \frac{1}{3} \frac{m_a^2}{r^3} + O(m_a^4) \right]
 \end{aligned}$$

# Axionlike particles

A delicate cancellation between the linear and quadratic interactions makes the spin-independent axion force extremely suppressed  $\sim 1/r^5$



But there is a **quadratic** spurion in the ALP Lagrangian

$$\mathcal{L}_{\text{ssb}} \ni \sum_{\psi} c_m \frac{m_a^2 a^2}{f^3} \bar{\psi} \psi$$

For a generic ALP this shift-symmetry breaking scales like  $\sim 1/f^5$

# Axionlike particles

But the QCD axion is special, because the shift symmetry is broken by the quark masses

The relevant interactions of the QCD axion in the chiral 2-flavor Lagrangian can be written as

$$\mathcal{L}^{(1)} = \bar{N} \left( i\not{D} - m_N + \frac{g_A}{2} \gamma^\mu \gamma^5 u_\mu + g_0 \gamma^\mu \gamma^5 a_\mu^{(s)} \right) N$$

and

$$\begin{aligned} \mathcal{L}^{(2)} = & c_1 \text{tr}[\chi_+] \bar{N} N - \frac{c_2}{4m^2} \text{tr}[u_\mu u_\nu] (\bar{N} D^\mu D^\nu N + \text{h.c.}) \\ & + \frac{c_3}{2} \text{tr}[u_\mu u^\mu] \bar{N} N - \frac{c_4}{4} \bar{N} \gamma^\mu \gamma^\nu [u_\mu, u_\nu] N \end{aligned}$$



# Axionlike particles

All terms are shift symmetric apart from

$$c_1 \text{tr}[\chi_+] \bar{N} N = c_N \frac{a^2}{f^2} \bar{N} N + \dots$$

with

$$\chi_+ = 2B_0 (\xi^\dagger m_q(a) \xi^\dagger + \xi m_q^\dagger(a) \xi) \quad \tau_a = m_a^2 / m_\pi^2$$

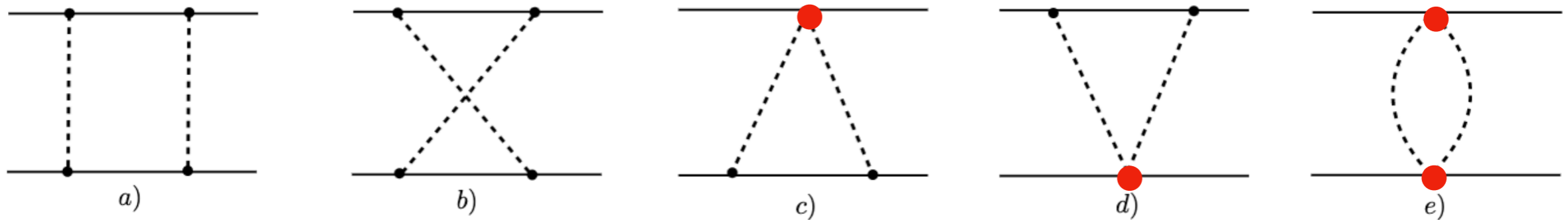
and the coefficient

$$c_N = c_1 \frac{m_\pi^2}{2} \frac{4c_{GG}^2 (1 - \tau_a)^2 + (c_u - c_d)^2 \tau_a^2}{(1 - \tau_a)^2}$$

This term breaks the shift symmetry at the same order in  $1/f$  as the leading shift-invariant interactions even though it's induced by a higher order operator

