

# Stabilizing massless fields in Landau Ginzburg models

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String Pheno '24, Padova

Based on [2406.03435](#) Becker, MR, Sengupta, Walcher, Wrase

What?

What?

Type IIB flux compactifications on manifolds with no geometric interpretation.

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Study moduli stabilization away from typically studied large volume, large complex structure.

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How?

Use higher-than-quadratic order terms to lift massless deformations.

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Type IIB flux compactifications on manifolds with no geometric interpretation.

Why?

Is there a fully stabilized  $\mathcal{N} = 1$  SUSY Minkowski vacuum?

How?

Use higher-than-quadratic order terms to lift massless deformations.



# How?

- Consider the simple example of  $W = \frac{1}{2}(\phi - \psi^2)^2$ .
- This function clearly has one flat direction along  $\phi = \psi^2$ .
- Let us apply our algorithm for stabilizing moduli order by order to this function,
- At quadratic order in the fields,  $W_2 = \frac{1}{2}\phi^2$ . Solving the critical point equations gives us one non-trivial constraint ,

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$$\partial_\phi W_2 = \phi = 0$$

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$$\phi_{(1)} + \phi_{(2)} + \dots$$

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# Why?

- Moduli Stabilization remains a major obstacle to string model building.

*[Graña 05, McAllister, Quevedo '23]*

- Swampland criteria provide concrete characterizations of the obstacles.
- In this work, we will not build models viable for phenomenology.
- Expanding the String Landscape is an interesting problem in its own right.

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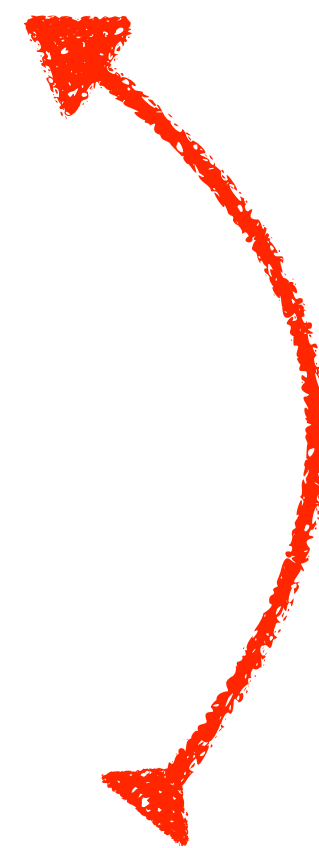
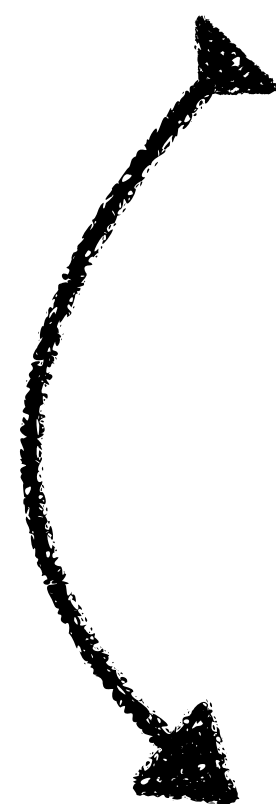
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Swampland Conjectures

Explicit String Constructions



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- Potential issues were noticed in explicit constructions.

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*Tadpole Conjecture (Type IIB) - The number of moduli stabilized by fluxes is constrained by,*

$$N_{flux} > \frac{1}{3}n_{stab}$$

*Bena, Blåbäck, Graña, Lüst '20]*

*Becker, Bena, Blåbäck, Brodie, Coudarchet, Gonzalo, Graña, Grimm, van de Heisteeg, Herraez, Lüst, Marchesano, Monnee, Plauschinn, Prieto, Tsagkaris, Walcher, Wiesner, Wrase ...*

# Why?

- We need to clarify what we mean by  $n_{stab}$  -

- $n_{stab} := \text{rank}(\partial_i \partial_j W_{flux})$

- $n_{stab} := \text{codim}\{\partial_i W_{flux} = 0\}$

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- Conjecture has been studied extensively in the asymptotic limits of moduli space. *[Grimm, Plauschinn, van de Heisteeg '21, Graña, Grimm, van de Heisteeg, Herraez, Plauschinn '22]*
- Does it continue to hold in the interior? *[Becker, Gonzalo, Walcher, Wrase '22, Lüst, Wiesner '22]*
- Even if it continues to hold, are there models where all moduli can be stabilized?
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- DGKT showed that it is possible to stabilize all moduli in type IIA compactified on a rigid Calabi-Yau ( $h^{2,1} = 0$ ). *[De Wolfe, Giryvayets, Kachru, Taylor '05]*
- Motivated by these results in type IIA, BBVW constructed the mirror dual in type IIB. *[Becker, Becker, Vafa, Walcher '06]*
- The mirror manifold admits no geometric interpretation, but there exists a LG description. *[Vafa '89, Witten '93, Hori, Iqbal, Vafa '00]*
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# What?

