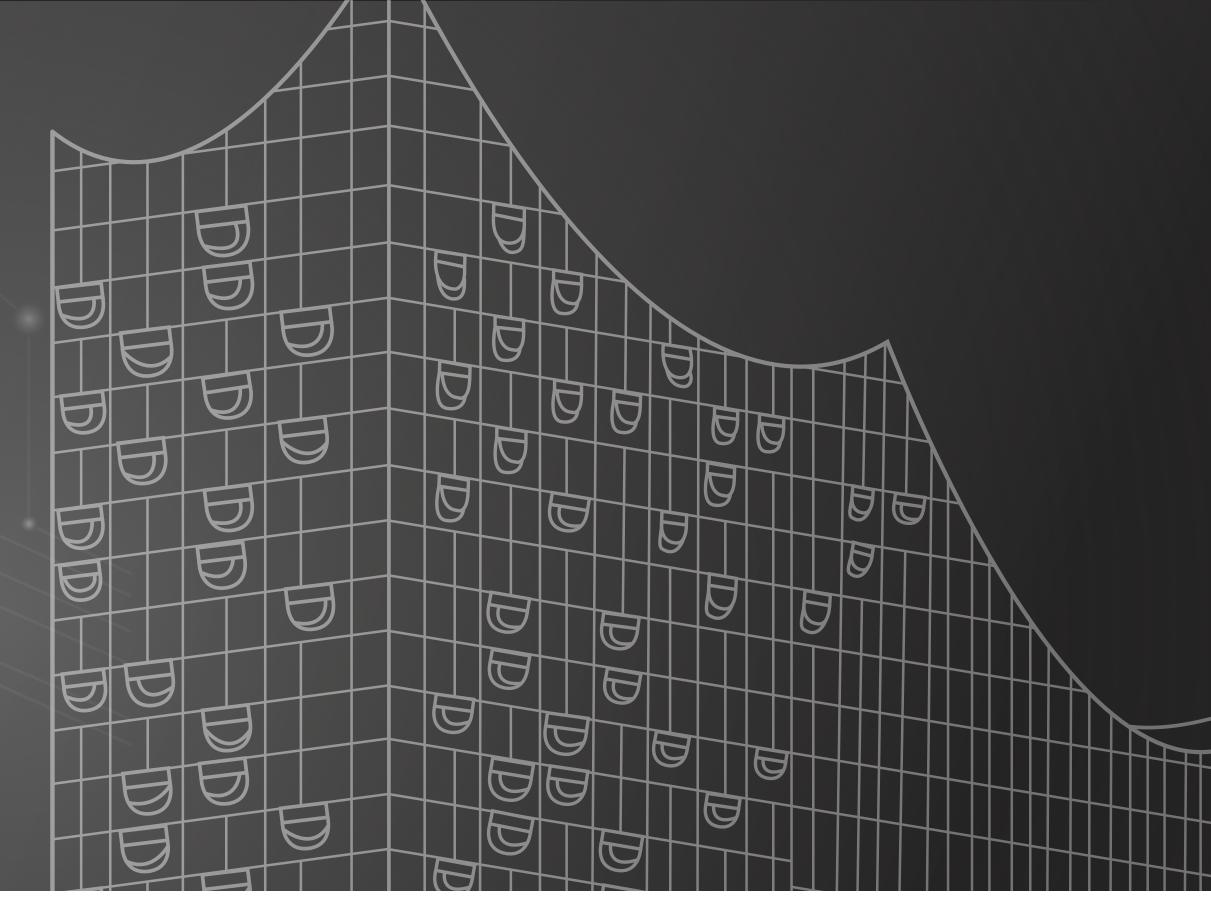
STEFANO LANZA UNIVERSITY OF HAMBURG

NEURAL NETWORK LEARNING AND QUANTUM GRAVITY



BASED ON: ARXIV:2403.03245, ARXIV:2311.03437





• WHY WE NEED MACHINE LEARNING IN QUANTUM GRAVITY

 \Rightarrow The string landscape is **too vast** for a systematic exploration!

This could be beneficial for the **Swampland Program**:

- The number of consistent string theory vacua ranges from $\sim 10^{500}$ to $\sim 10^{272,000}$.

