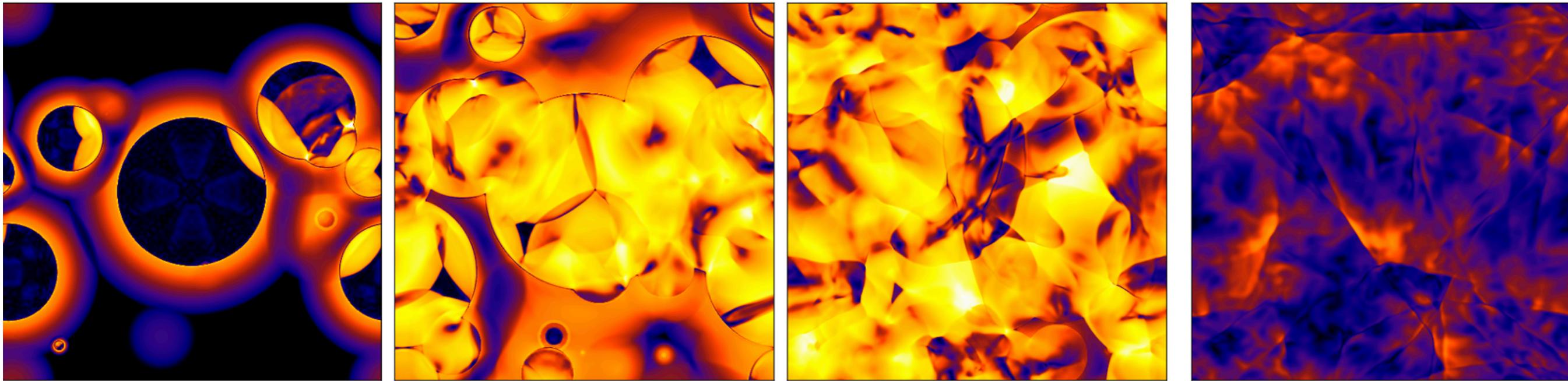


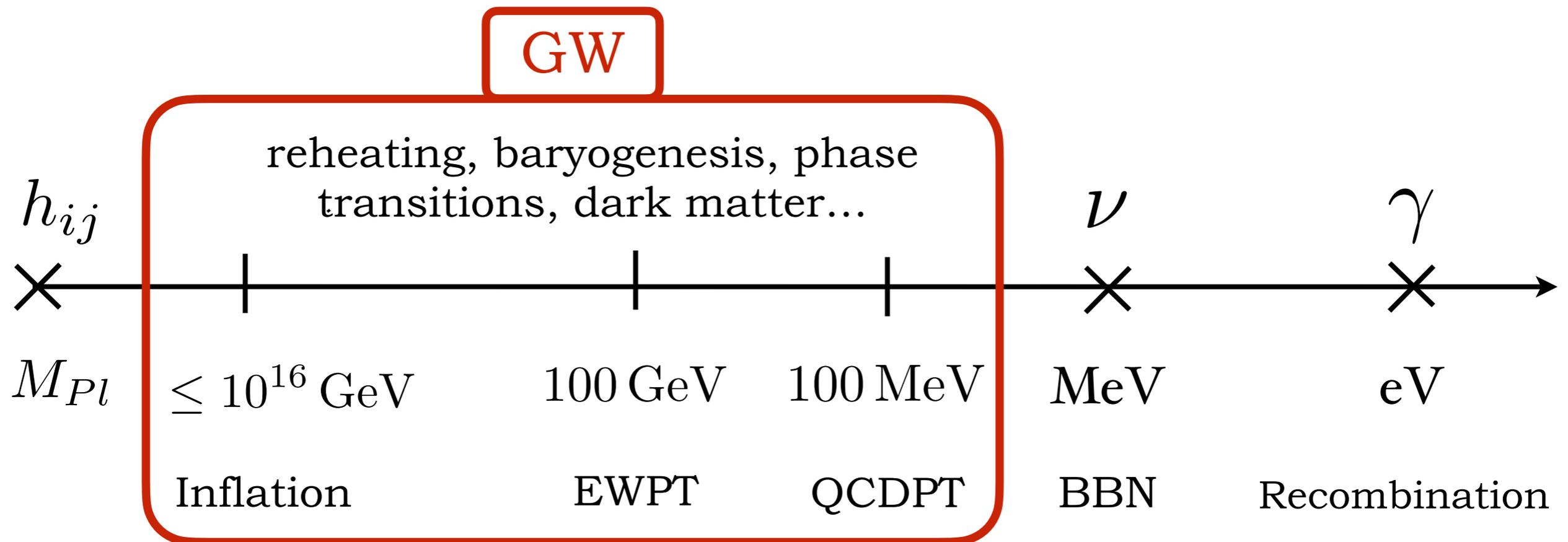
Gravitational waves from phase transitions

Chiara Caprini
(CERN & University of Geneva)



GWs from the early universe: motivation

- GW emission processes in the early universe form a **fossil radiation**, whose detection would bring *direct information from very early stages of the universe evolution*, to which we have no access through em radiation
- **amazing discovery potential, linked to high energy physics**. Which energy scales can one access?



GWs from the early universe: motivation

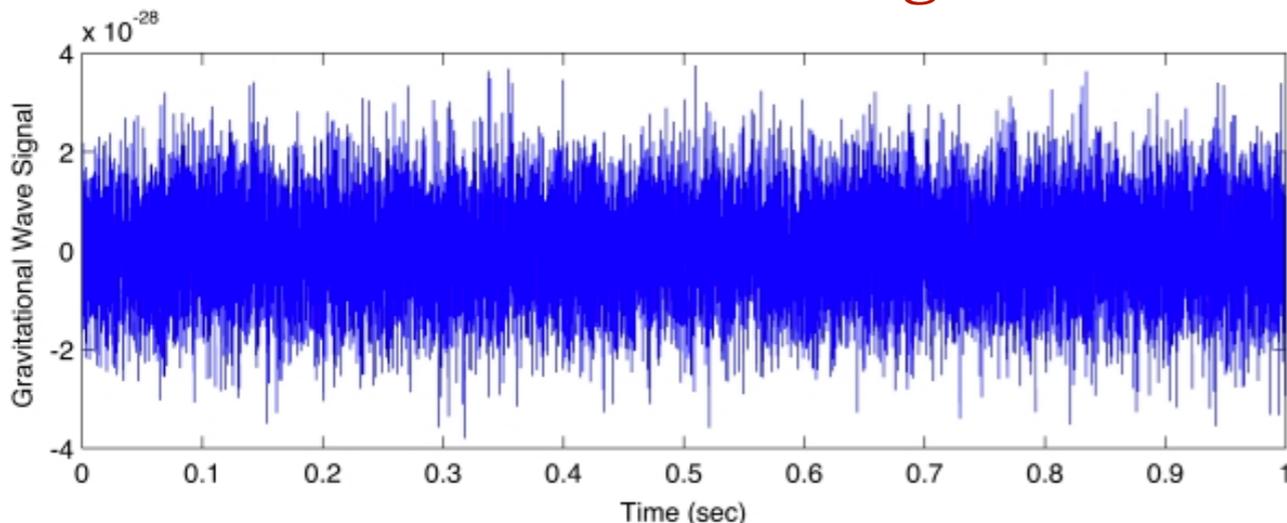
Suppose the GW generating process has a *characteristic time and length scale*

$$\ell_* \leq \tau_* \leq H_*^{-1} \quad \longrightarrow \quad \text{GW frequency } f_* \sim \frac{1}{\ell_*} \geq H_*$$

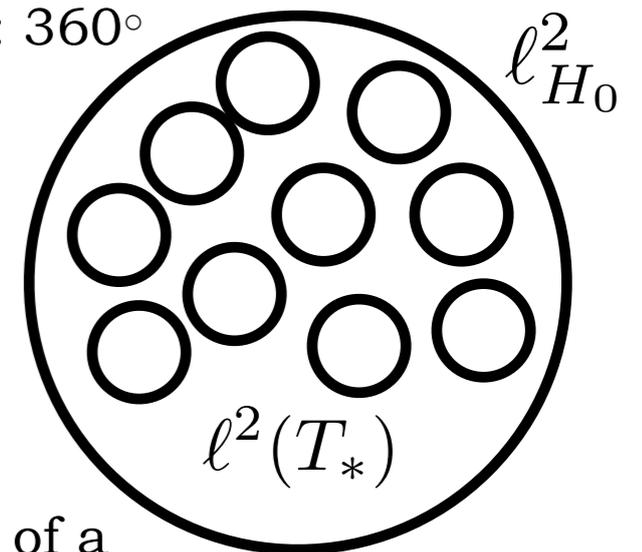
causal horizon at
generation time

Consequence: GW signals from the primordial universe have *too small correlation scale with respect to the detector resolution* -> only the **statistical properties** of the signal can be accessed, $h_{ij}(\mathbf{x}, t)$ must be treated as a *random variable*

Stochastic GW background



Angular size of the
full sky: 360°



Angular size of a
correlated region for
 $T_* \sim 100 \text{ GeV}$: 10^{-12}°

GWs from the early universe: motivation

Suppose the GW generating process has a *characteristic time and length scale*

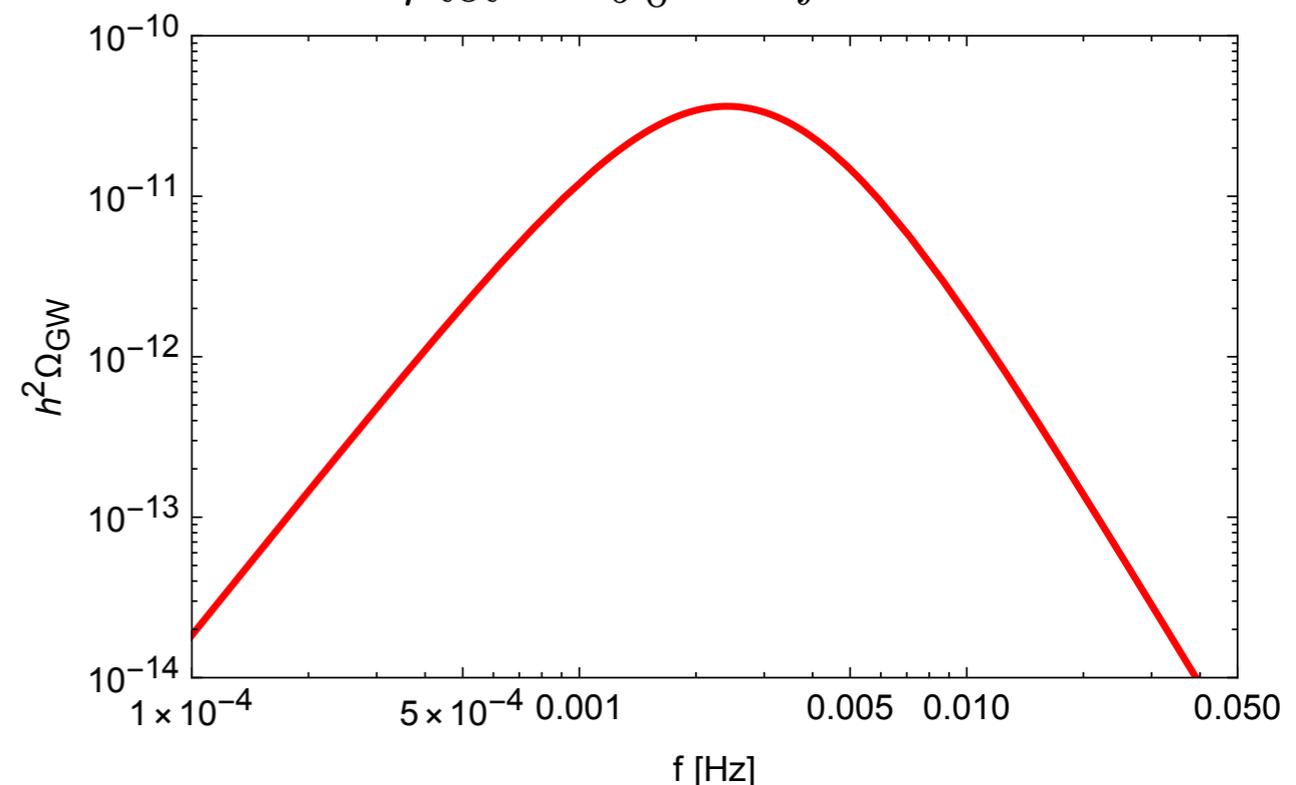
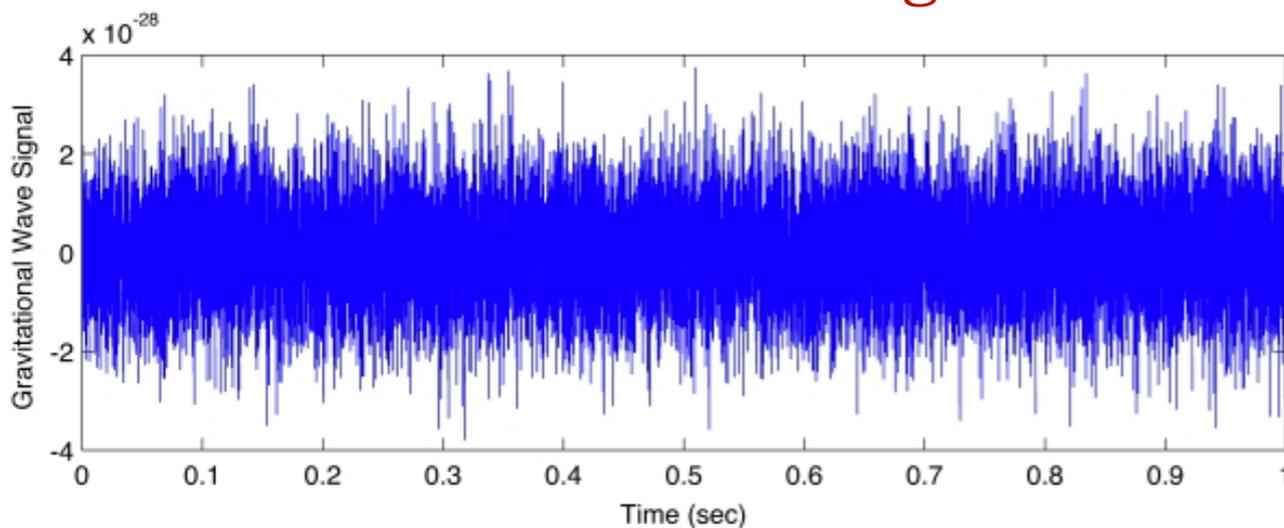
$$l_* \leq \tau_* \leq H_*^{-1} \quad \longrightarrow \quad \text{GW frequency } f_* \sim \frac{1}{l_*} \geq H_*$$

causal horizon at
generation time

Consequence: GW signals from the primordial universe have *too small correlation scale with respect to the detector resolution* -> only the statistical properties of the signal can be accessed -> **GW energy power spectrum**

$$\frac{\rho_{\text{GW}}}{\rho_{\text{tot}}} = \int_0^{+\infty} \frac{df}{f} \Omega_{\text{GW}}(f)$$

Stochastic GW background

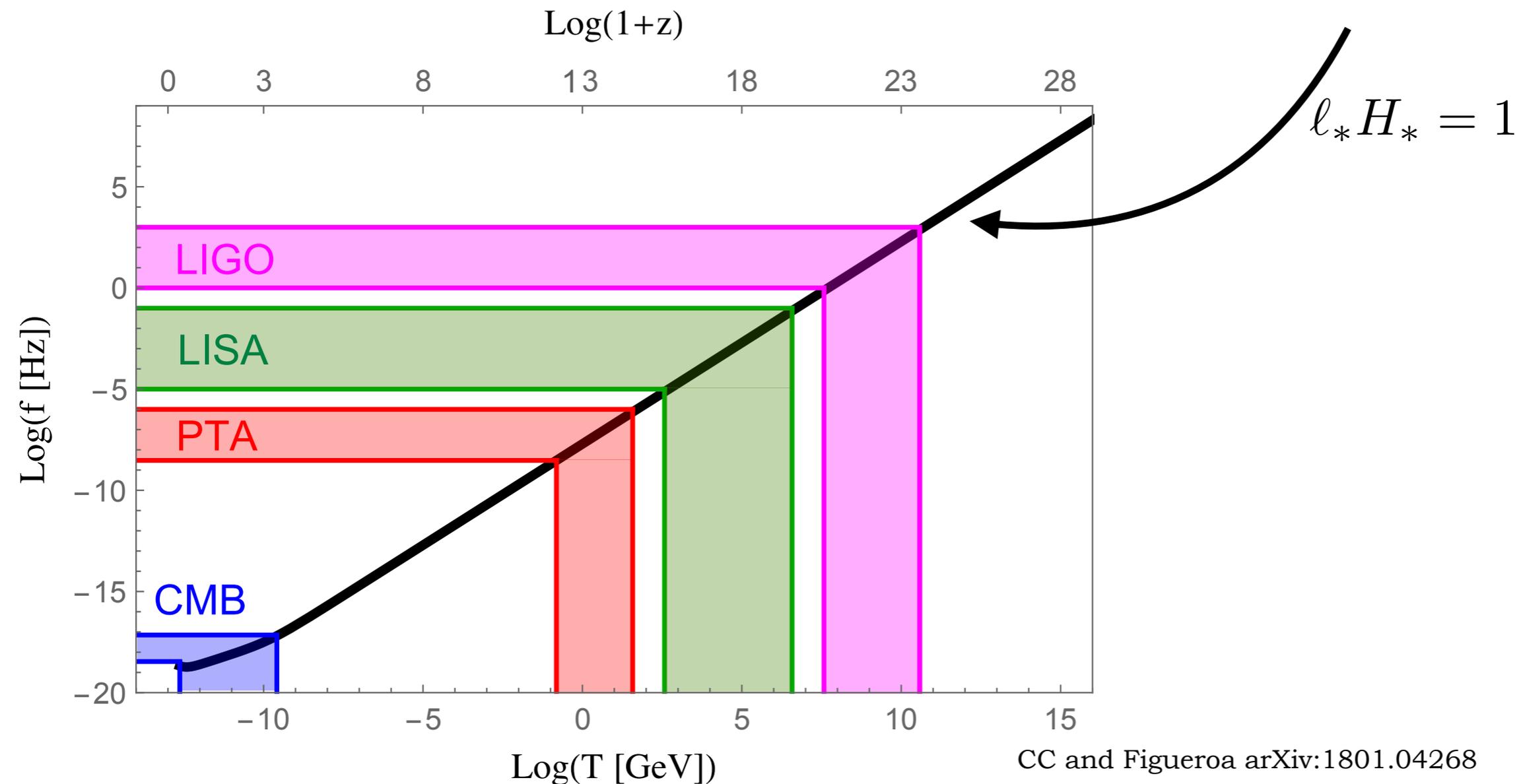


GWs from the early universe: motivation

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$$l_* \leq \tau_* \leq H_*^{-1} \quad \longrightarrow \quad \text{GW frequency} \quad f_* \sim \frac{1}{l_*} \geq H_*$$