# Axionlike particles

The leading contributions to the potential read

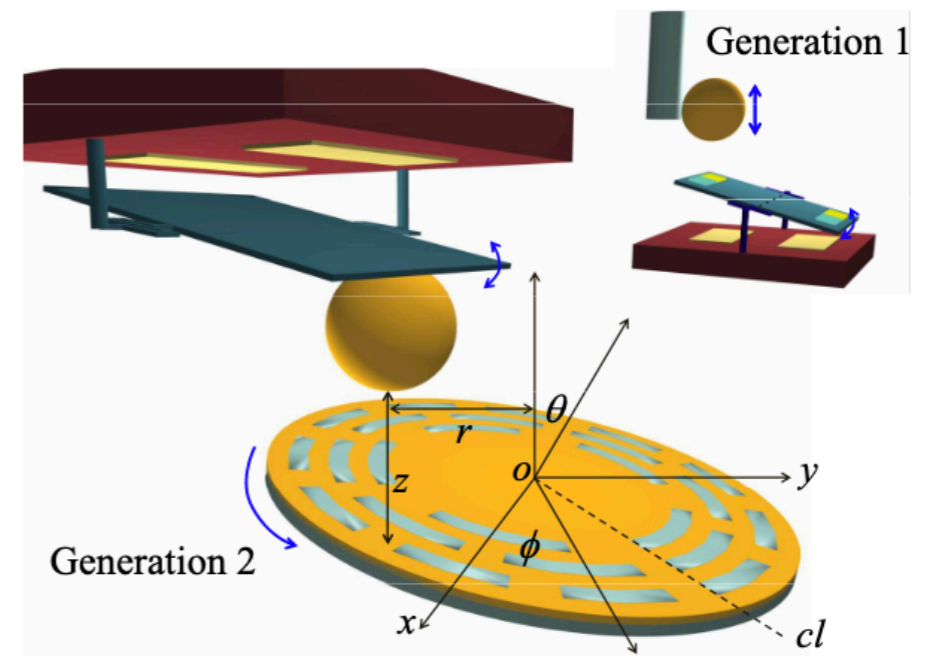
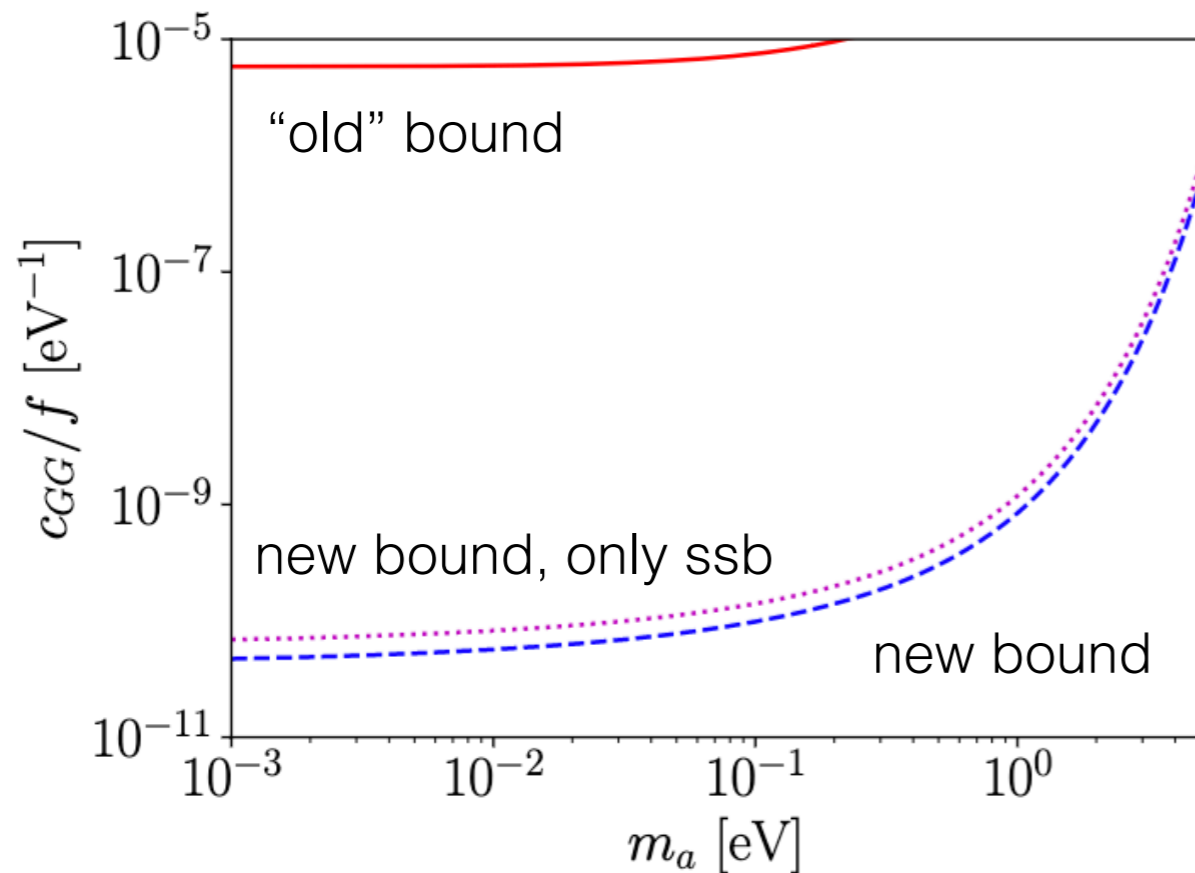


$$V_{\text{sp.}}(r) = -\frac{c_{N_1} c_{N_2}}{64\pi^3 f^4} \frac{1}{r^3} + O\left(\frac{m_a^2}{r^3}, \frac{1}{r^5}\right)$$