 - With Machine Learning we can study **big data sets** of consistent theories and extract relevant information
 - Test existent conjectures with supervised techniques
 - Uncover new patterns with unsupervised techniques



• WHAT CAN WE 'LEARN' IN QUANTUM GRAVITY?

But what can we 'learn' in Quantum Gravity effective field theories?



• WHAT CAN WE 'LEARN' IN QUANTUM GRAVITY?

We address this question with three key tools:

A mathematical definition of Learning

The shattering dimensions

- But what can we 'learn' in Quantum Gravity effective field theories?

o-minimal structures, defining Quantum Gravity interactions



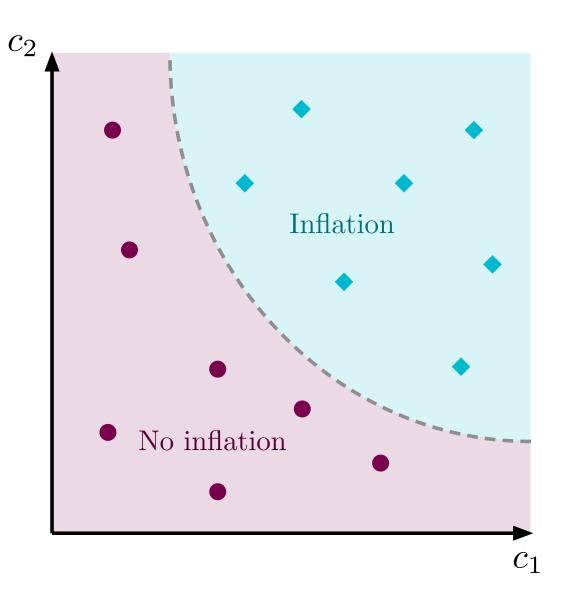
• WHAT CAN WE 'LEARN' IN QUANTUM GRAVITY?

Here, we will focus only on **binary supervised problems**, namely problems that can be answered with a 'yes' or a 'no'.

Example:

Consider an effective theory with a scalar potential $V(\varphi, c)$. Does it accommodate slow-roll inflation for some parameters c^a ?

But what can we 'learn' in Quantum Gravity effective field theories?





• 1. A DEFINITION FROM STATISTICAL LEARNING THEORY



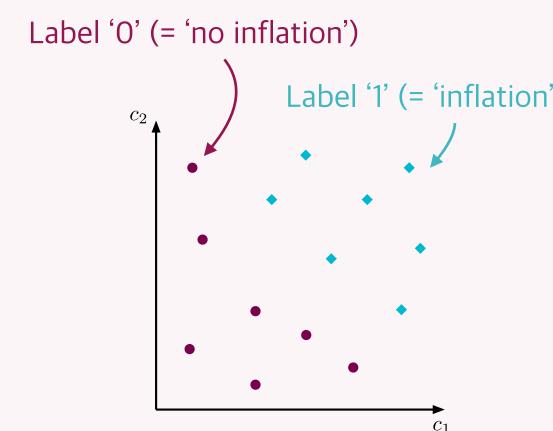
• 1. A DEFINITION FROM STATISTICAL LEARNING THEORY

> The Data space is $Z = X \times Y$ with

X =input (coordinate) space $\subset \mathbb{R}^n$, Y =output (label) space $= \{0,1\}$

The Data set fed to the algorithm is a discrete subset of the Data space:

Data set = { (x_i, y_i) } $\subset X \times Y \subset \mathbb{R}^n \times \{0, 1\}$,



$$i = 1, ..., N_{data}$$

• 1. A DEFINITION FROM STATISTICAL LEARNING THEORY

\blacktriangleright The Data space is $Z = X \times Y$ with

X = input (coordinate) space $\subset \mathbb{R}^n$, Y = output (label) space $= \{0, 1\}$

> The Data set fed to the algorithm is a discrete subset of the Data space:

Data set = { (x_i, y_i) } $\subset X \times Y \subset \mathbb{R}^n \times \{0, 1\}$,

Introduce a set of functions \mathcal{F} among which we search for a function

f(x): X

that best models the data, with the smallest possible error.