GW frequency redshifted to today: $f = f_* \frac{a_*}{a_0} = \frac{1.65 \times 10^{-5}}{l_* H_*} \left(\frac{g(T_*)}{100} \right)^{1/6} \frac{T_*}{100 \text{ GeV}} \text{ Hz}$

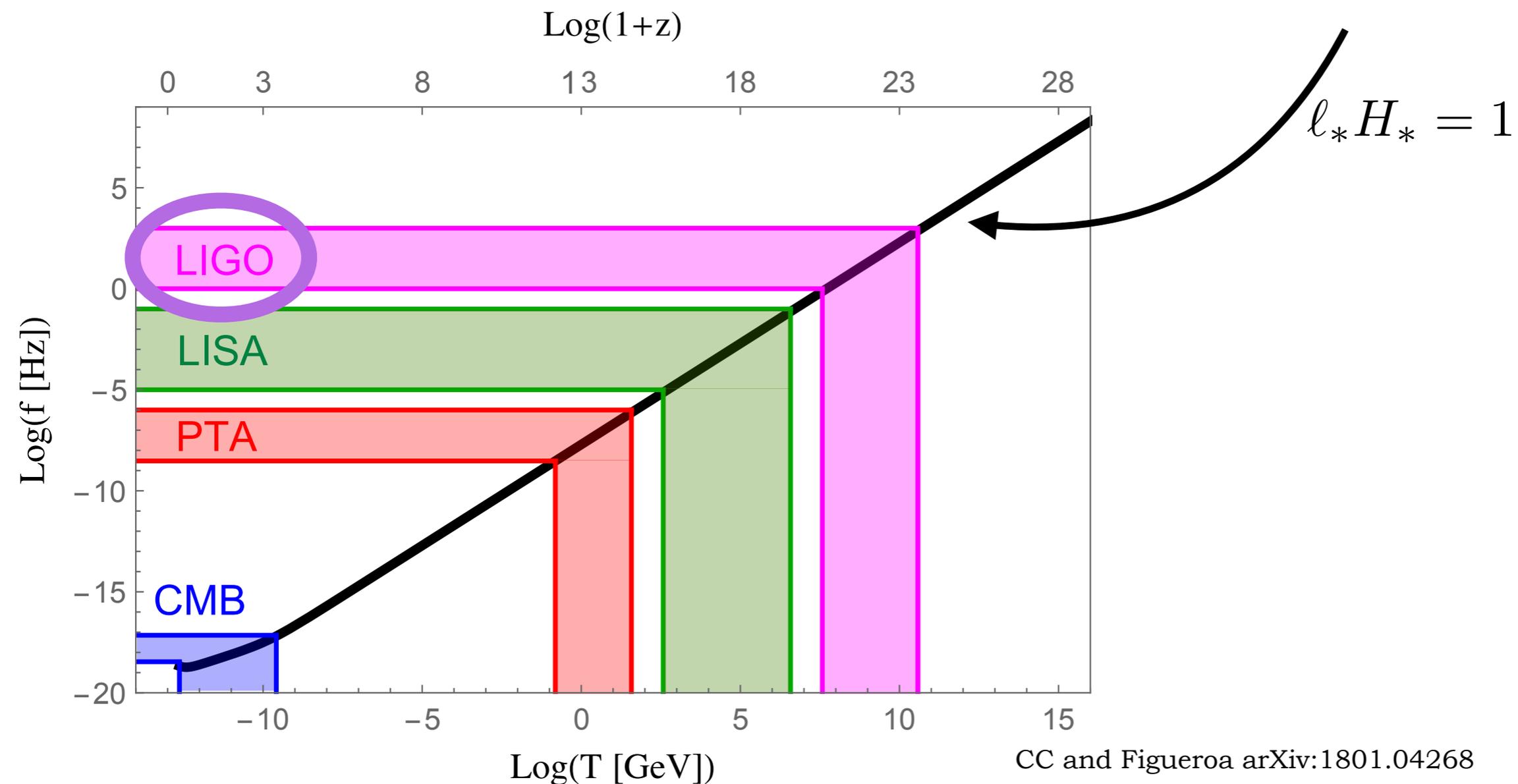


GWs from the early universe: motivation

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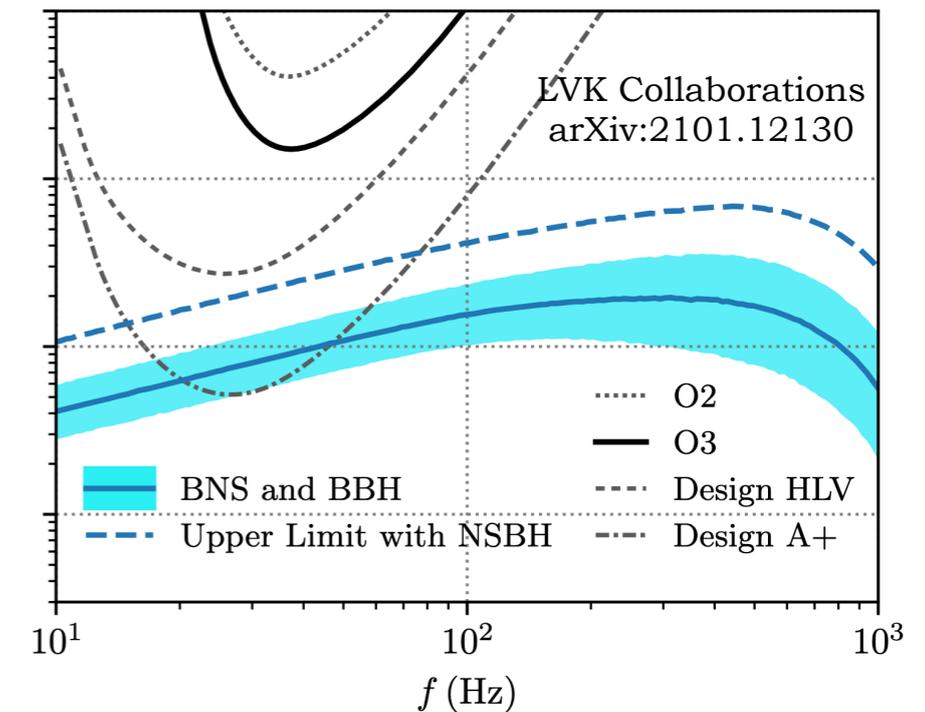


GWs from the early universe: motivation

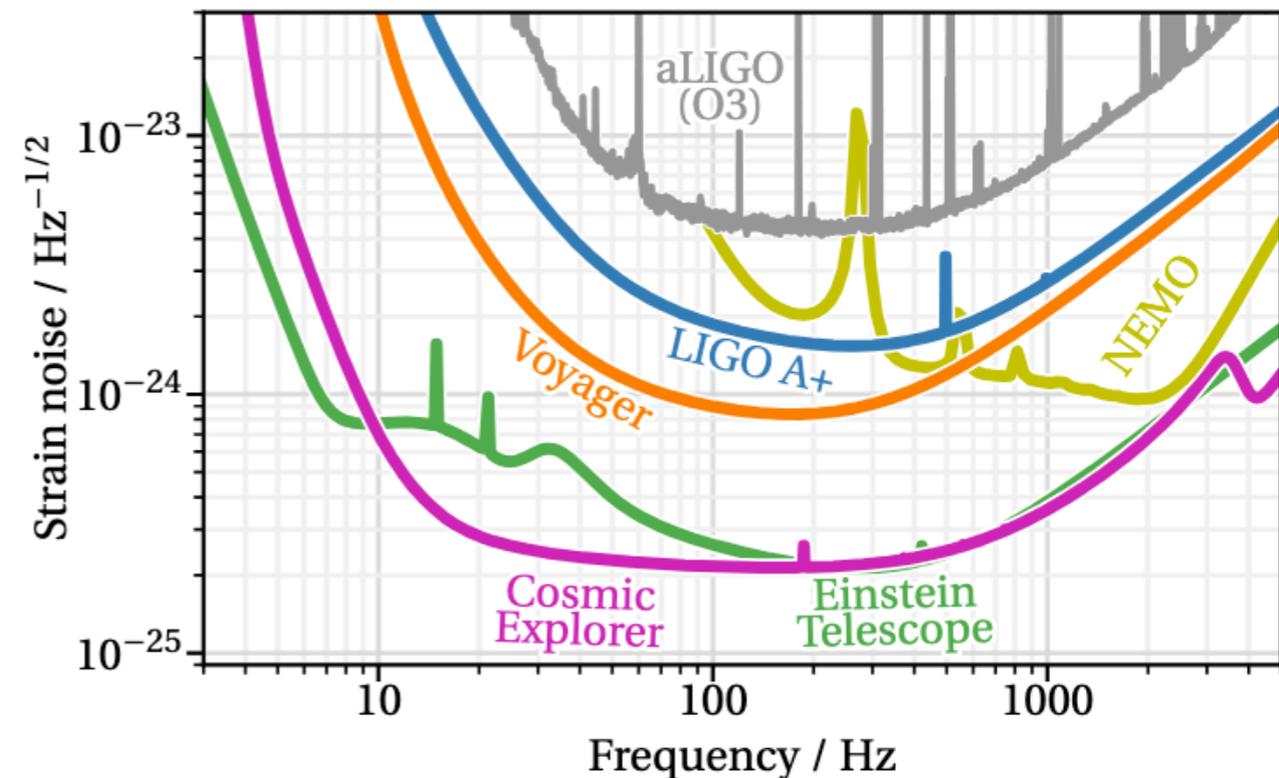
LIGO Virgo Kagra: operating ground based interferometers

Timeline:

- Most probably no cosmological SGWB detection, masked by astrophysical foreground detection expected for ~ 2030



- **~ 2035** 3rd generation projects:
Einstein Telescope and Cosmic Explorer,
factor 20 improvement in sensitivity



GWs from the early universe: motivation

LIGO Virgo Kagra: operating ground based interferometers

$$1 \text{ Hz} < f < 1000 \text{ Hz} \quad \longrightarrow \quad 10^6 \text{ GeV} \lesssim T_* \lesssim 10^{10} \text{ GeV}$$

CC et al, ArXiv:2406.02359

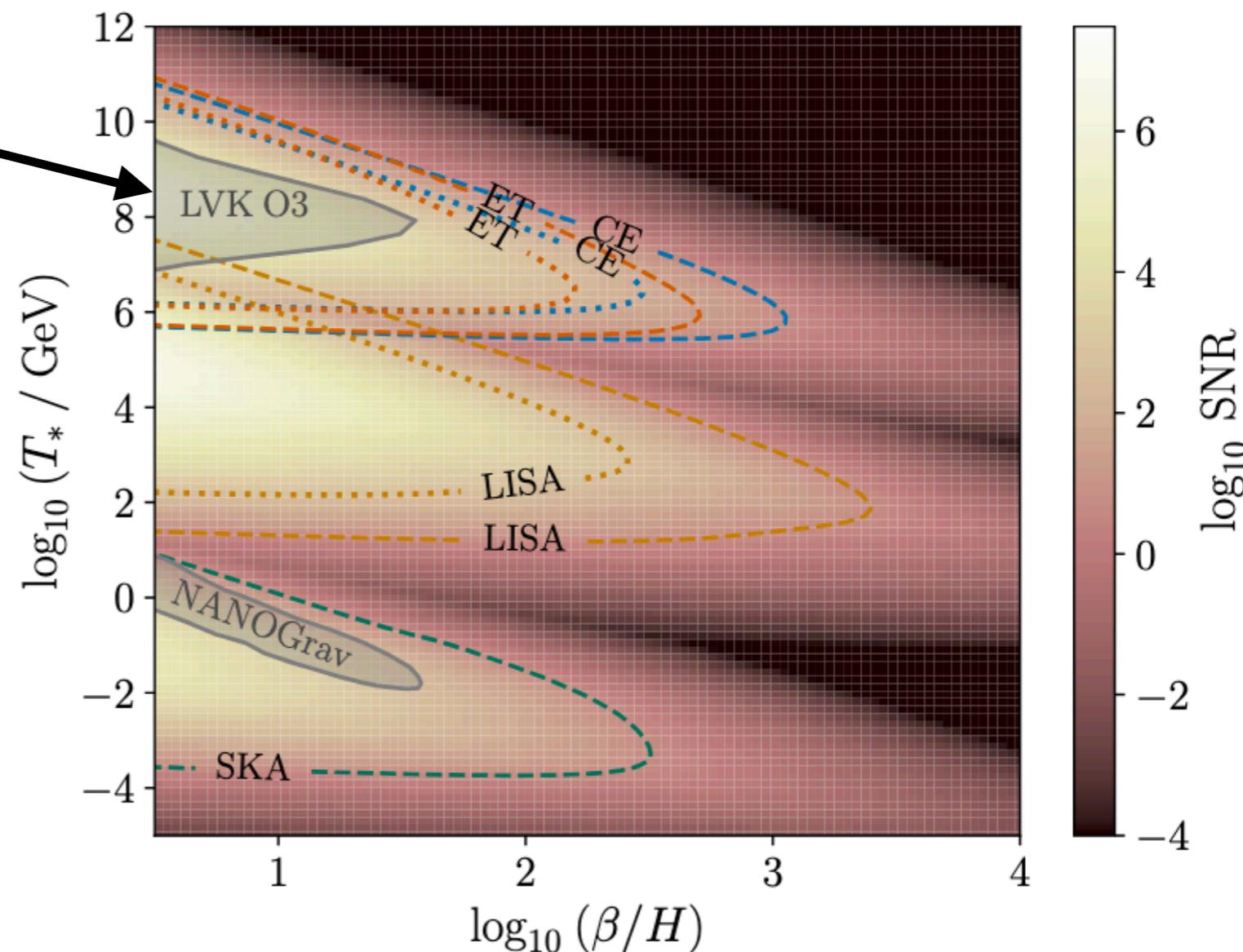
LVK constraints from non-detection

Badger et al, arXiv:2209.14707

Peccei-Quinn phase transition

$$T_{\text{PQ}} \sim F_a$$

$$10^{7-8} \text{ GeV} \lesssim F_a \lesssim 10^{10-11} \text{ GeV}$$



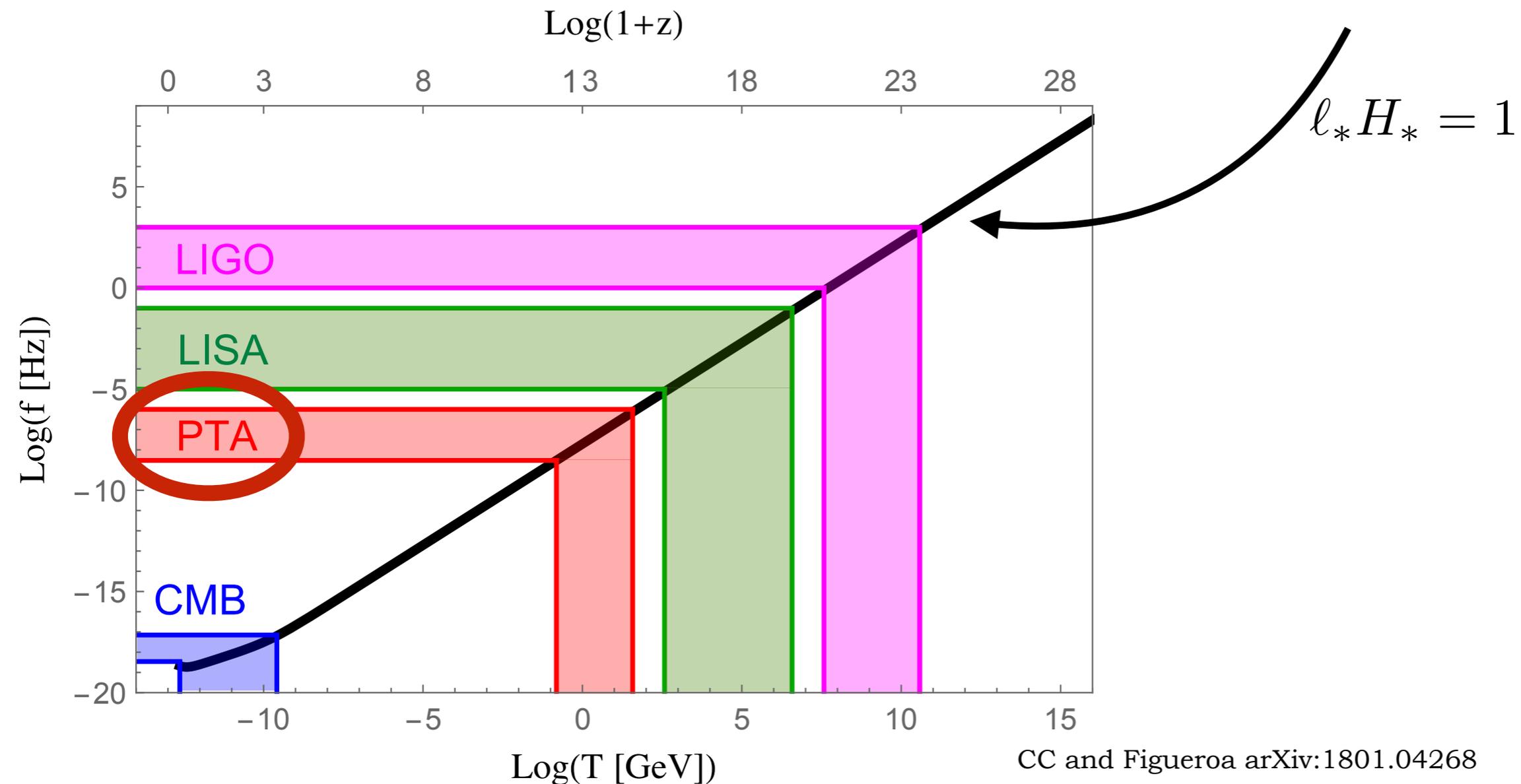
Parameter to which the signal amplitude is *inversely* proportional