This force acts only between nuclei, because the shift-symmetry breaking quadratic coupling doesn't exist for leptons

# Axionlike particles

The leading constraints arise from “Casimir-less” fifth force searches and the force is purely attractive for an axion only coupled to gluons



Chen, Tham, Krause, Lopez, Fischbach, Decca, Phys. Rev. Lett. 116 (2016)  
no.22, 221102

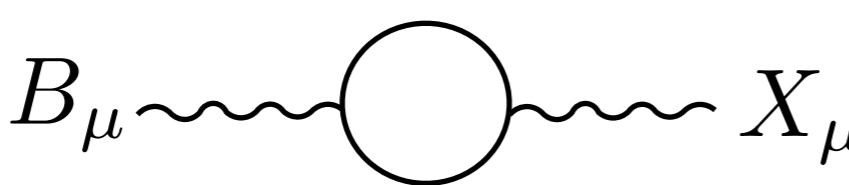
# Hidden Photons

Second example: gauge bosons (local symmetry breaking)

$$\mathcal{L} = -\frac{1}{4}X_{\mu\nu}X^{\mu\nu} - \frac{1}{2}D_\mu\phi D^\mu\phi - V(\phi) + g_X\bar{\psi}\gamma_\mu\psi X^\mu$$

$$\phi = (f + s)e^{ia/f} \quad \longrightarrow \quad m_X = g_X f$$

Interactions with the SM are either directly set by the gauge coupling or through kinetic mixing

$$B_\mu \text{---} \text{---} \text{---} \text{---} \text{---} X_\mu \quad \epsilon \propto \frac{g_X e}{8\pi^2} \log \frac{\Lambda^2}{m^2}$$


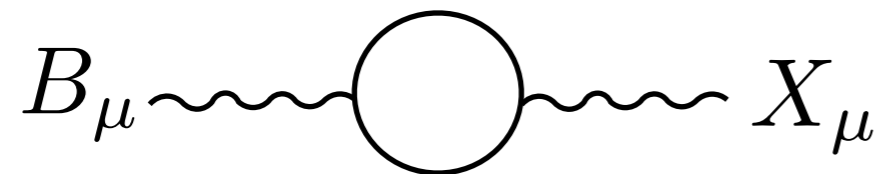
Small gauge couplings imply small masses

# Hidden Photons

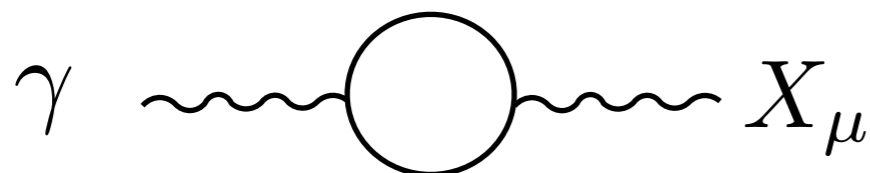
The hidden photon can be either a secluded gauged group, or one of the anomaly free global symmetries of the SM (unless you add more matter fields)

$$U(1)_{B-L} \quad U(1)_{L_\mu-L_e} \quad U(1)_{L_\tau-L_e} \quad U(1)_{L_\mu-L_\tau}$$