> A learning algorithm ℓ is a map

 ℓ : Data se

that selects a function f, within \mathcal{F} , with the property that, if m is sufficiently large, then the error of f(x) is small enough, $\operatorname{error}_{P}(\mathscr{C}) < \operatorname{opt}_{P}(\mathscr{F}) + \epsilon$, with high enough probability.

$$i = 1, \dots, N_{data}$$

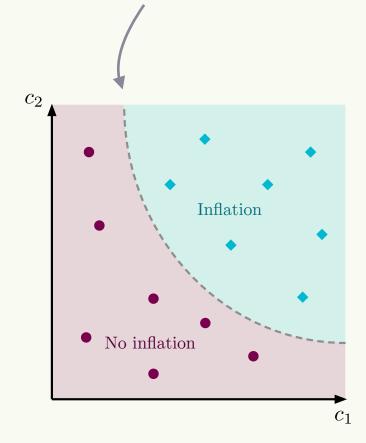
$$\rightarrow Y$$

Label '0' (= 'no inflation')
Label '1' (= 'inflation')

$$c_2$$

 c_2
 c_2
 c_2
 c_2
 c_2
 c_2
 c_2
 c_3
 c_4
 c_4
 c_5
 c_6
 c_6
 c_6
 c_6
 c_6
 c_6
 c_7
 c_7
 c_7
 c_7
 c_8
 c_7
 c_8
 c_7
 c_8
 c_9
 c_1
 c_2
 c_1
 c_1
 c_1
 c_2
 c_1
 c_1
 c_2
 c_1
 c_1
 c_2
 c_1
 c_2
 c_1
 c_2
 c_1
 c_1
 c_2
 c_2
 c_1
 c_2
 c_2
 c_1
 c_2
 c_1
 c_2
 c_1
 c_2
 c_2
 c_1
 c_2
 c_1
 c_2
 c_2
 c_3
 c_1
 c_2
 c_1
 c_2
 c_3
 c_1
 c_2
 c_1
 c_2
 c_3
 c_1
 c_2
 c_2
 c_3
 c_1
 c_2
 c_2
 c_3
 c_4
 c_1
 c_2
 c_1
 c_2
 c_3
 c_4
 c_1
 c_2
 c_1
 c_2
 c_2
 c_3
 c_4
 c_1
 c_2
 c_1
 c_2
 c_1
 c_2
 c_2
 c_3
 c_4
 c_1
 c_1
 c_2
 c_1
 c_2
 c_1
 c_2
 c_2
 c_3
 c_1
 c_2
 c_1
 c_2
 c_2
 c_1
 c_2
 c_2
 c_1
 c_2
 c_2
 c_3
 c_1
 c_2
 c_2
 c_2
 c_3
 c_1
 c_2
 c_2
 c_3
 c_1
 c_2
 c_2
 c_3
 c_2
 c_3
 c_2
 c_3
 c_3
 c_3
 c_4
 c_5
 c_5

Function $f(c_1, c_2)$ specifying the boundary



$$\mathfrak{t}_m \to \mathscr{F}$$

2. THE VAPNIK-CHERVONENKIS DIMENSION

Consider a family of sets \mathscr{C} and a set S. We say that the set S is 'shattered' by the sets of the family \mathscr{C} if all the subsets of S are contained in \mathscr{C} , namely:

The Vapnik-Chervonenkis dimension of \mathscr{C} is the cardinality of the largest set that \mathscr{C} can shatter.

C is infinite.

- $\mathscr{C} \cap S := \{C \cap S \mid C \in \mathscr{C}\}$ contains all the subsets of S
- If any set can be shattered by the family of sets \mathscr{C} , we say that the Vapnik-Chervonenkis dimension of

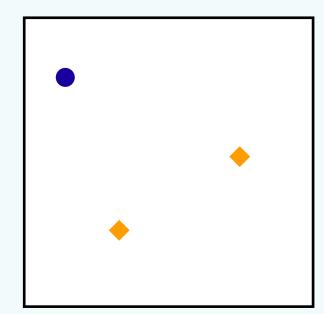
2. THE VAPNIK-CHERVONENKIS DIMENSION

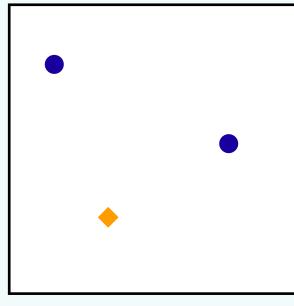
Consider a family of sets \mathscr{C} and a set S. We say that the set S is 'shattered' by the sets of the family \mathscr{C} if all the subsets of S are contained in \mathscr{C} , namely:

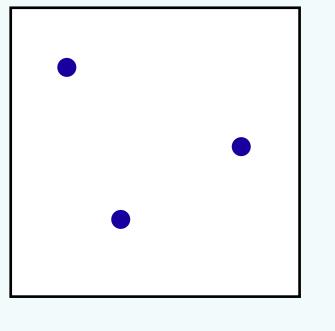
The Vapnik-Chervonenkis dimension of \mathscr{C} is the cardinality of the largest set that \mathscr{C} can shatter.

C is infinite.

'shatter' its subsets via \mathscr{C} = sets separated by a single line

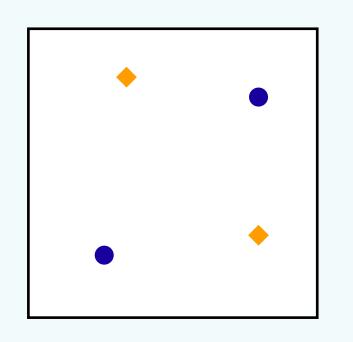




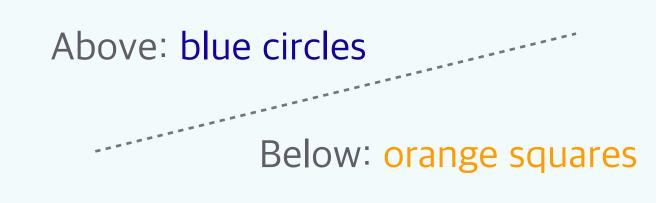


- $\mathscr{C} \cap S := \{C \cap S \mid C \in \mathscr{C}\}$ contains all the subsets of S
- If any set can be shattered by the family of sets \mathscr{C} , we say that the Vapnik-Chervonenkis dimension of

Example: S = set of points, with subsets represented as blue circles and orange squares; we want to



Our models are lines, such that they 'shatter' the points as





2. THE VAPNIK-CHERVONENKIS DIMENSION

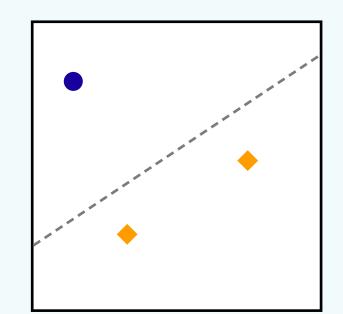
Consider a family of sets \mathscr{C} and a set S. We say that the set S is 'shattered' by the sets of the family \mathscr{C} if all the subsets of S are contained in \mathscr{C} , namely:

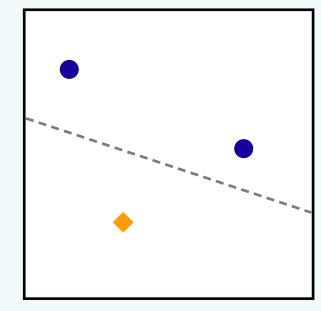
 $\mathscr{C} \cap S := \{C \cap S \mid C \in \mathscr{C}\}$ contains all the subsets of S

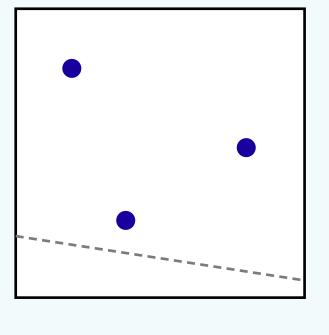
The Vapnik-Chervonenkis dimension of \mathscr{C} is the cardinality of the largest set that \mathscr{C} can shatter.

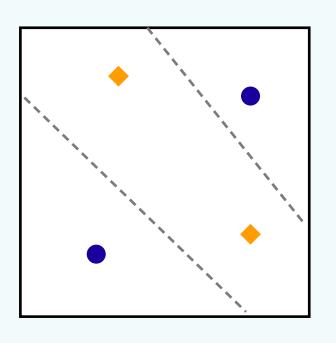
If any set can be shattered by the family of sets \mathscr{C} , we say that the Vapnik-Chervonenkis dimension of *C* is infinite.