GWs from the early universe: motivation

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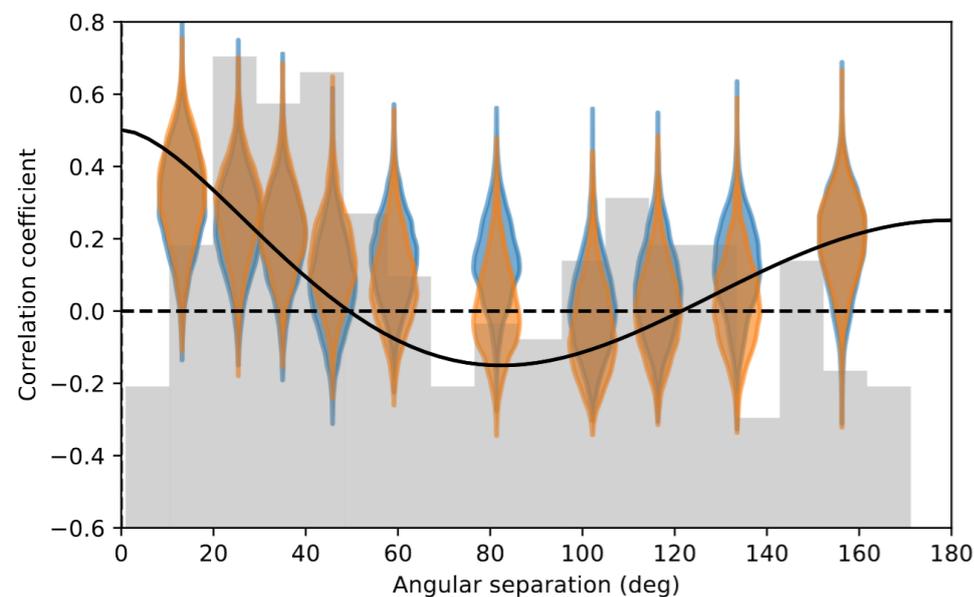
GWs from the early universe: motivation

Pulsar Timing Arrays: first SGWB detection

Timeline:

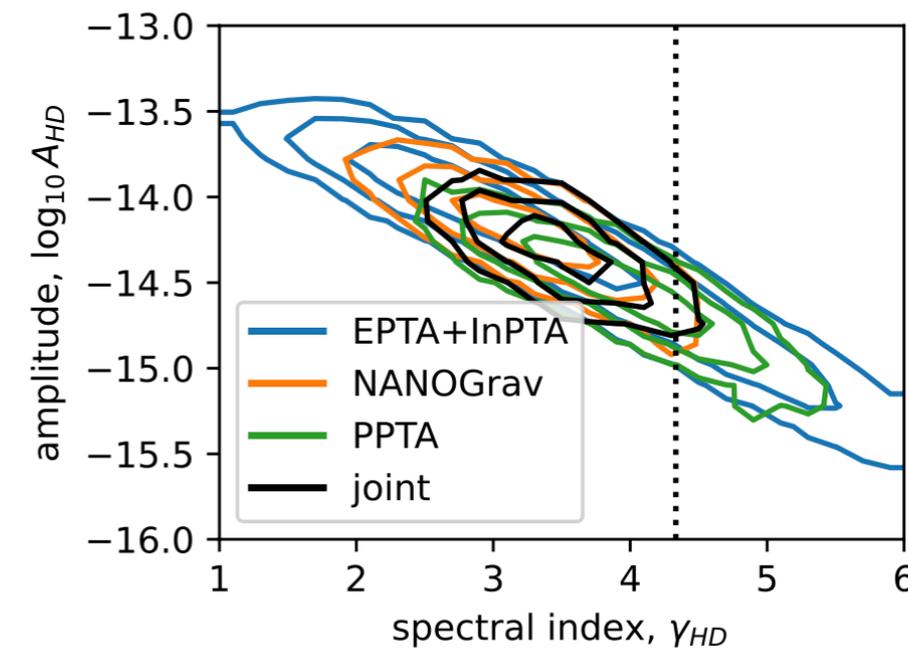
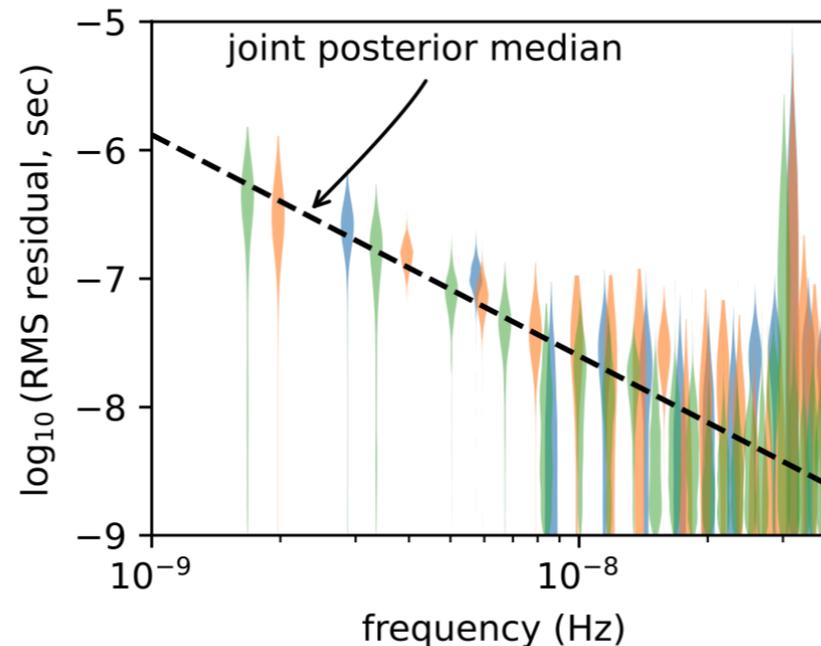
- **2024**: announcement of evidence for the signal and for the expected response of pulsars to GWs
- 2026: IPTA paper should improve the evidence
- ~2030: SKA

The SGWB from **super-massive black hole binaries at the centre of galaxies** is the best candidate source for this signal



J. Antoniadis et al, arXiv:2306.16214

IPTA Collaboration, arXiv:2309.00693



GWs from the early universe: motivation

Pulsar Timing Arrays: first SGWB detection

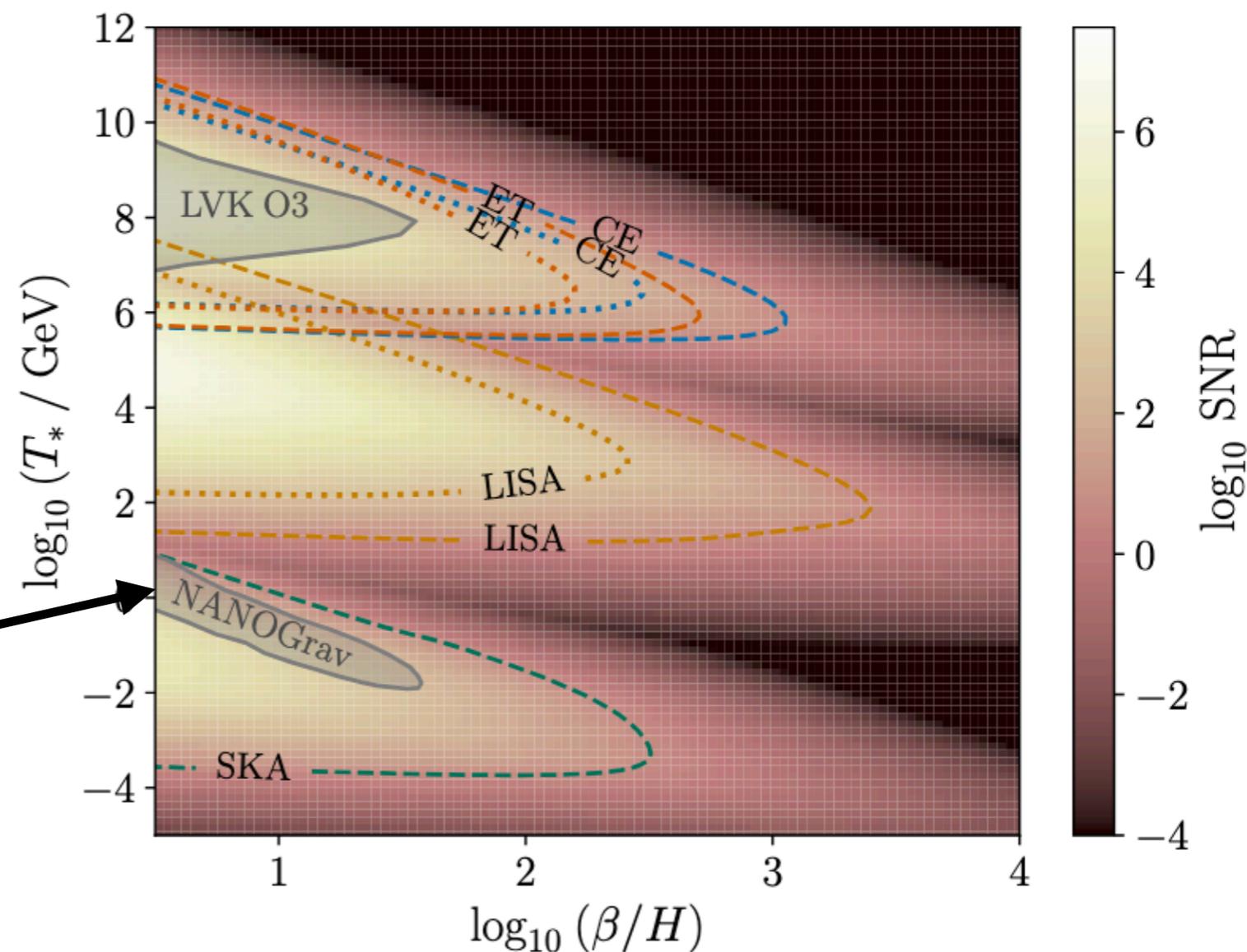
$$10^{-9} \text{ Hz} < f < 10^{-7} \text{ Hz} \quad \longrightarrow \quad 1 \text{ MeV} \lesssim T_* \lesssim 1 \text{ GeV}$$

CC et al, ArXiv:2406.02359

PTAs offer the possibility
to probe the
QCD energy scale

Parameter space
region that could
explain the
measurement

Afzal et al arXiv:2306.16219



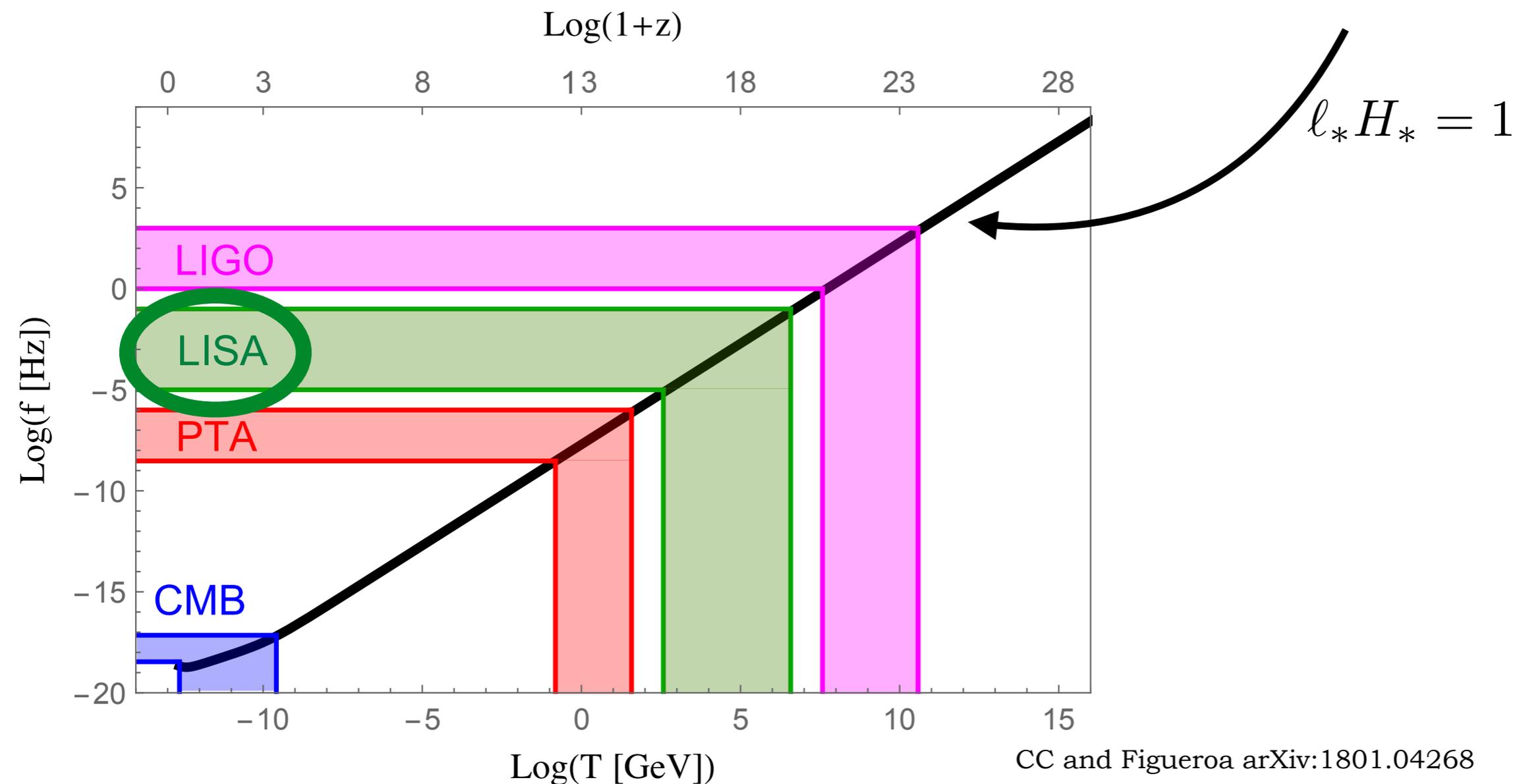
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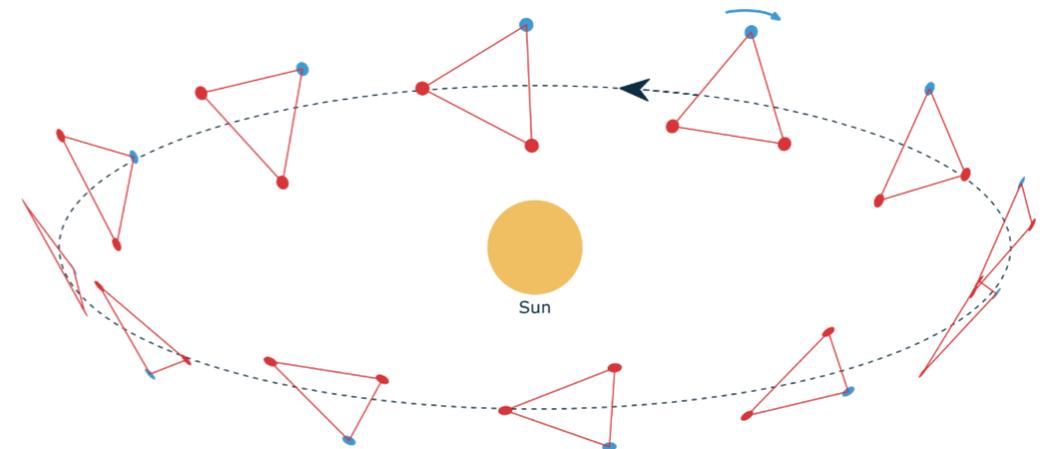
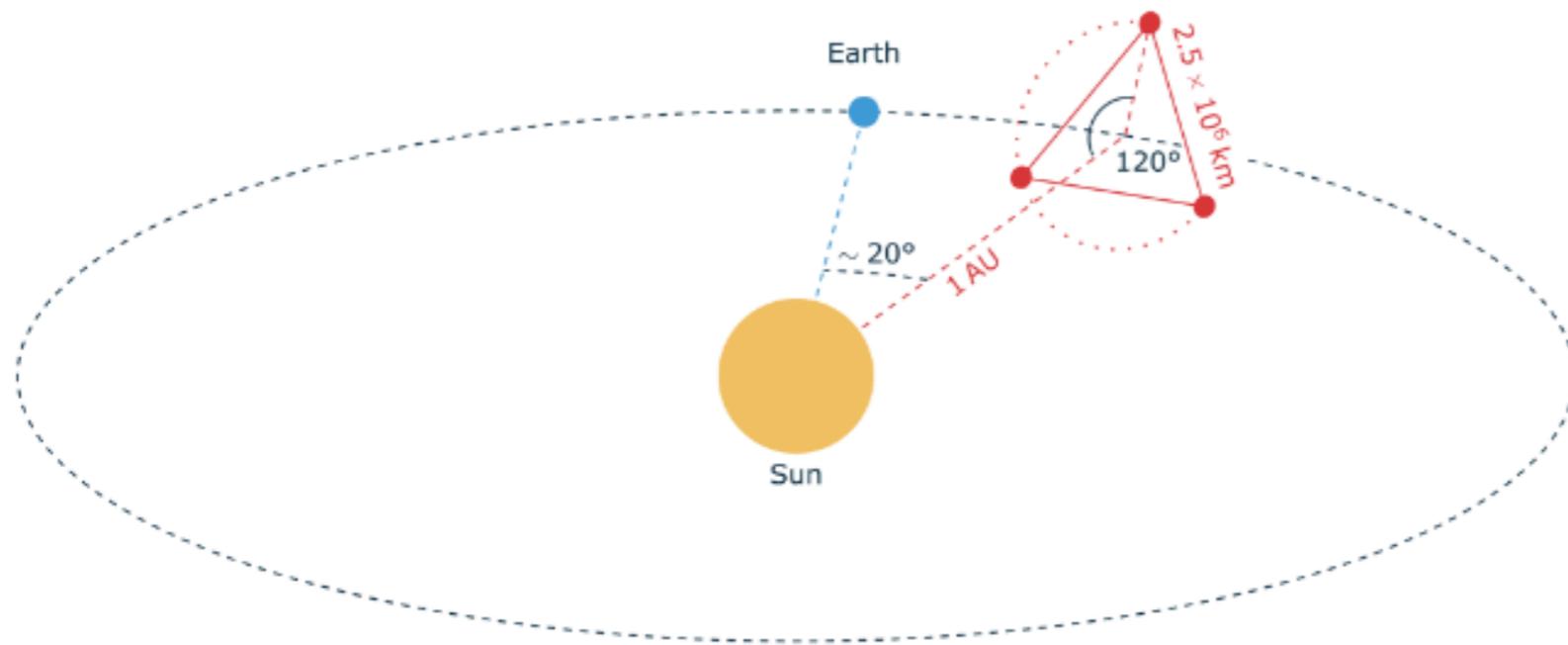