If you calculate the kinetic mixing between the photon and the hidden photon

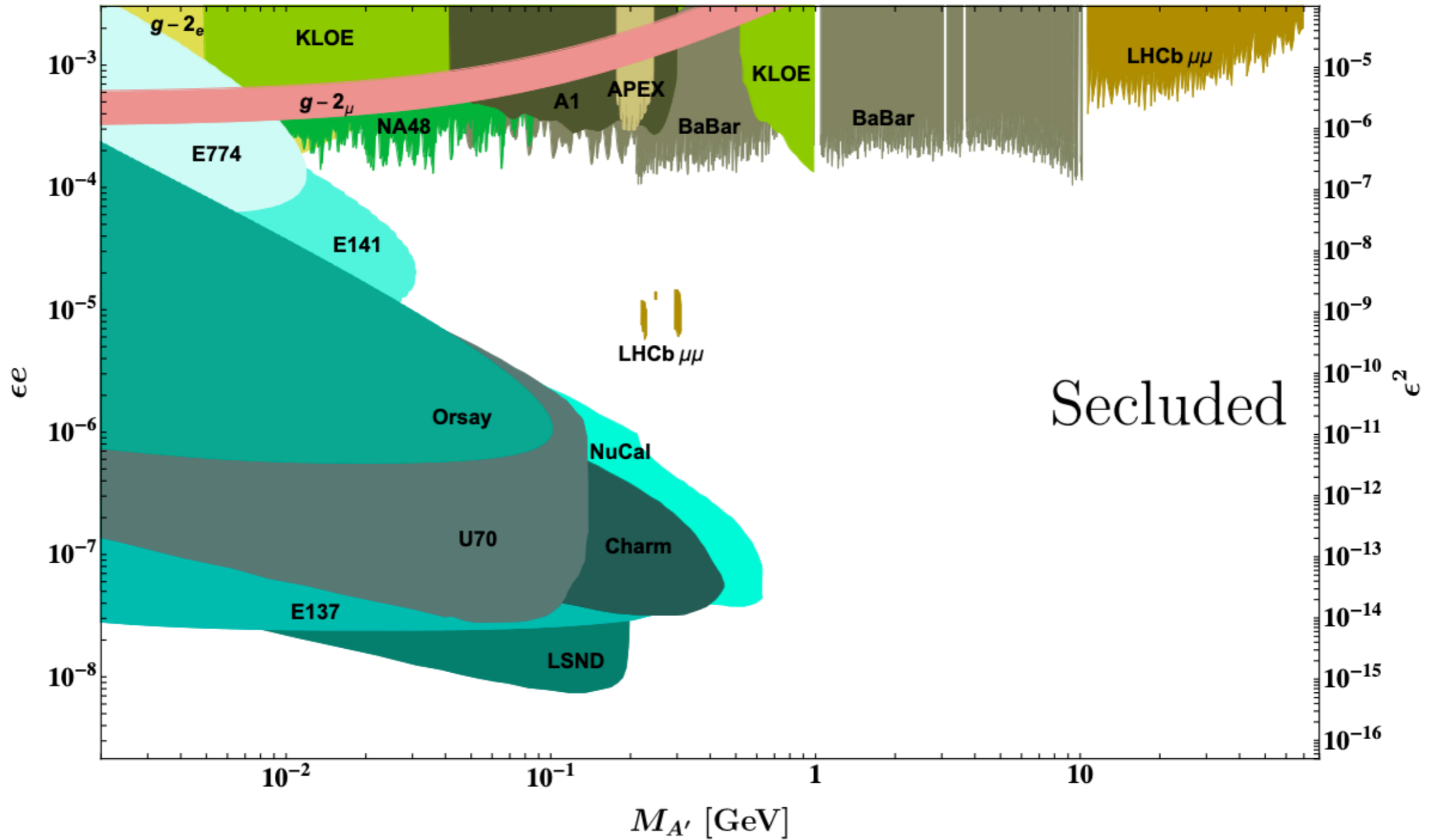


$$\epsilon_B = \frac{g' g_{\mu-\tau}}{24\pi^2} \left[ 3 \log \left( \frac{m_\mu}{m_\tau} \right) + \log \left( \frac{m_{\nu_\mu}}{m_{\nu_\tau}} \right) \right]$$