Example: the set of lines can 'shatter' (separate) set of three (non-collinear) points with any label is assignment, but not set of four points \Rightarrow The Vapnik-Chervonenkis dimension of the set of lines is three.

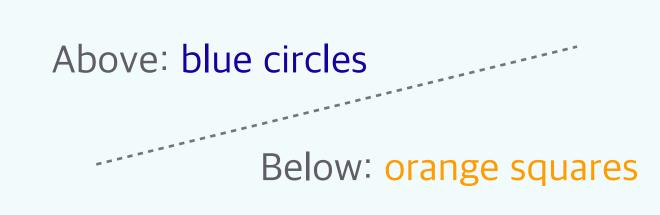








Our models are lines, such that they 'shatter' the points as





3. O-MINIMALITY AND QUANTUM GRAVITY

• Consider a generic effective field theory of string theory:

The Tameness Conjecture [Grimm 2021] asserts that all the couplings and the interactions appearing in the effective theory need to be 'tame', namely definable in a given o-minimal structure.

 $S^{(D)} = \left[\left(\frac{1}{2} R \star 1 - \frac{1}{2} G_{ab}(\varphi, \lambda) \mathrm{d}\varphi^a \wedge \star \mathrm{d}\varphi^b - \frac{1}{2} f_{IJ}(\varphi, \lambda) F_{p_I+1}^I \wedge \star F_{p_J+1}^J - V(\varphi, \lambda) \star 1 + \dots \right) \right]$



3. O-MINIMALITY AND QUANTUM GRAVITY

• Consider a generic effective field theory of string theory:

The Tameness Conjecture [Grimm 2021] asserts that all the couplings and the interactions appearing in the effective theory need to be 'tame', namely definable in a given o-minimal structure.

Namely, any coupling $g(\varphi, \lambda)$ can be written as unions, intersections or complements of a finite number of loci:

with x_k auxiliary variables, and f_a restricted analytic functions.

 $S^{(D)} = \left[\left(\frac{1}{2} R \star 1 - \frac{1}{2} G_{ab}(\varphi, \lambda) \mathrm{d}\varphi^a \wedge \star \mathrm{d}\varphi^b - \frac{1}{2} f_{IJ}(\varphi, \lambda) F_{p_I+1}^I \wedge \star F_{p_J+1}^J - V(\varphi, \lambda) \star 1 + \dots \right) \right]$

 $\exists x_1, ..., x_l: \qquad P_i(\varphi, \lambda, x, g, f_1, ..., f_m) = 0, \qquad Q_i(\varphi, \lambda, x, g, f_1, ..., f_m) > 0,$

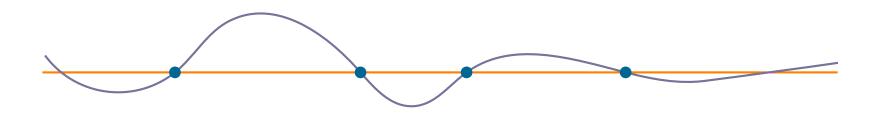


3. O-MINIMALITY AND QUANTUM GRAVITY

• Consider a generic effective field theory of string theory:

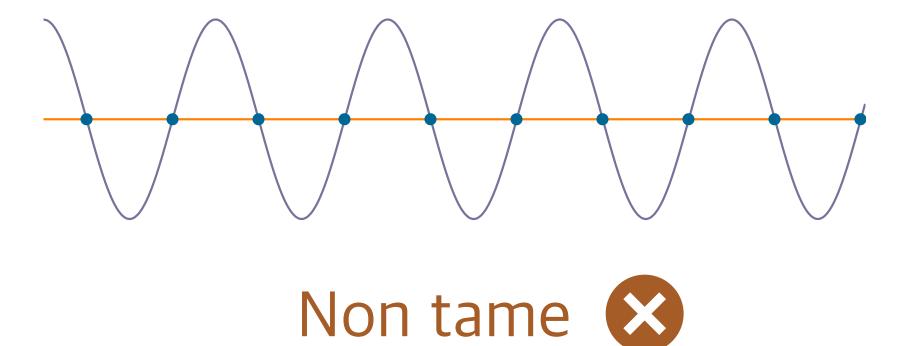
The Tameness Conjecture [Grimm 2021] asserts that all the couplings and the interactions appearing in the effective theory need to be 'tame', namely definable in a given o-minimal structure.