GWs from the early universe: motivation

Laser interferometer space antenna

Timeline:

- 2024 mission adopted by ESA, 30% NASA, science Red Book
- ~10 years: mission construction
- **Middle 2030s**: launch (Ariane 6) + 1.5 years to get to orbit, 6-12 months for commissioning
- nominal mission duration ~4 years, up to 10 years



LISA Red Book arXiv:2402.07571

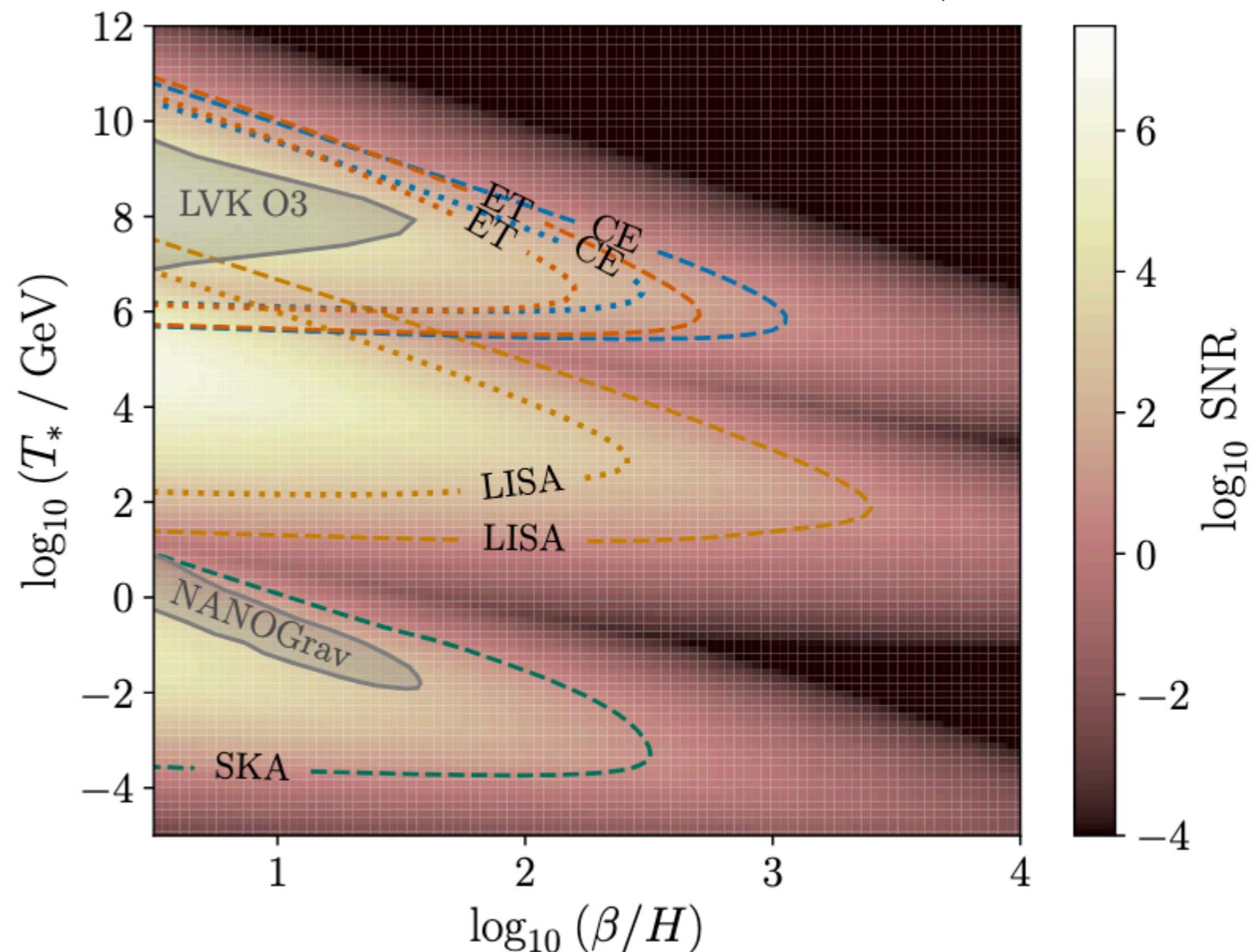
GWs from the early universe: motivation

Laser interferometer space antenna

$$10^{-5} \text{ Hz} < f < 0.1 \text{ Hz} \quad \longrightarrow \quad 10 \text{ GeV} \lesssim T_* \lesssim 10^5 \text{ GeV}$$

LISA offers the possibility to probe the **EW energy scale and beyond**

CC et al, ArXiv:2406.02359



Parameter to which the signal amplitude is *inversely* proportional

GW sources in the early universe

$$ds^2 = -dt^2 + a^2(t)[(\delta_{ij} + h_{ij})dx^i dx^j] \quad \bar{G}_{\mu\nu} + \delta G_{\mu\nu} = 8\pi G (\bar{T}_{\mu\nu} + \delta T_{\mu\nu})$$

$$\ddot{h}_{ij} + 3H \dot{h}_{ij} + k^2 h_{ij} = 16\pi G \Pi_{ij}^{TT} \quad \text{GW SOURCE: tensor anisotropic stress}$$

Scaling of the signal with the source characteristics

$$\Omega_{\text{GW}}^* = \frac{\rho_{\text{GW}}^*}{\rho_{\text{tot}}^*} \sim (H_* \ell_*)^2 \left(\frac{\Pi}{\rho_{\text{tot}}^*} \right)^2 \quad \text{setting } k \sim \frac{1}{\ell_*}$$

$$\Omega_{\text{GW}}^{\text{today}} \sim 10^{-5} \Omega_{\text{GW}}^* \gtrsim 10^{-11} \quad \longrightarrow \quad (H_* \ell_*) \left(\frac{\Pi}{\rho_{\text{tot}}^*} \right) \gtrsim 10^{-3}$$

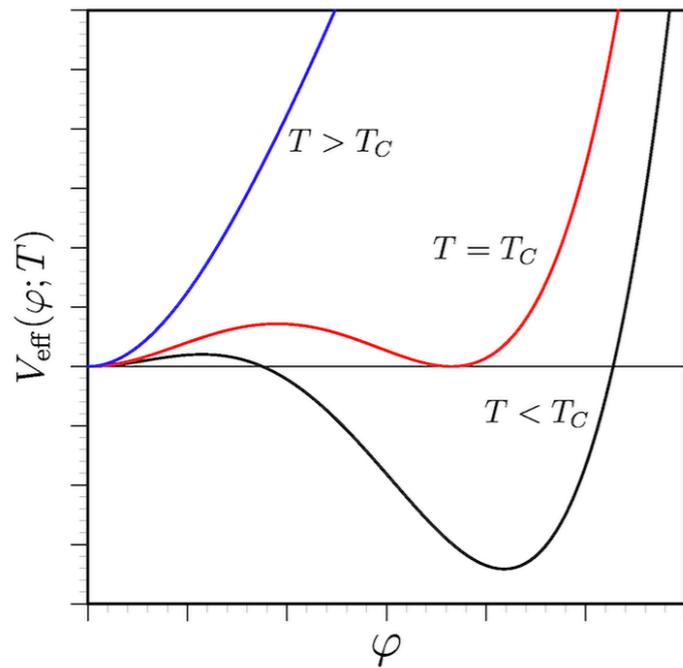
order of the sensitivity of LISA

High anisotropic stresses needed for a detectable signal

GW sources in the early universe

Which processes in the early universe can produce high anisotropic stresses?

Typically phase transitions, if they are first order...



- Bubble collision (scalar field gradients)

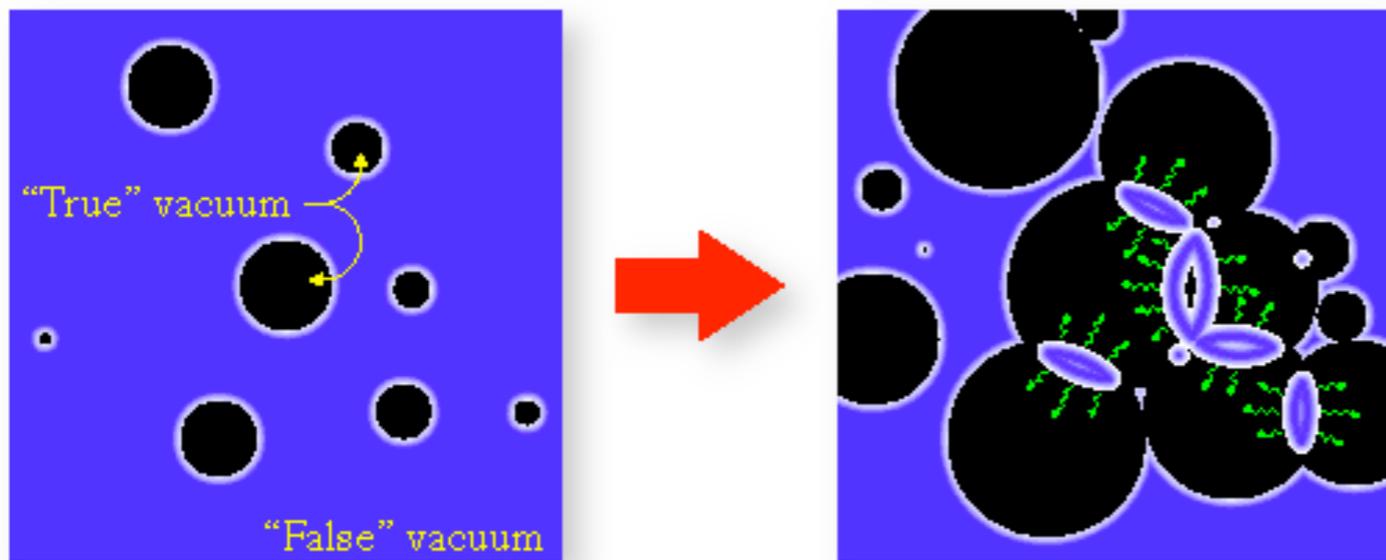
$$\Pi_{ij}^{TT} \sim [\partial_i \phi \partial_j \phi]^{TT}$$

- Bulk fluid motion

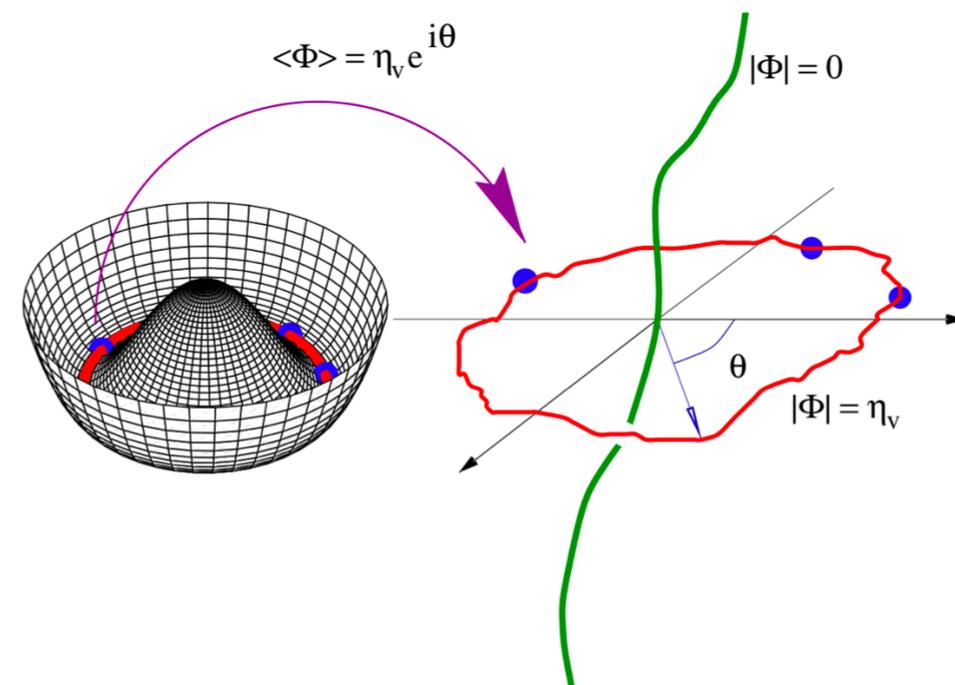
$$\Pi_{ij}^{TT} \sim [\gamma^2 (\rho + p) v_i v_j]^{TT}$$

- Electromagnetic fields

$$\Pi_{ij}^{TT} \sim [-E_i E_j - B_i B_j]^{TT}$$



... or if they produce topological defects

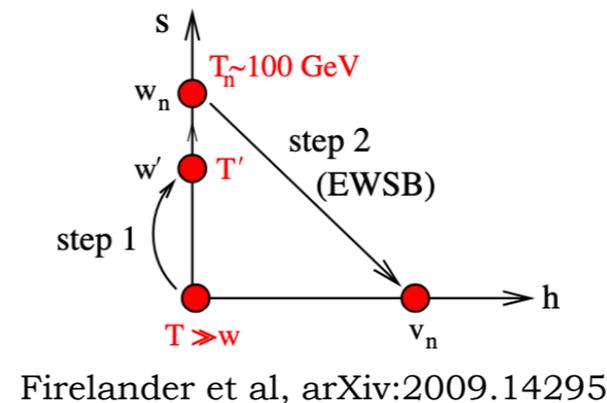
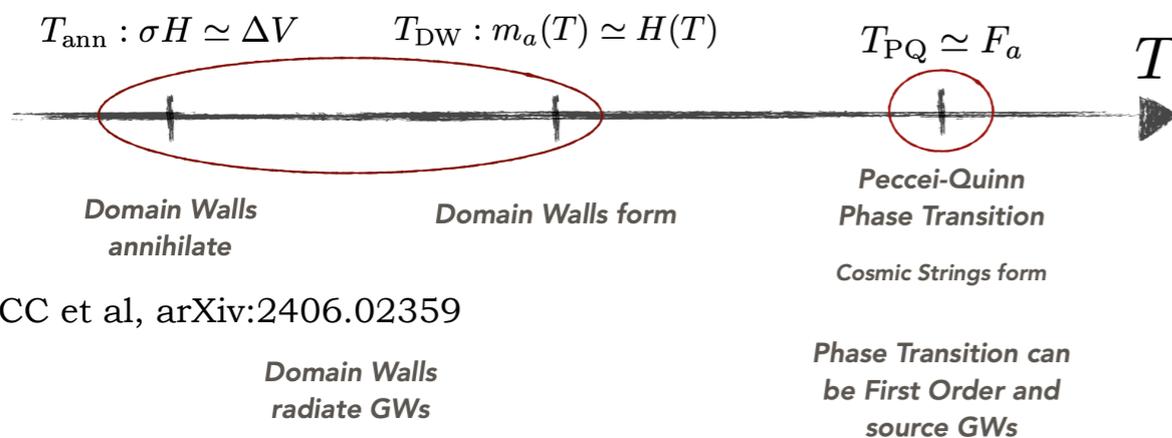


GW sources in the early universe

Which processes in the early universe can produce high anisotropic stresses?