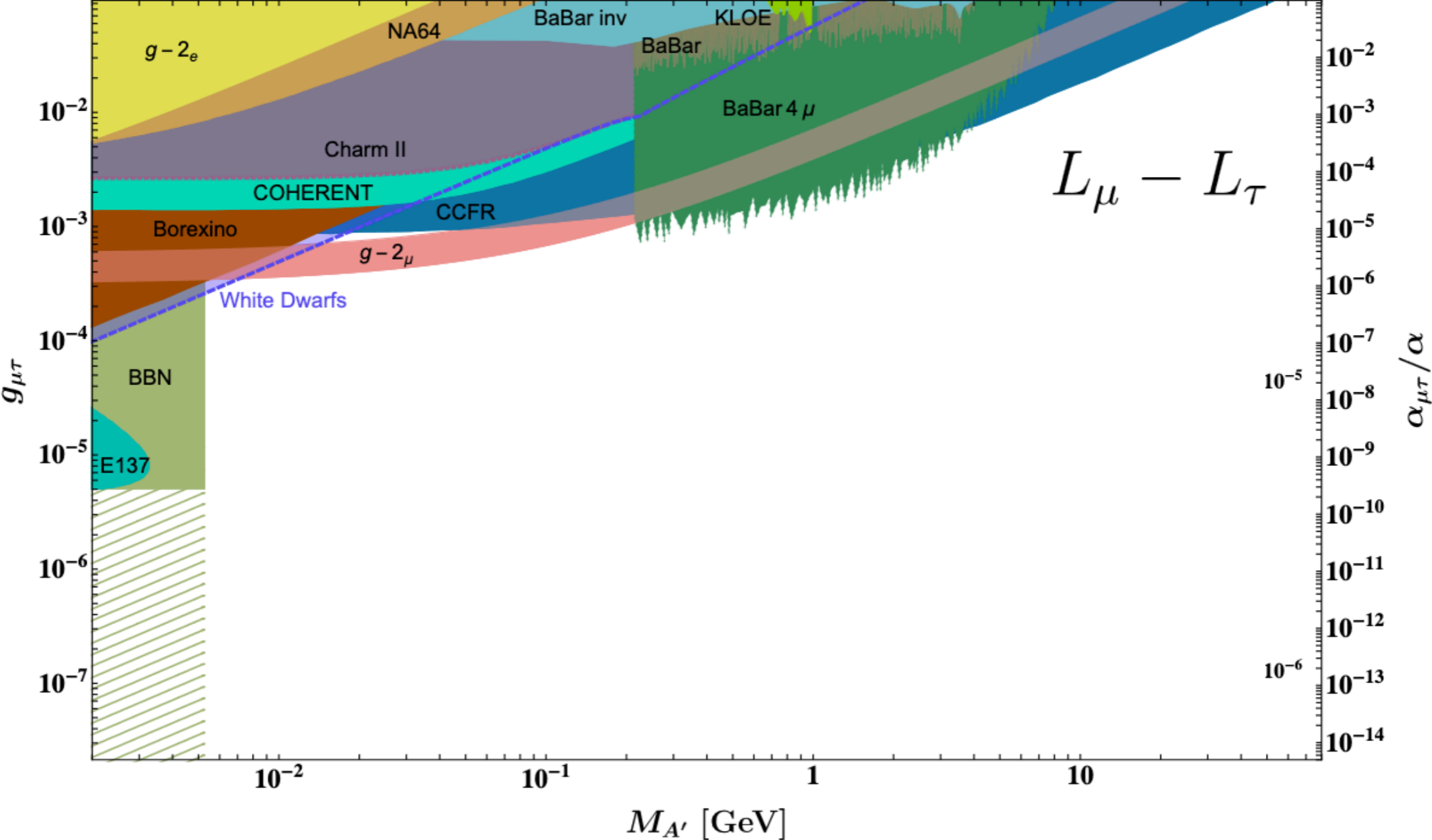


$$\epsilon \propto \frac{e g_{\mu-\tau}}{12\pi^2} \log \left( \frac{m_\mu}{m_\tau} \right)$$