 \Rightarrow Couplings and interactions have regular, monotonic tails and finite critical loci:





 $S^{(D)} = \left[\left(\frac{1}{2} R \star 1 - \frac{1}{2} G_{ab}(\varphi, \lambda) \mathrm{d}\varphi^a \wedge \star \mathrm{d}\varphi^b - \frac{1}{2} f_{IJ}(\varphi, \lambda) F_{p_I+1}^I \wedge \star F_{p_J+1}^J - V(\varphi, \lambda) \star 1 + \dots \right) \right]$





• COMBINING ALL THE INGREDIENTS



• COMBINING ALL THE INGREDIENTS

The tameness of Quantum Gravity effective field theories implies that **the set of functions** \mathscr{F} among which the 'true' model resides have to be **definable in a given o-minimal structure**:

 $\Rightarrow f: X \rightarrow Y$ has to have 'regular' tails, and be non-periodic



• COMBINING ALL THE INGREDIENTS

The tameness of Quantum Gravity effective field theories implies that **the set of functions** \mathcal{F} among which the 'true' model resides have to be **definable in a given o-minimal structure**:

 $\Rightarrow f: X \rightarrow Y$ has to have 'regular' tails, and be non-periodic

Every o-minimal structure is characterized by **finite Vapnik-Chervonenkis dimension** [Laskowski 1990].

Notice that an infinite shattering dimension would imply an infinite amount of information to learn the function!



• THE LEARNABILITY OF QUANTUM GRAVITY

Fundamental Theorem of Statistical Learning

Consider a set of functions \mathcal{F} with binary output, then:

 \mathscr{F} is **learnable** if and only if \mathscr{F} has **finite Vapnik-Chervonenkis dimension**;

 \blacktriangleright there exist two constants c_1 , c_2 such that the **complexity** of the data set is **bounded** as

 $\frac{c_1}{\epsilon^2} \log \frac{1}{\delta} < r$

$$\min_{\ell} m < \frac{c_2}{\epsilon^2} \log \frac{1}{\delta}$$

• THE LEARNABILITY OF QUANTUM GRAVITY

Fundamental Theorem of Statistical Learning

Consider a set of functions \mathcal{F} with binary output, then:

 \mathscr{F} is **learnable** if and only if \mathscr{F} has **finite Vapnik-Chervonenkis dimension**;

 \blacktriangleright there exist two constants c_1 , c_2 such that the **complexity** of the data set is **bounded** as

 $\frac{c_1}{\epsilon^2} \log \frac{1}{\delta} < r$

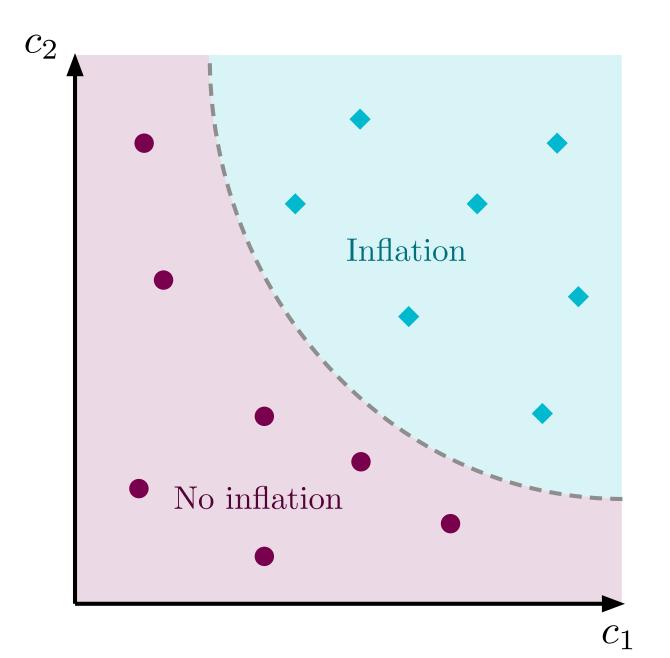
 \Rightarrow In every low-energy effective theory of Quantum Gravity, any **binary classification problem** (involving the tame interactions of the theory) is learnable.