Typically phase transitions, if they are first order...

- **EW sector extensions:** SM + light scalars (SM+singlet, SUSY, 2HDM, composite Higgs...)
- **Effective approaches:** heavy new physic represented by higher dimensional operators
- **Conformal models:** e.g. conformal symmetry breaking with dilaton
- **New symmetries:** extend the SM with e.g. $U(1)_{B-L}$
- **Hidden sectors:** provide also dark matter candidates, PT can be as strong as one wants
- **QCDPT:** might become first order if the lepton asymmetry in the universe is large
- **Peccei Quinn** can be first order depending on the realisation



... or if they produce topological defects

- **Axion or axion-like** models
- Spontaneous breaking of higher symmetry patterns possibly connected to **GUT**

Many models that can lead to potentially detectable GW signals: can we distinguish them?

GW from a first order PT

$$\Omega_{\text{GW}}^* \sim (H_* l_*)^2 \left(\frac{\Pi}{\rho_{\text{tot}}^*} \right)^2$$

- **the characteristic scale of the source:**

Size of the bubbles at collision
(towards the end of the PT)

$$l_* \sim R_* \sim \frac{v_w}{\beta}$$

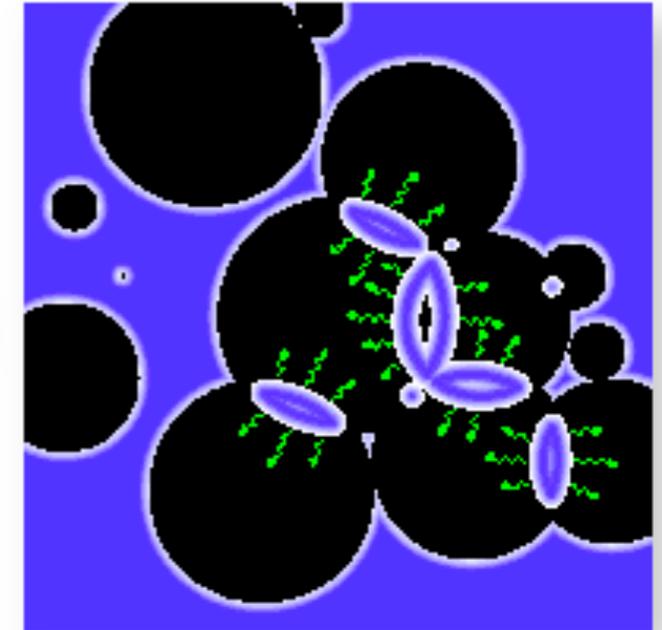
- Transition rate parameter
("duration" of the PT):

$$\beta = \left. \frac{d}{dt} S(t) \right|_{t_*}$$

from $\Gamma(t) = \Gamma(t_*) \exp[(\beta(t - t_*))]$.

Probability of tunnelling per unit volume and time

$$\Gamma(t) \simeq \max \left\{ \frac{1}{R_0^4} \left(\frac{S_4(\varphi)}{2\pi} \right)^2 \exp[-S_4(\varphi)], T^4 \left(\frac{S_3(\varphi, T)}{2\pi T} \right)^{3/2} \exp \left[-\frac{S_3(\varphi, T)}{T} \right] \right\}$$



GW from a first order PT

$$\Omega_{\text{GW}}^* \sim (H_* l_*)^2 \left(\frac{\Pi}{\rho_{\text{tot}}^*} \right)^2$$

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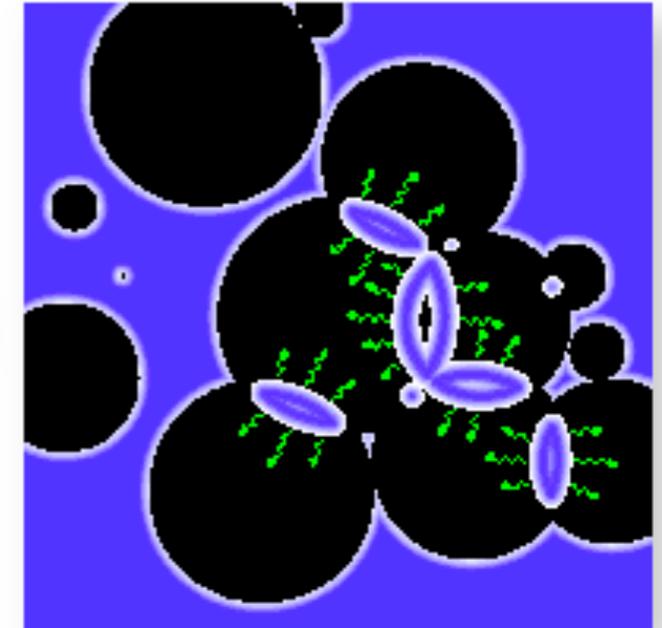
$$\beta = \left. \frac{d}{dt} S(t) \right|_{t_*}$$

- bubble wall velocity v_w

Difficult to estimate!

Thermal PT: terminal wall velocity (steady state bubble) given by the balance among the driving force (pressure difference) and the friction force (interaction of the wall with particles in the surrounding plasma)

Often used is a phenomenological description introducing a friction parameter (hopefully covering several particle theory models)



GW from a first order PT

$$\Omega_{\text{GW}}^* \sim (H_* \ell_*)^2 \left(\frac{\Pi}{\rho_{\text{tot}}^*} \right)^2$$

- **the characteristic scale of the source:**

Size of the bubbles at collision
(towards the end of the PT)

$$\ell_* \sim R_* \sim \frac{v_w}{\beta}$$

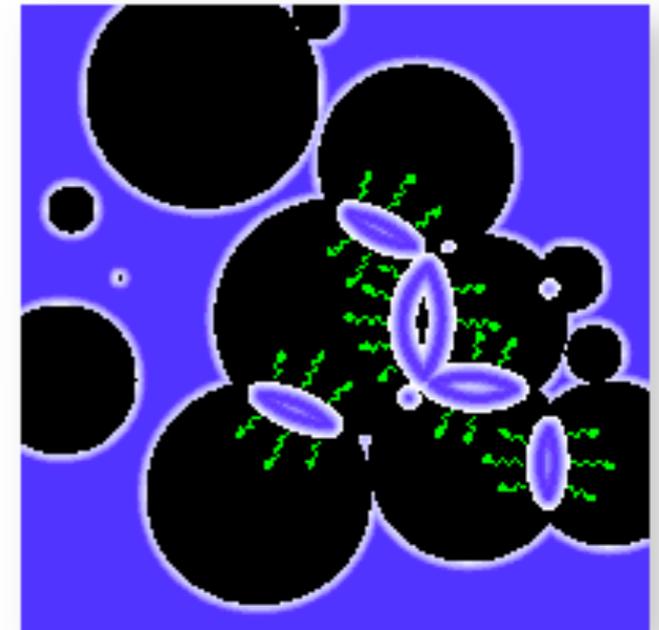
- Transition rate parameter
("duration" of the PT):

$$\beta = \left. \frac{d}{dt} S(t) \right|_{t_*}$$

- bubble wall velocity v_w

- redshift to get the characteristic frequency of the GW signal today:
temperature scale of the PT

$$f = f_* \frac{a_*}{a_0} = \frac{1.65 \times 10^{-5}}{\ell_* H_*} \left(\frac{g(T_*)}{100} \right)^{1/6} \frac{T_*}{100 \text{ GeV}} \text{ Hz}$$



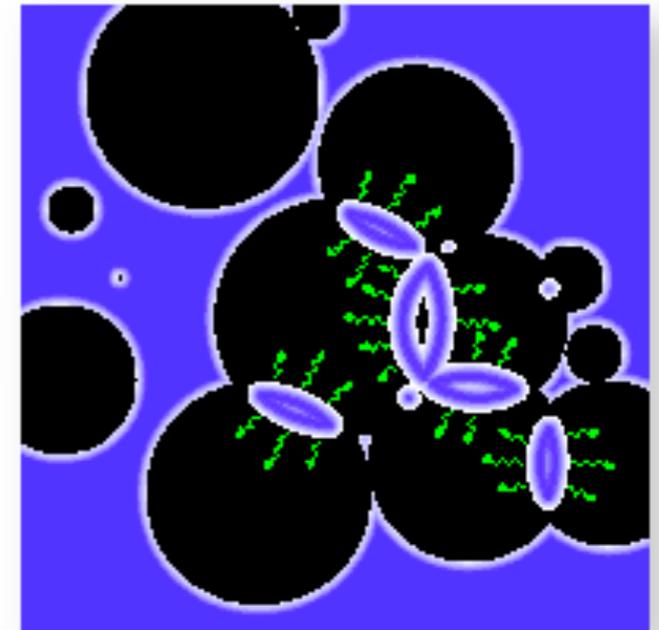
GW from a first order PT

$$\Omega_{\text{GW}}^* \sim (H_* \ell_*)^2 \left(\frac{\Pi}{\rho_{\text{tot}}^*} \right)^2$$

- **the anisotropic stress energy fraction:**

1. The colliding bubble walls source anisotropic stresses

-> gradient energy in the scalar field $K = \frac{\rho_\phi}{\rho_{\text{tot}}}$



2. The coupling with the surrounding fluid sets it into motion

bulk fluid motion sources anisotropies stress via

- *Sound waves* (compressional mode, linear)

- *Turbulence* (vortical mode, non-linear, possibly MHD)

$$\tau_{\text{nl}} \sim \frac{\ell_*}{v_{\text{rms}}} \leq H_*^{-1}$$

-> kinetic energy in the bulk fluid motion $K = \frac{\rho_v}{\rho_{\text{tot}}}$

Which process dominates depends on the strength of the PT $\alpha_{\text{bag}} = \frac{V_0(\varphi_f)}{\frac{\pi^2}{30} g_f T_f^4}$

GW from a first order PT

$$\Omega_{\text{GW}}^* \sim (H_* \ell_*)^2 \left(\frac{\Pi}{\rho_{\text{tot}}^*} \right)^2$$

- Strong PT $\alpha \gtrsim \mathcal{O}(1)$

Supercooling, short inflationary phase, bubbles possibly accelerating to the speed of light: GW sources are *bubble collisions and/or collisions of surrounding thin fluid shells*

$$K = \frac{\rho_\phi}{\rho_{\text{tot}}}$$

- Weak PT $\alpha \lesssim \mathcal{O}(10^{-2})$

Potential energy subdominant, GW production from fluid motion surrounding the bubbles, small velocity/enthalpy perturbations: *sound waves*

$$K = \frac{\rho_v}{\rho_{\text{tot}}}$$

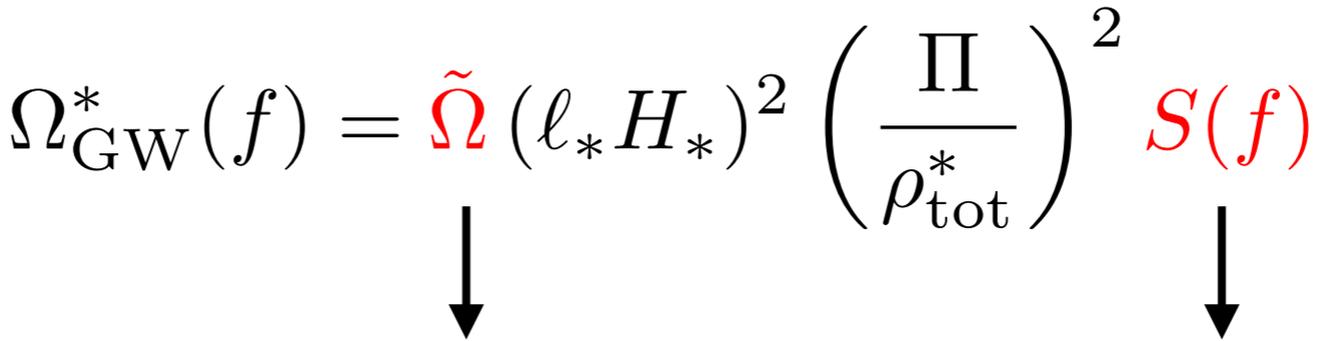
- Intermediate PT $\alpha \sim \mathcal{O}(10^{-1}) - \mathcal{O}(1)$

GW production from fluid motion but velocity/enthalpy perturbations can be high: non-linear compressional and vortical turbulence

Which process dominates depends on the **strength of the PT**

$$\alpha_{\text{bag}} = \frac{V_0(\varphi_f)}{\frac{\pi^2}{30} g_f T_f^4}$$

GW from a first order PT

$$\Omega_{\text{GW}}^*(f) = \tilde{\Omega} (\ell_* H_*)^2 \left(\frac{\Pi}{\rho_{\text{tot}}^*} \right)^2 S(f)$$


How much kinetic energy is in anisotropic stresses?

What is the spectral shape of the GW signal as a function of frequency?

- **numerical simulations are necessary** because of non-linear dynamics and/or complicated fluid shells profiles and/or intrinsic randomness of the process
 - link the PT strength to the actual energy available in the GW source
 - understand which source dominates and how they are connected
 - predict the signal amplitude and spectral shape
- several codes exist that tackle the problem with different characteristics: with/without scalar field dynamics, relativistic motion, expansion of the universe, magnetic field...

M. Hindmarsh et al, arXiv:1304.2433 and following, A. Roper Pol et al, arXiv:1903.08585 and following,
R. Jinno et al, arXiv:2209.04369 and following
- each source produces GW signals with different features (peak, slopes...) that are still work in progress

GW from a first order PT

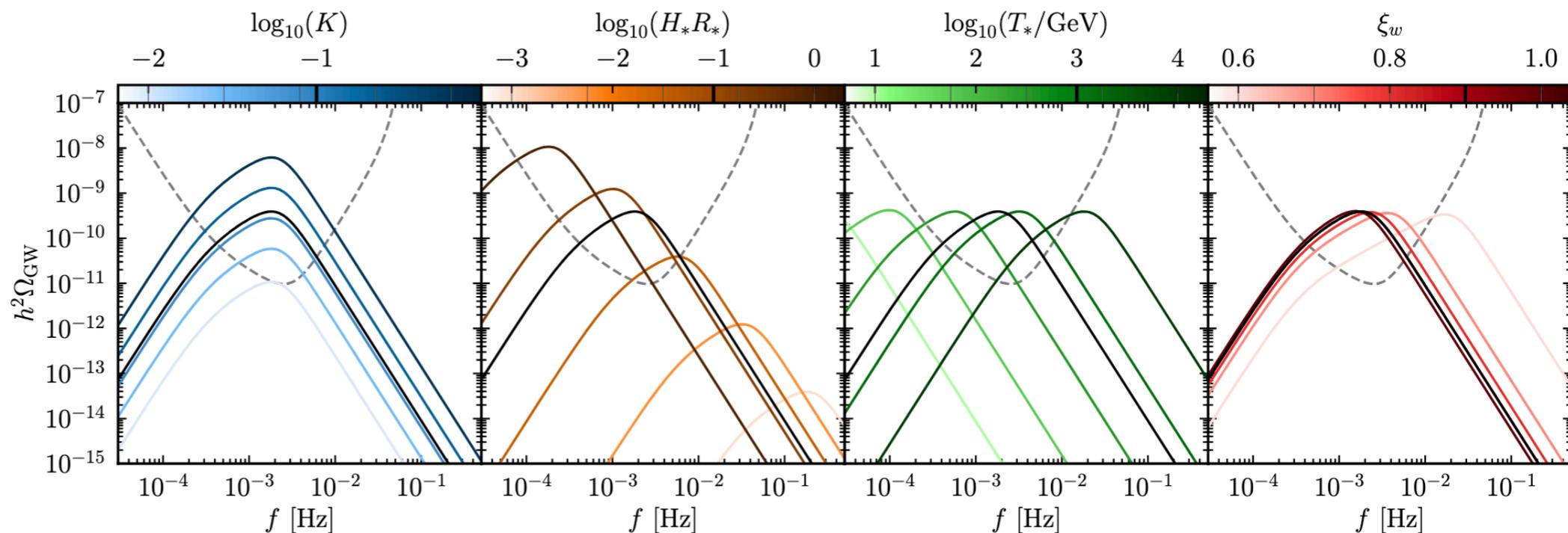
To summarise, the following “thermodynamic” parameters enter in the GW signal:

T_* , α , $\frac{\beta}{H_*}$ \longrightarrow Determined by the effective potential
 v_w , K \longrightarrow Determined by the bubble expansion dynamics and interaction, and by the fluid dynamics (sound speed fixed)

If the PT is strong and non-linearities in the bulk fluid develop: fraction of kinetic energy in turbulent motions $\varepsilon = \frac{K_{\text{turb}}}{K}$