# Bounds on hidden photons



# Bounds on hidden photons

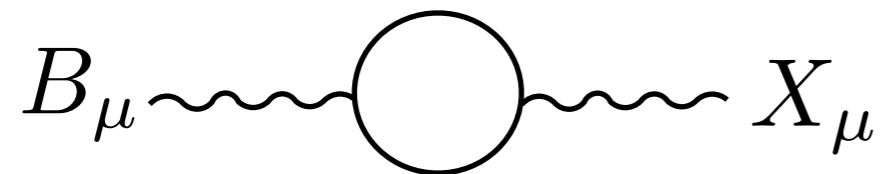


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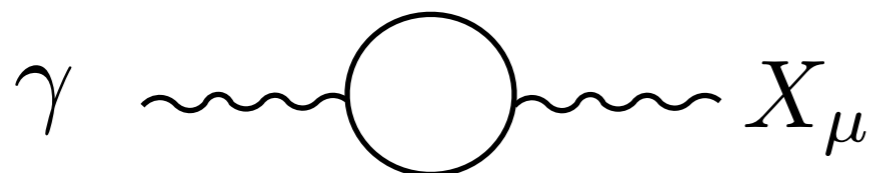
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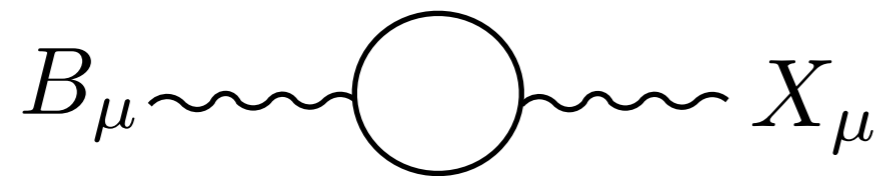
$$\epsilon \propto \frac{e g_{\mu-\tau}}{12\pi^2} \log \left( \frac{m_\mu}{m_\tau} \right)$$



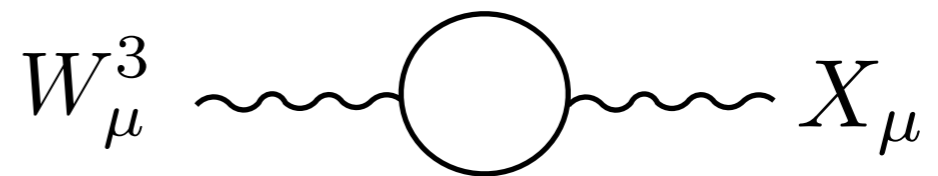
# Hidden Photons

In some cases the loop induced mixing is finite, e.g.  $U(1)_{L_\mu - L_\tau}$

In this case the muon, tau and the corresponding neutrinos are charged under a new gauge group



$$-\frac{\epsilon_B}{2} B_{\mu\nu} X^{\mu\nu}$$



$$\begin{aligned} \mathcal{O}_{WX} &= \frac{c_{WX}}{\Lambda^2} H^\dagger \sigma^i H W_{\mu\nu}^i X^{\mu\nu} \\ &\supset -\frac{\epsilon_W}{2} W_{\mu\nu}^3 X^{\mu\nu} \end{aligned}$$

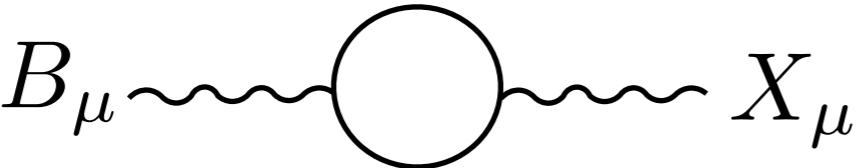
With the result

$$\epsilon_B = \frac{g' g_{\mu-\tau}}{24\pi^2} \left[ 3 \log \left( \frac{m_\mu}{m_\tau} \right) + \log \left( \frac{m_{\nu_\mu}}{m_{\nu_\tau}} \right) \right]$$

$$\epsilon_W = \frac{g g_{\mu-\tau}}{24\pi^2} \left[ \log \left( \frac{m_\mu}{m_{\nu_\mu}} \right) - \log \left( \frac{m_\tau}{m_{\nu_\tau}} \right) \right]$$

# Hidden Photons

But this is not always the case, e.g. for a charged B-L group

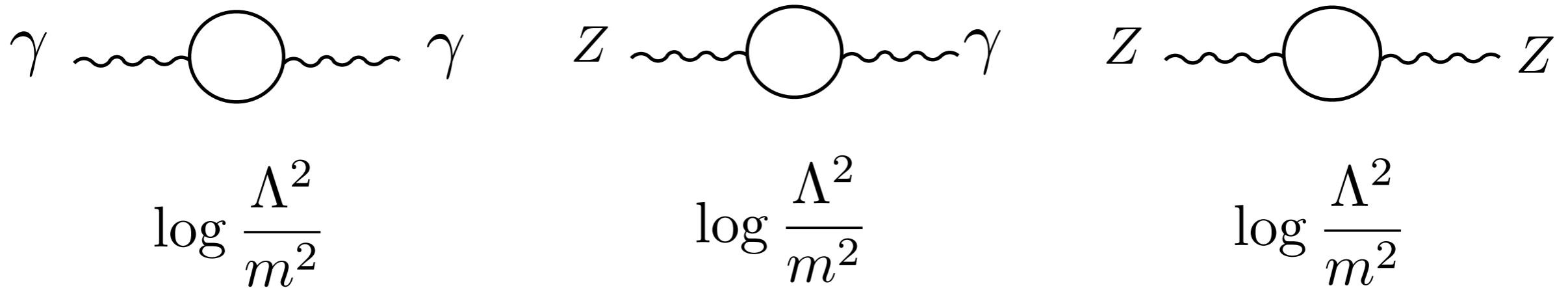
$$B_\mu \text{---} \text{---} \text{---} \text{---} \text{---} X_\mu \quad \epsilon \propto \frac{g_X e}{8\pi^2} \log \frac{\Lambda^2}{m^2}$$


So some hidden photon gauge groups have finite kinetic mixing, others are sensitive to a UV scale

What's the implication of this UV divergence in kinetic mixing?