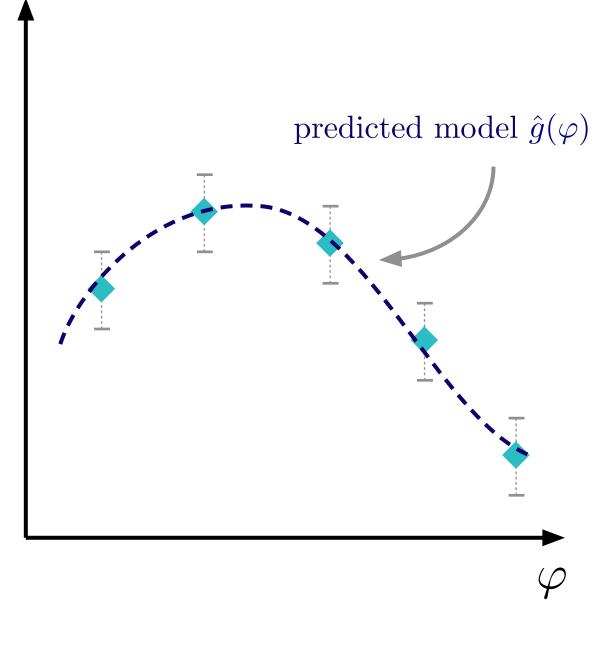
Similarly, also more general Quantum Gravity regression problems can be shown to be learnable.

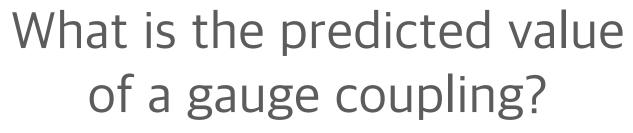
$$\min_{\ell} m < \frac{c_2}{\epsilon^2} \log \frac{1}{\delta}$$

• EXAMPLE OF LEARNING PROBLEMS

Can an EFT support inflation for some choice of parameters?

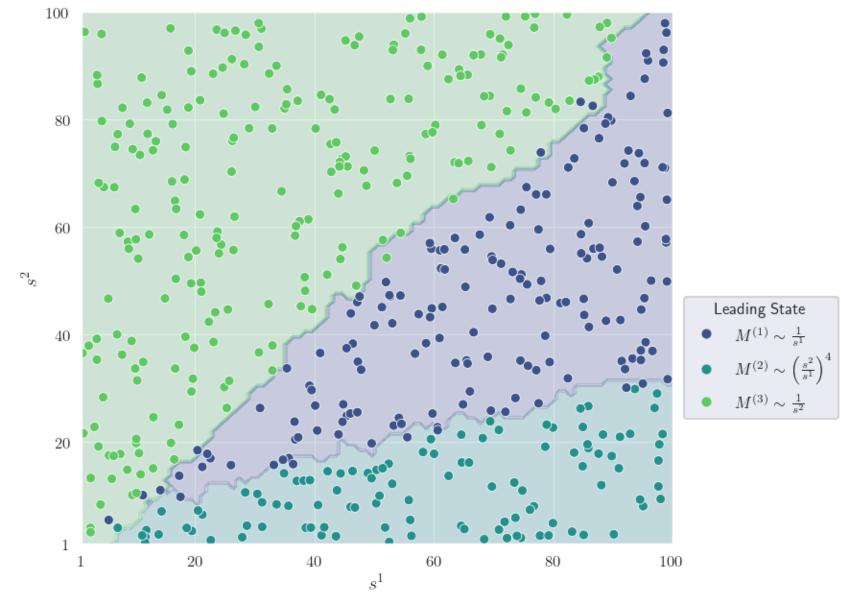








Which kinds of states first break down an EFT?







CONCLUSIONS AND OUTLOOK

- We have seen that **binary classification problems** formulated within Quantum Gravity effective field theories are **learnable**;
 - > Similarly, more general regression or interpolation problems can be shown to be **learnable**.

It would be interesting to further investigate: > relations with **decidability** and the **halting problem**.

 \Rightarrow The usage of neural network to machine-learn critical properties of Quantum Gravity is justified by the geometrical structures of Quantum Gravity.

- > the learnability via unsupervised techniques and the Swampland Conjectures;



Thank you!