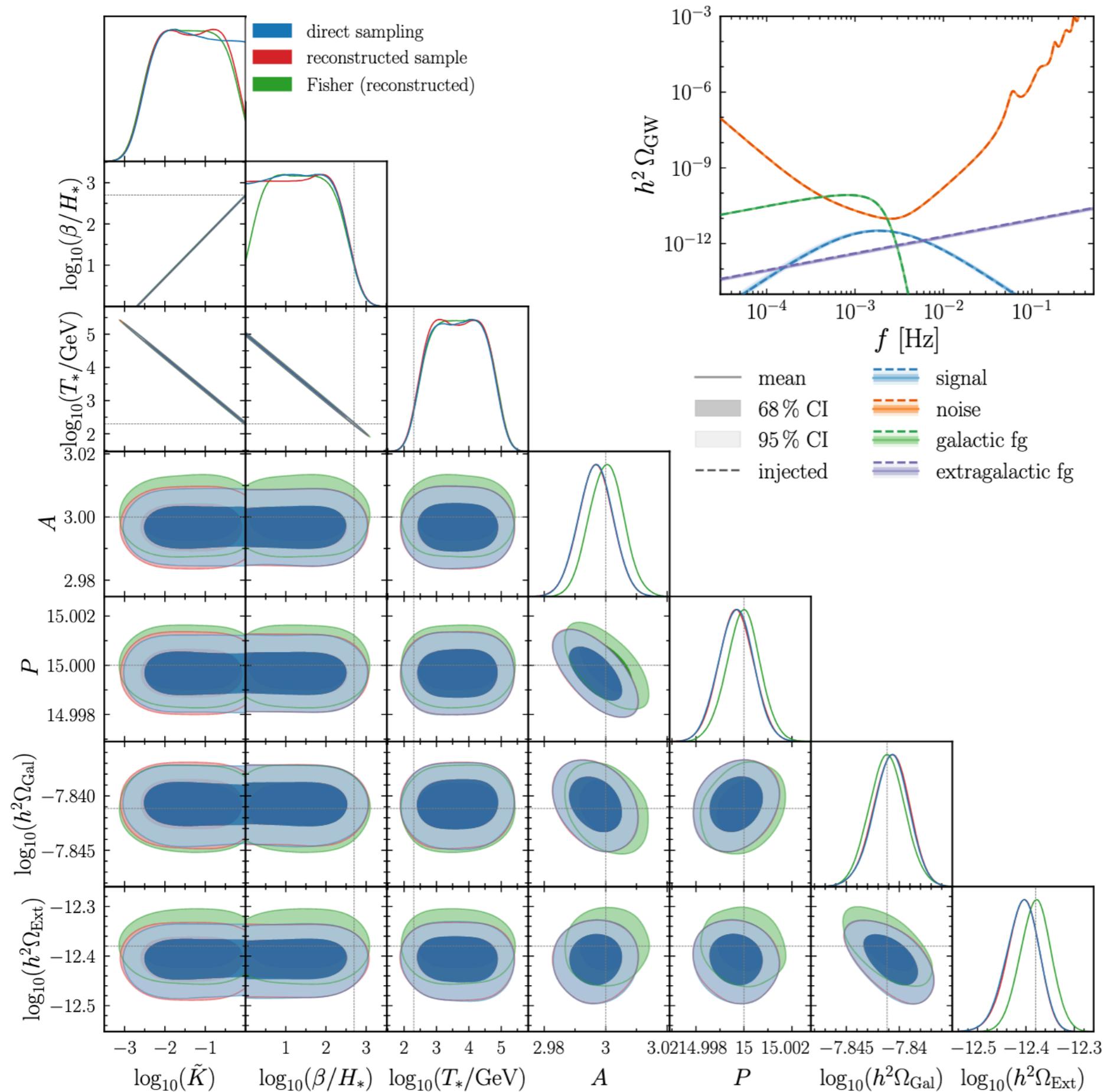
Most of these parameters are known (at least in principle) given a PT model + numerical simulations of the fluid dynamics

However, there are degeneracies to extract them from the GW detection



(b) sound waves (black: $K = 0.1$, $H_* R_* = 0.1$, $\xi_w = 0.9$, $T_* = 1 \text{ TeV}$)

Examples of detectable signal from the EWPT

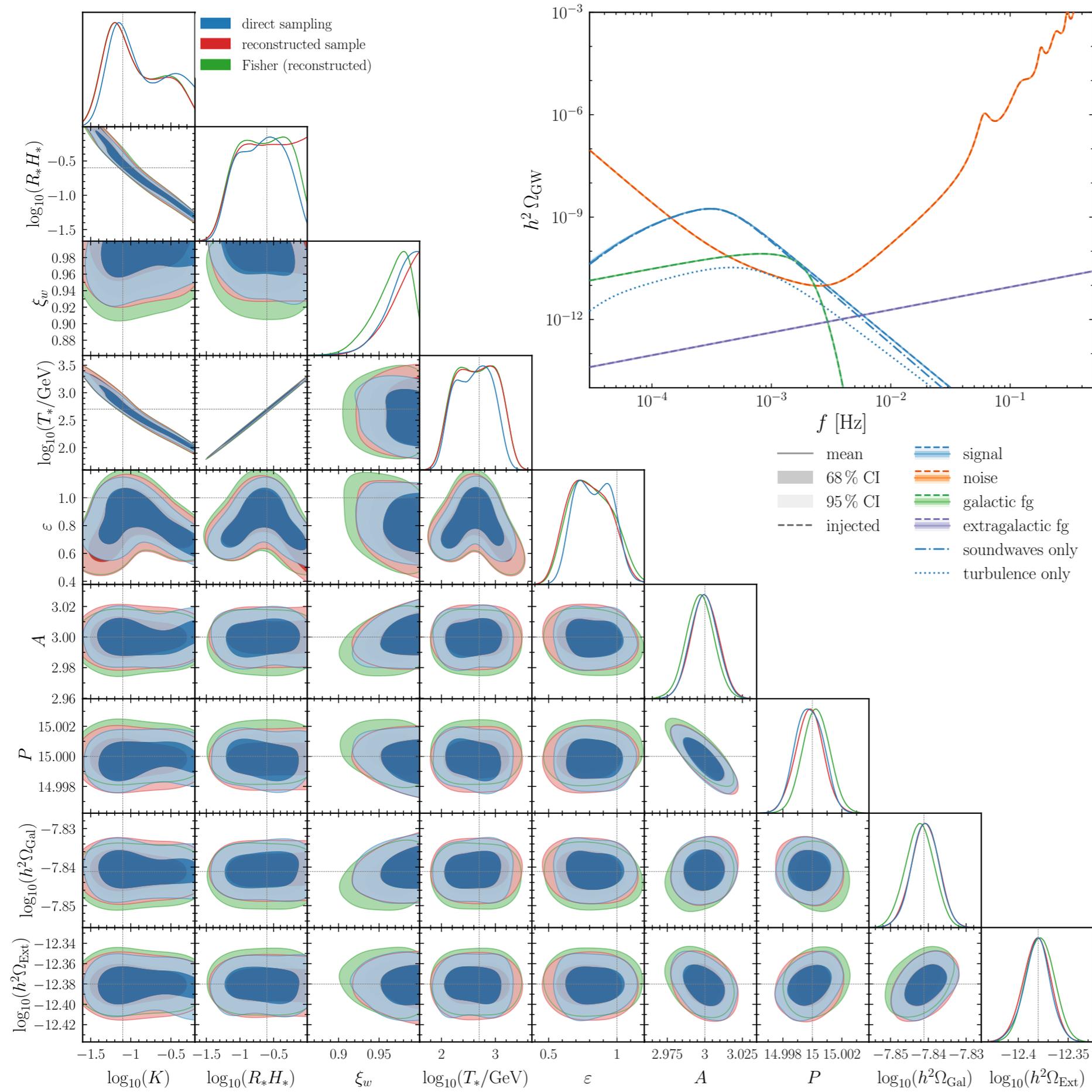


Template-based
reconstruction of the
thermodynamic
parameters of the first
order PT for
bubble collisions

accounting for
foregrounds and
assuming a two-
parameters noise model

LISA CosWG,
arXiv:2403.03723

Examples of detectable signal from the EWPT



Template-based
reconstruction of the
thermodynamic
parameters of the first
order PT for
sound waves +
turbulence

accounting for
foregrounds and
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LISA CosWG,
arXiv:2403.03723

Examples of detectable signal from the EWPT

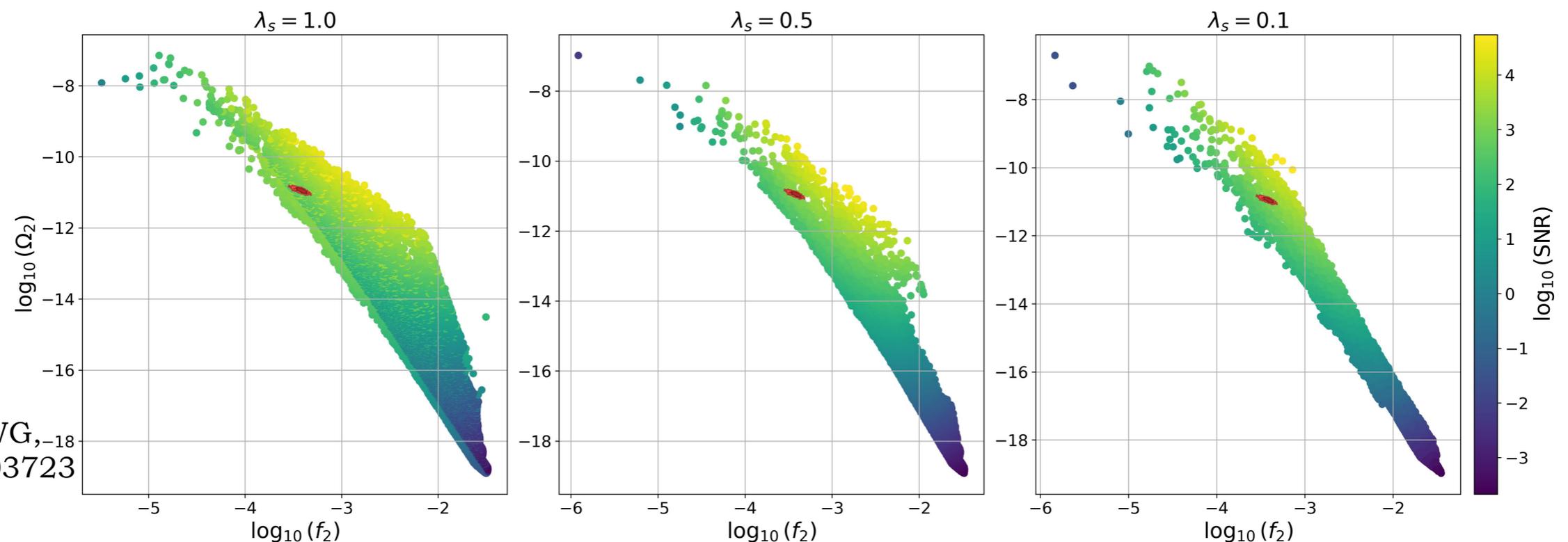
Is it possible to infer the underlying PT model from the GW measurement?
No, too many degeneracies

but it is possible to constrain the model once you assume it:
the thermodynamic parameters reconstructed from the SGWB measurement can be mapped to those of the particle physics model underlying the PT, possibly synergising with current and future particle physics experiments

Example: Z_2 singlet extension of the Standard Model

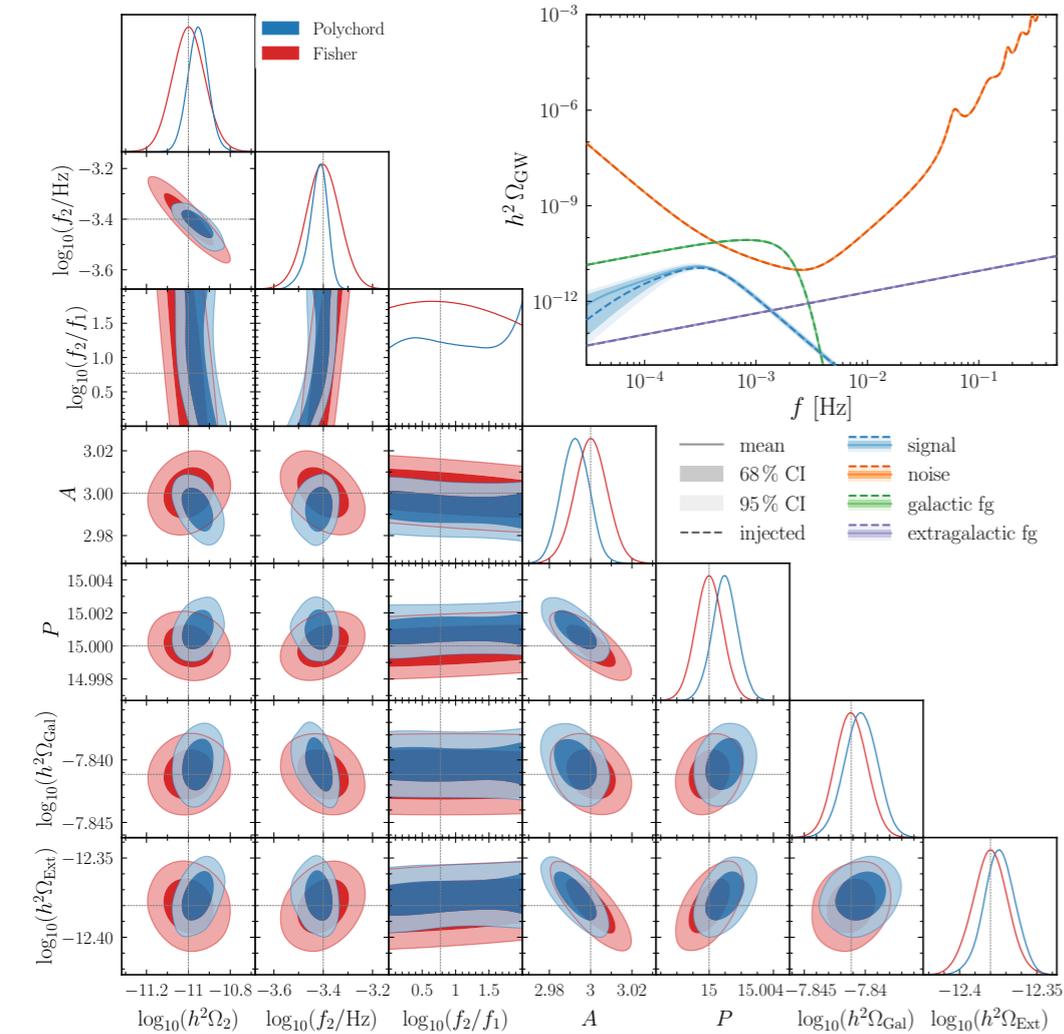
Step 1. Predict the GW signal from the model parameters

Peak amplitude and frequency of the GW signal + SNR in LISA for three fixed quartic couplings

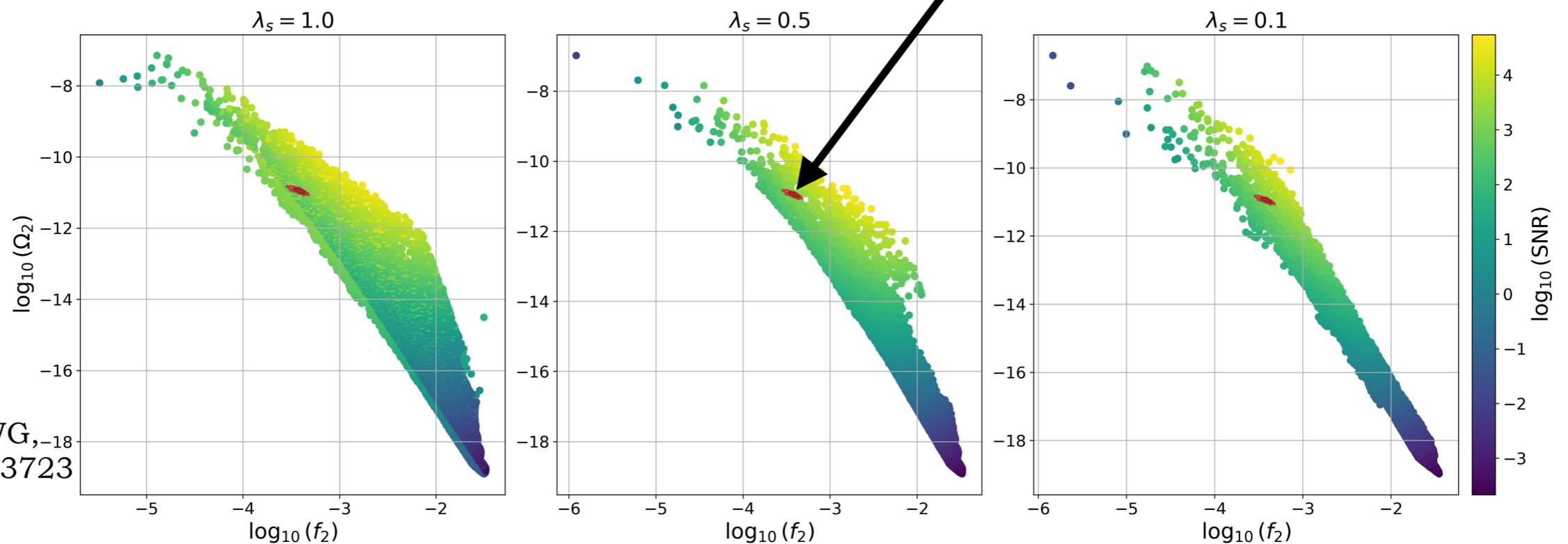


Examples of detectable signal from the EWPT

Step 2. LISA measures a signal compatible with bubble collisions from the first order PT: the thermodynamic parameters are reconstructed from the LISA measurement