# Hidden Photons

Consider the photon-Z mixing in the SM



Needs 3 counterterms:

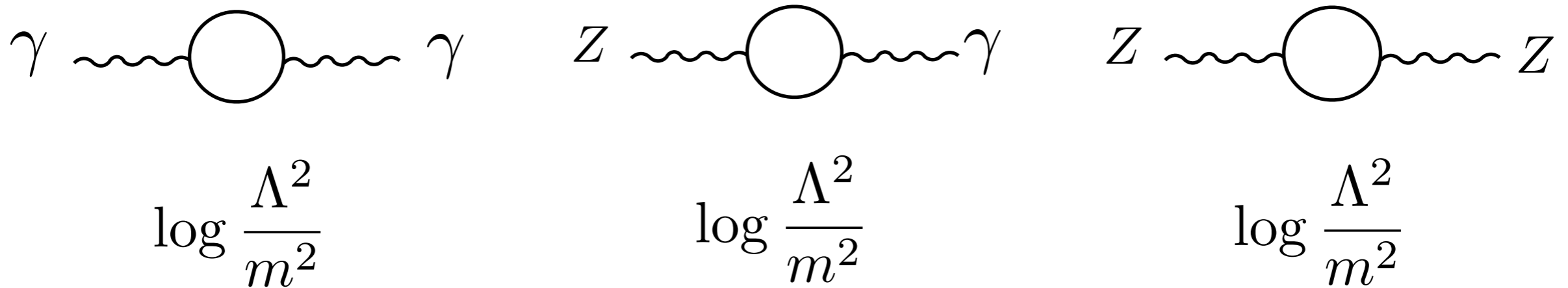
$$\mathcal{L} \supset \frac{1}{4} Z_{AA} F^{\mu\nu} F_{\mu\nu} + \frac{1}{4} Z_{ZZ} Z^{\mu\nu} Z_{\mu\nu} + \frac{1}{2} Z_{ZA} F_{\mu\nu} Z^{\mu\nu}$$

But there can be only 2:

$$\mathcal{L} \supset \frac{1}{4} Z_{BB} B^{\mu\nu} B_{\mu\nu} + \frac{1}{4} Z_{WW} W^{a,\mu\nu} W_{\mu\nu}^a$$

# Hidden Photons

Consider the photon-Z mixing in the SM



The only way 3 divergences can be absorbed in 2 counterterms is when the couplings aren't independent

$$R(\theta) \begin{pmatrix} Z_{AA} & Z_{ZA} \\ Z_{ZA} & Z_{ZZ} \end{pmatrix} R(\theta)^{-1} = \begin{pmatrix} Z_{BB} & 0 \\ 0 & Z_{WW} \end{pmatrix}$$

# Hidden Photons

If the kinetic mixing term is UV divergent there must be a symmetry breaking in the UV that mixes the gauge bosons

Without knowing anything else we know that  $G_{UV}$  can't be a direct product

$$G_{UV} \rightarrow SU(3)_C \times SU(2)_L \times U(1)_Y \times U(1)_{B-L}$$

Whereas for  $U(1)_{L_\mu-L_\tau}$  it must be possible to find a direct product

$$\begin{array}{ccc}
 G_{SM} & \times & G_{L_\mu-L_\tau} \\
 \downarrow & & \downarrow \\
 SU(3)_C \times SU(2)_L \times U_Y(1) & \times & U(1)_{L_\mu-L_\tau}
 \end{array}$$

# Two Surprises

- 1.** For QCD axions interacting with quarks and gluons the leading term in the spin-independent force is induced by higher-order shift-symmetry breaking operators
- 2.** The divergence of any loop-induced kinetic mixing term for hidden photons tells us whether the underlying gauge group in the UV can be written as a direct product or must be a GUT