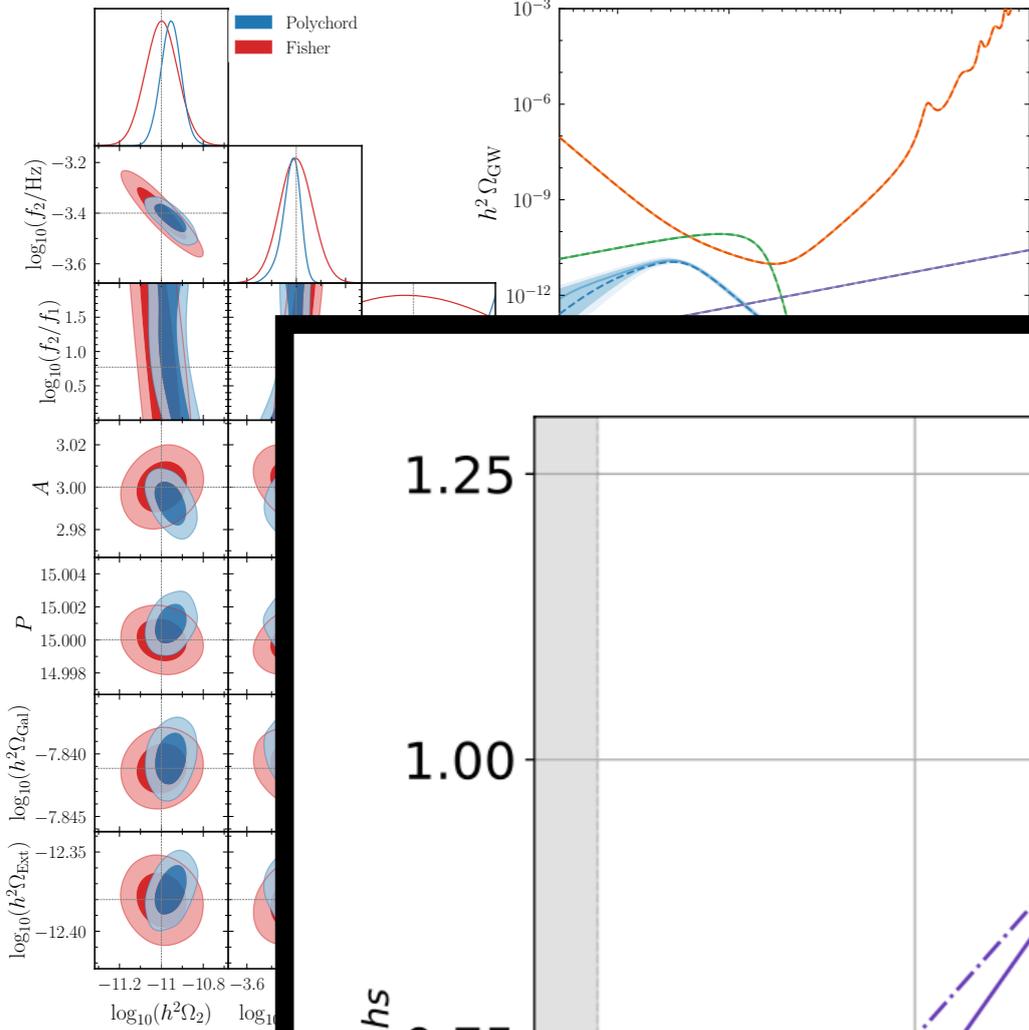


LISA measurement

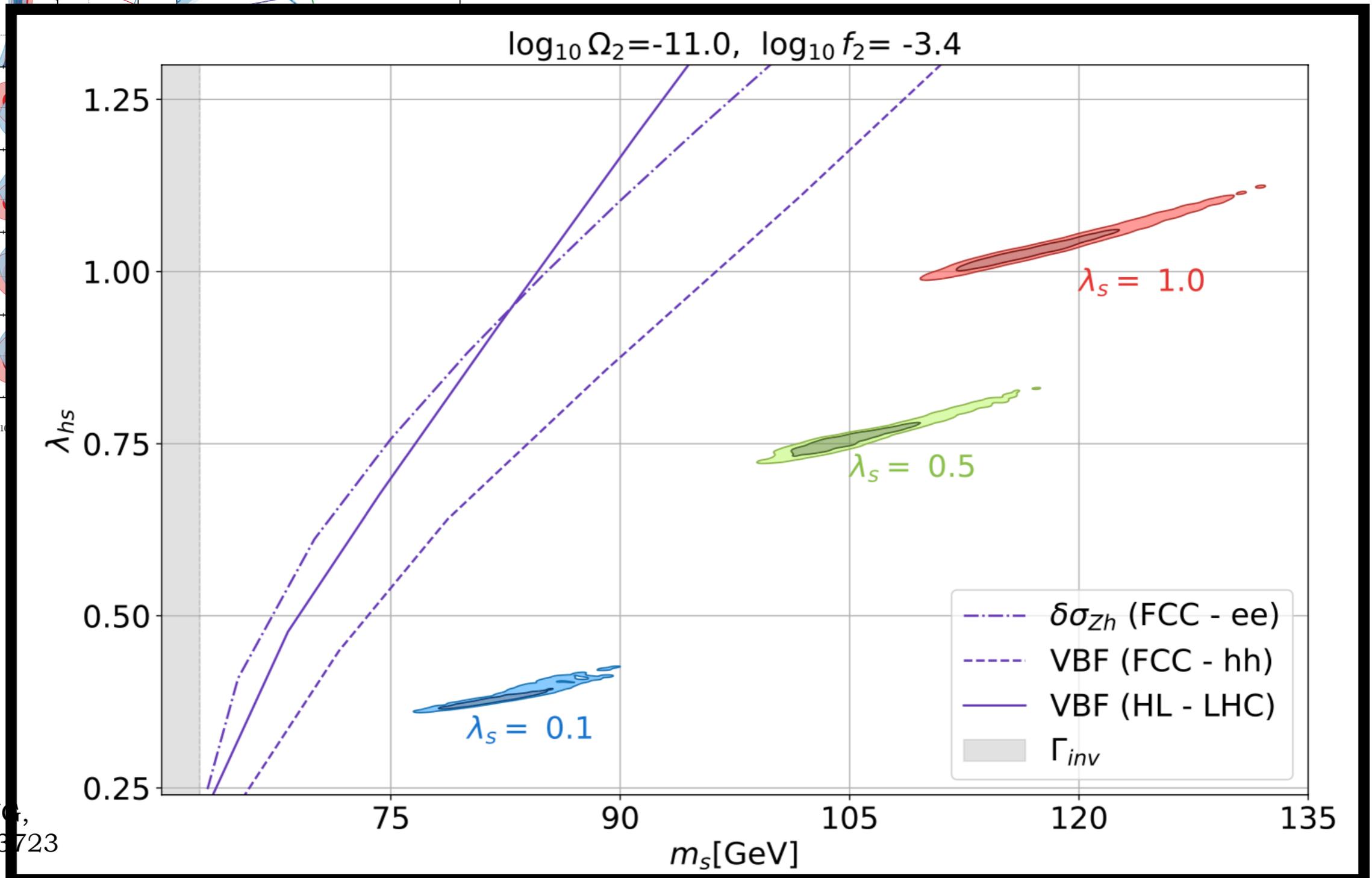


Examples of detectable signal from the EWPT

Step 3. The LISA measurement via the reconstruction of the thermodynamic parameters can be translated into constraints on the parameter space of the model

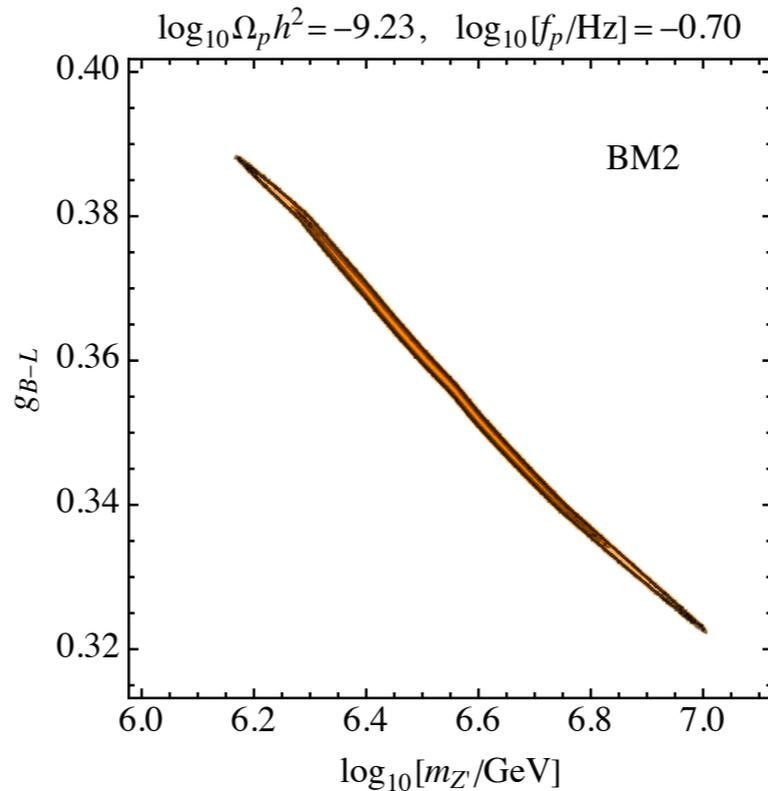
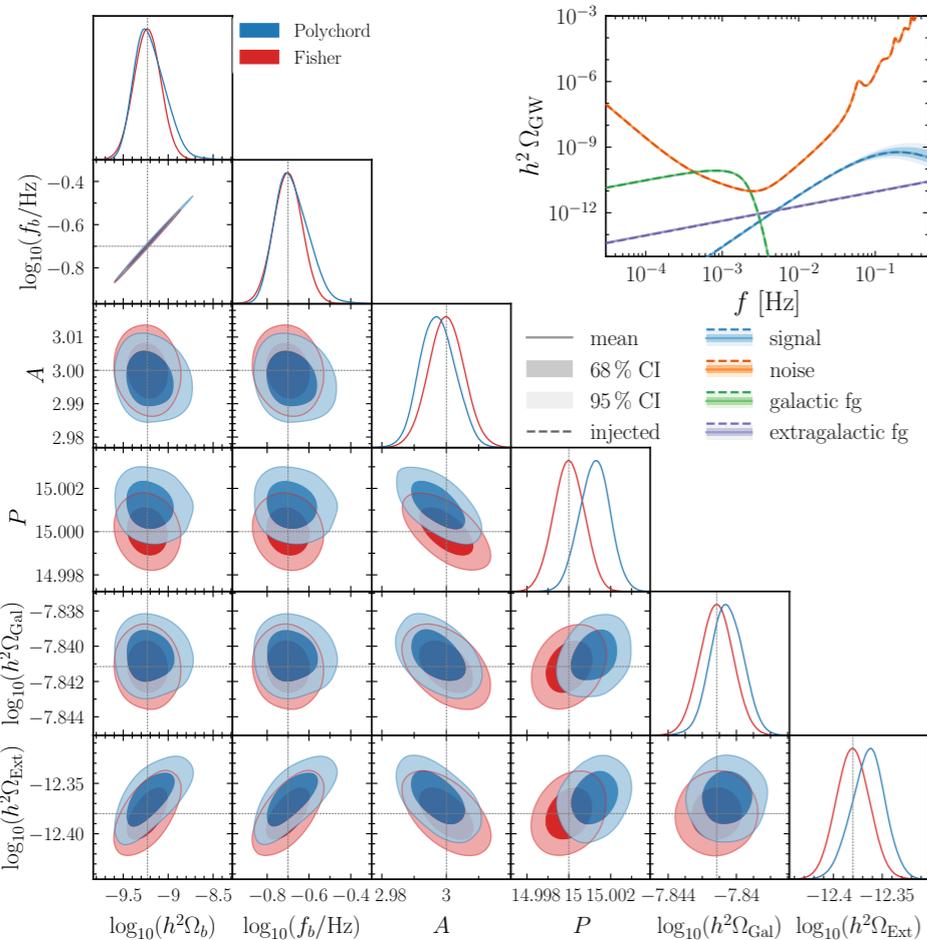
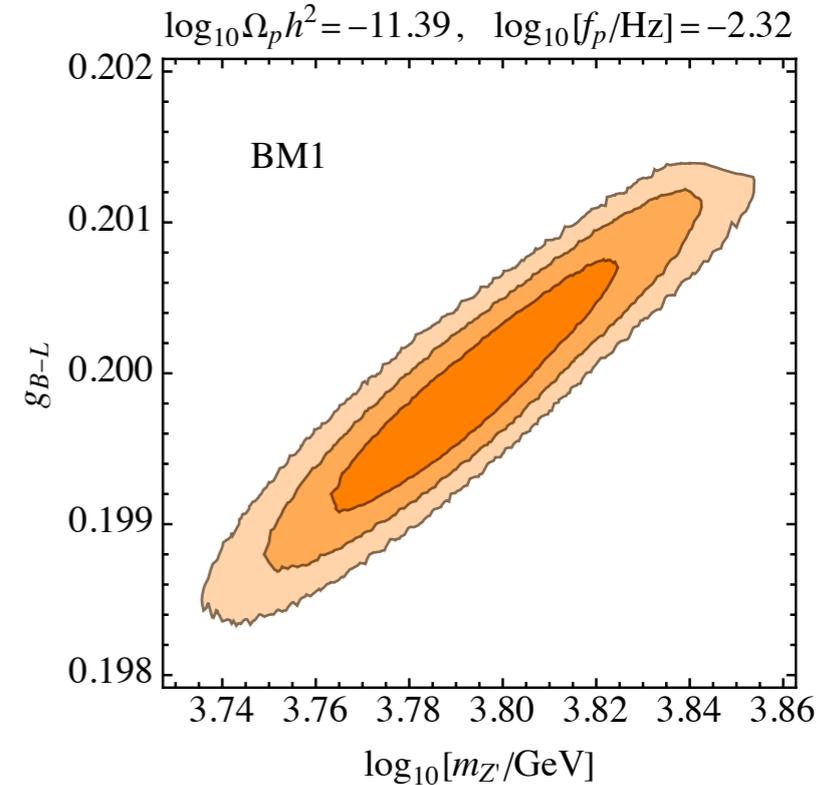
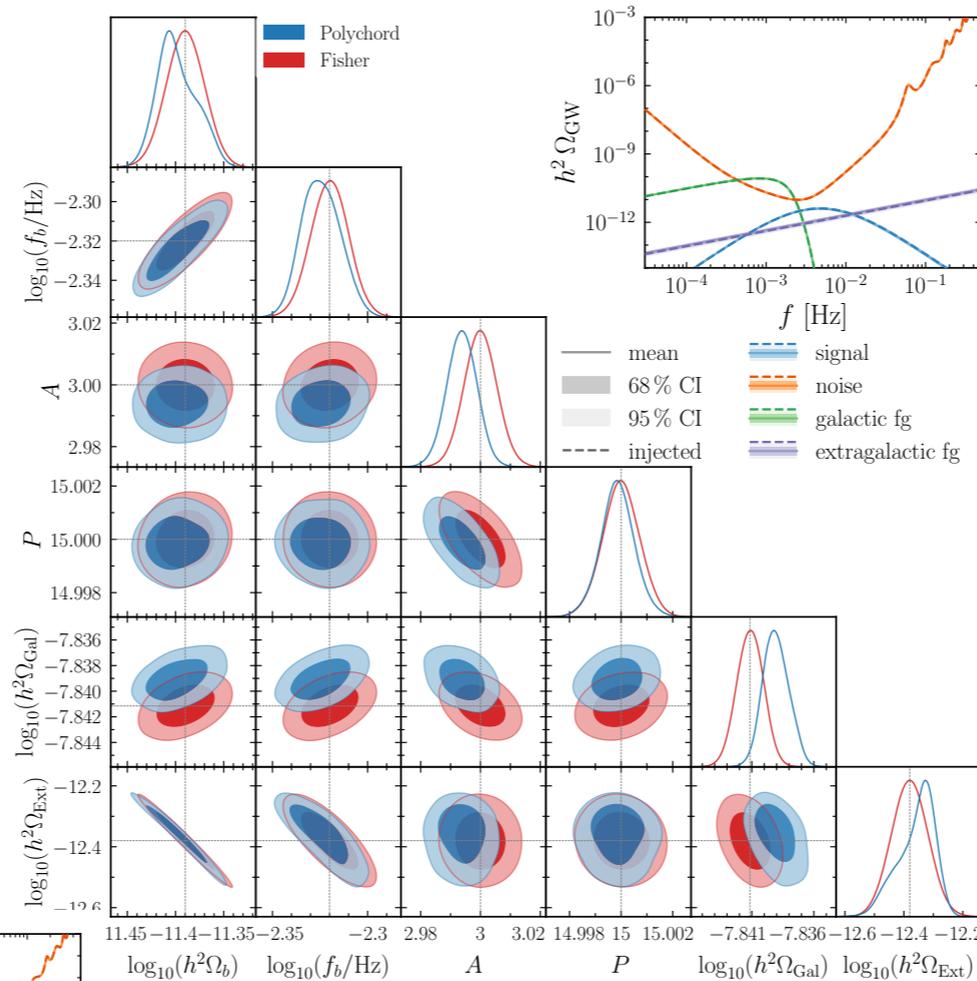


LISA CosWg,
arXiv:2403.03723



Examples of detectable signal from the EWPT

**Same procedure,
another example:
Standard Model
extended with $U(1)_{B-L}$**



Two different
measurements at LISA
lead to different
constraints on the
model parameter space

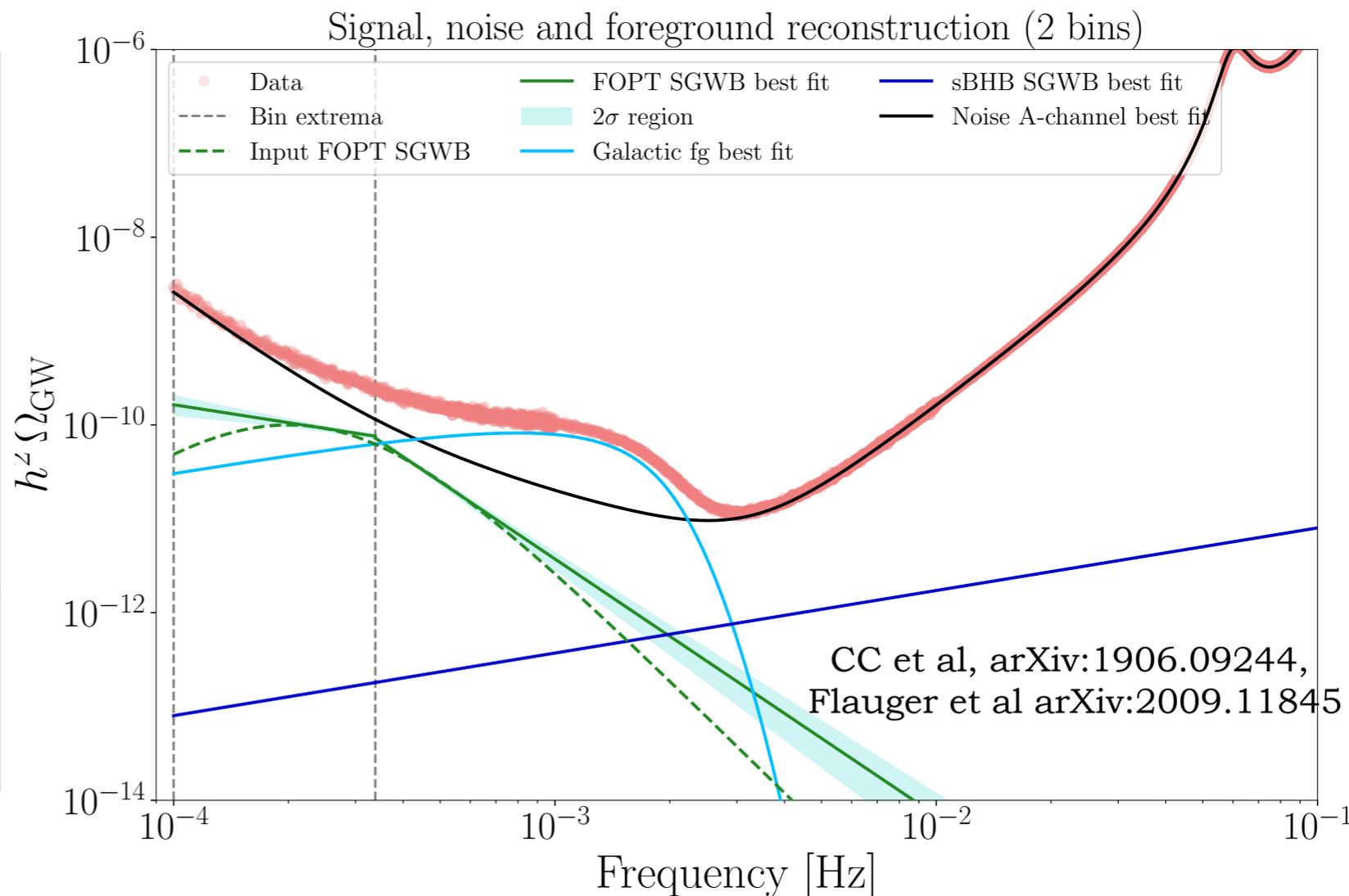
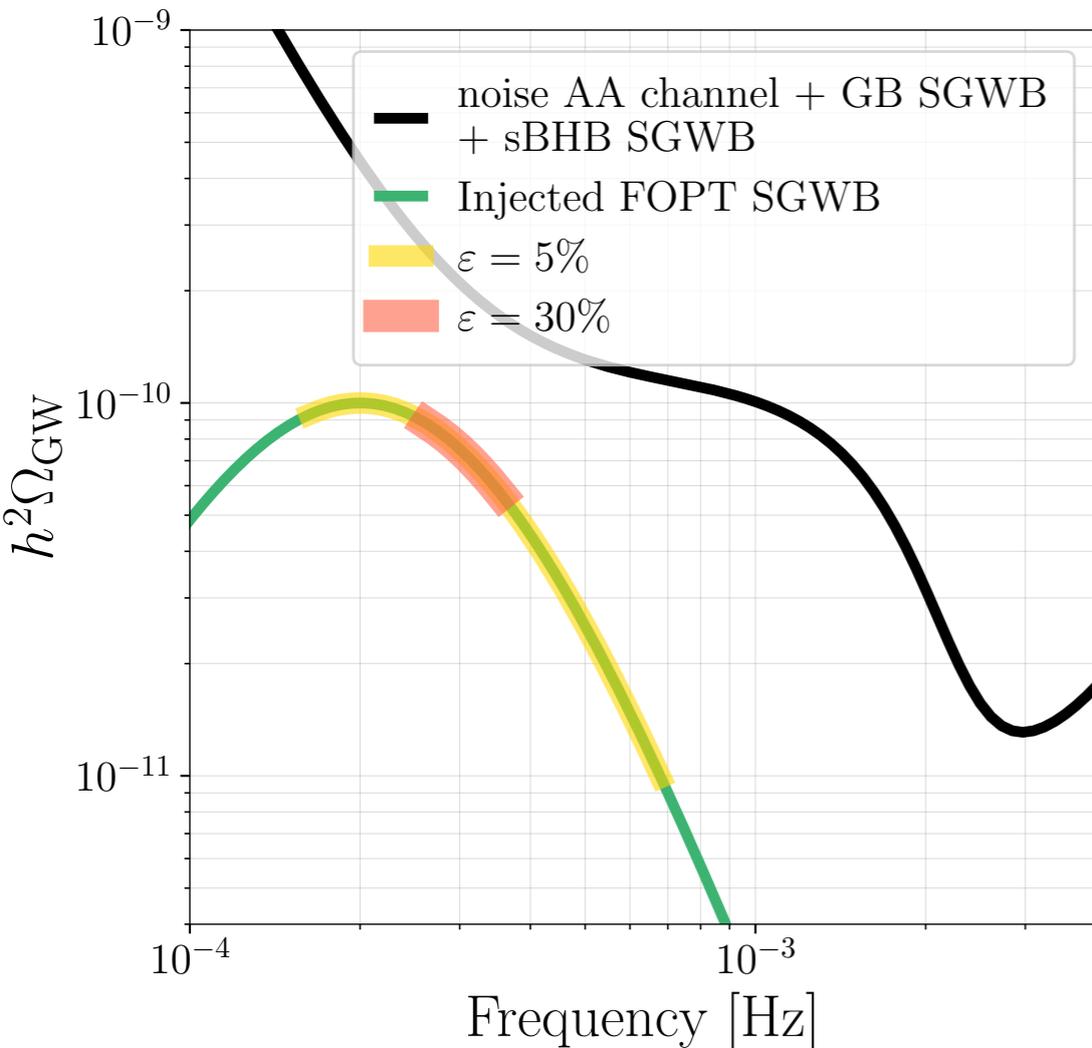
Detection challenges

Is it possible to **reconstruct the GW signal spectral shape**, to identify that the source is a first order PT, *provided we have a model for the LISA instrument noise*?

LISA Red Book arXiv:2402.07571

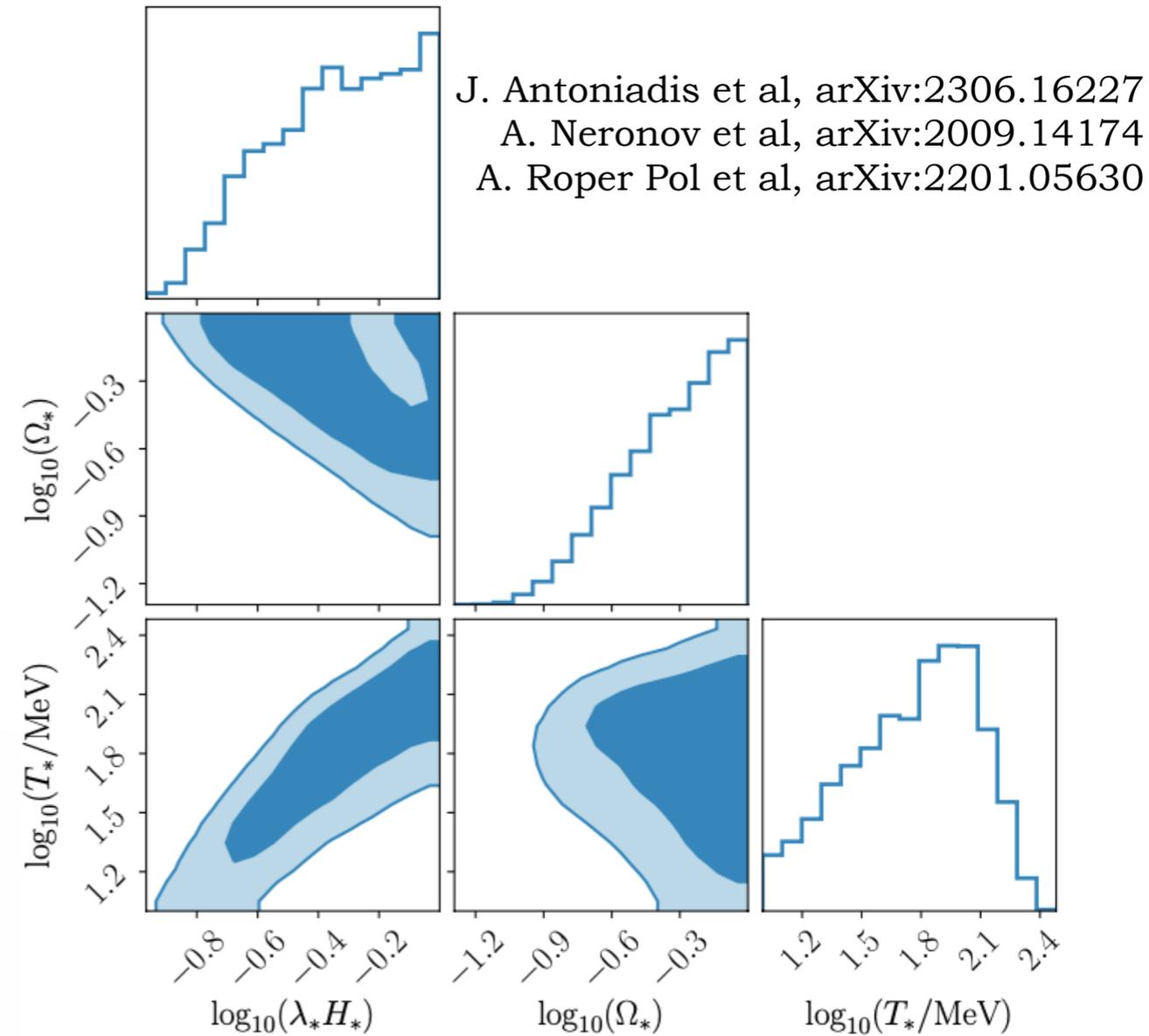
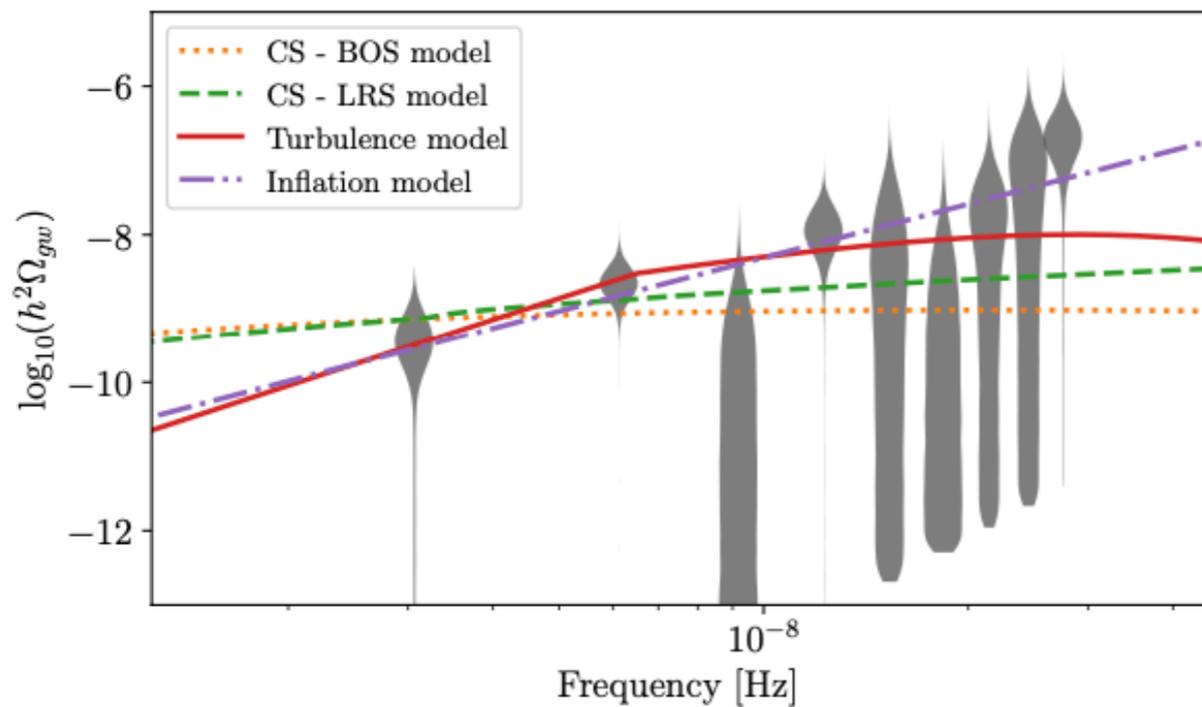
Signal from a singlet extension with $m_s = 0.94 \text{ GeV}$, $\lambda_s = 1$, $\lambda_{h_s} = 0.92$

N. Karnesis, arXiv:1906.09027

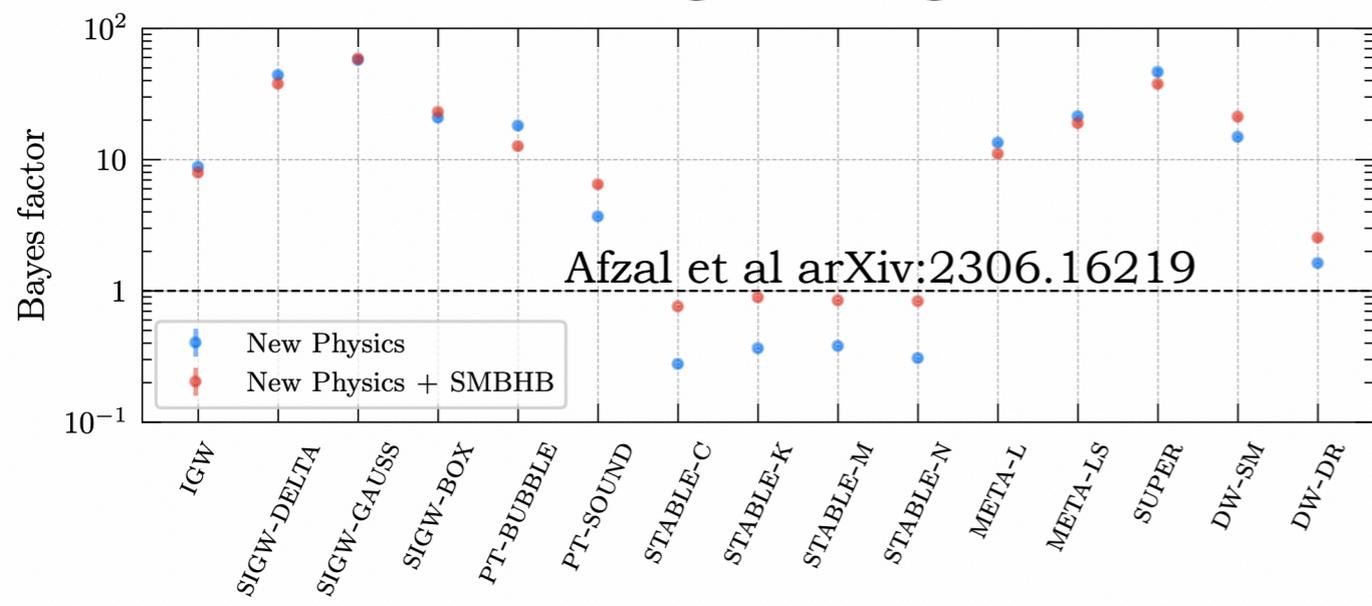


An example of possible detection at European Pulsar Timing Arrays

PTAs are sensitive to energy scales around the **QCD scale** and the signal is compatible with GWs generated by MHD turbulence at the QCD scale



Can we assess the signal origin?



A first order QCDPT?
 D. Schwarz and Stuke, arXiv:0906.3434
 M. Middeldorf-Wygas et al, arXiv:2009.00036

To summarise

- Stochastic GW backgrounds from the early universe form a fossil radiation which has the potential to provide information on high energy physics
- Present and future GW detectors have **frequency ranges serendipitously adapted** to probe interesting energy scales in the universe, at which e.g. phase transitions are expected to occur
- GW production from a phase transition has a very rich phenomenology, but **the signals are difficult to predict**: complex, non-linear physics + freedom in model building + uncertainty on the early universe conditions
- For first order phase transitions, the GW spectral shape possesses in general less features than the parameters determining the signal: there are **degeneracies**. Furthermore, there are degeneracies between the thermodynamic parameters and the underlying particle physics model
- However, a (non-)detection can be exploited to **constrain given models**, but not to infer which model produced the signal
- In reality, things are even more complicated: LISA is the only observatory with no associated smoking gun of a SGWB detection, so will we be able to **distinguish a SGWB from the instrumental noise?**