- Perturbative consistency of the superstring requires that  $c = 15$ .
- This can be achieved via -  $\mathbb{R}^{(1,3)} \times (\mathcal{N} = 2, c = 9 \text{ SCFT})$ .
- The  $\mathcal{N} = 2, c = 9$  SCFT does not always have to describe a geometric manifold.

$$S = \int d^2z d^4\theta K(\{x_i, \bar{x}_i\}) + \left( \int d^2z d^2\theta \mathcal{W}(\{x_i\}) + \text{complex conj.} \right)$$

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# What?

For the  $1^9$  model we have 9 chiral fields with the following world sheet superpotential,

$$\mathcal{W}(\{x_i\}) = \sum_{i=1}^9 x_i^3$$

$$g : x_i \mapsto \omega x_i, \quad \omega = e^{\frac{2\pi i}{3}}$$

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# What?

Where is the 4d physics?

$$W_{GVW} = \int_M G_3 \wedge \Omega$$

# What?

- Consider the single variable building block of the  $1^9$  model,

$$\mathcal{W} = x^3, \quad g : x \rightarrow e^{\frac{2\pi i}{3}} x$$

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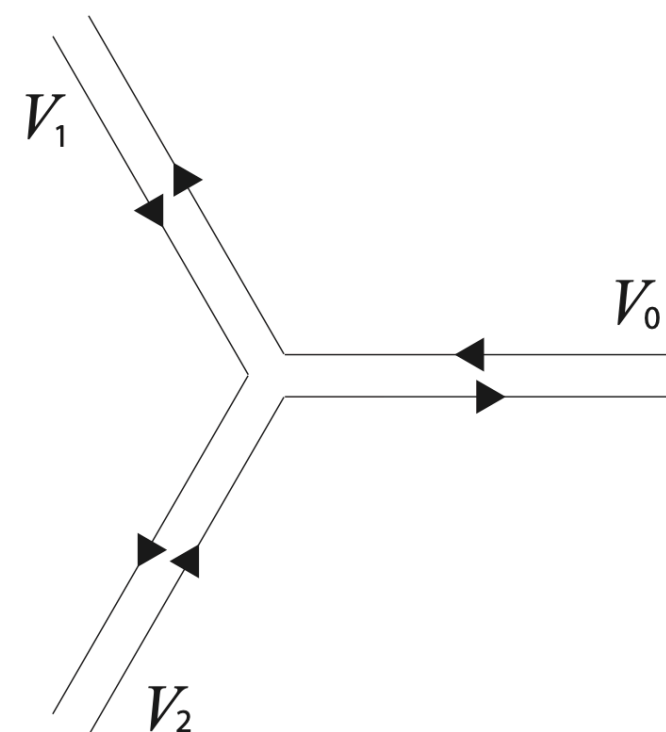
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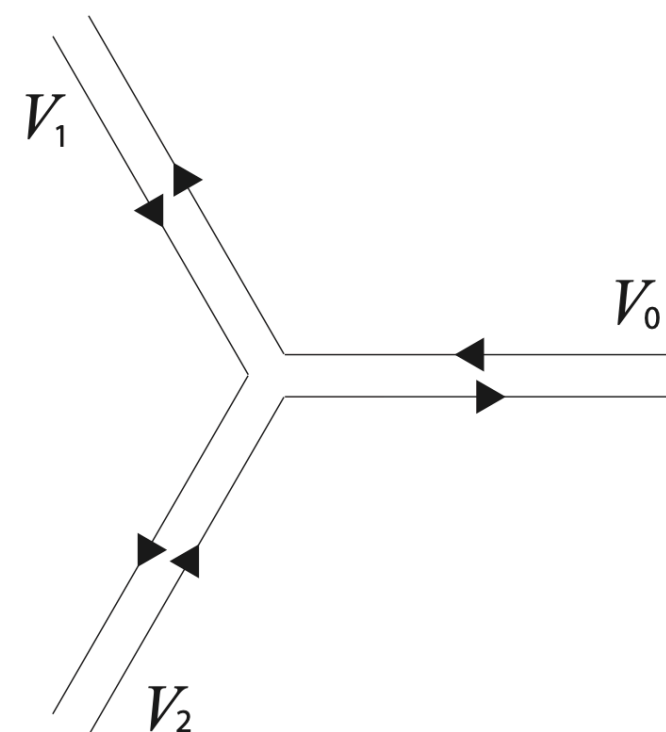


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$$V_0 + V_1 + V_2 = 0$$

[Hori, Iqbal, Vafa '00]

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$$V_{\mathbf{n}} = V_1 \times V_2 \times \dots \times V_9$$

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$$G_3 = \sum_{\mathbf{n}} (N^{\mathbf{n}} - \tau M^{\mathbf{n}}) \gamma_{\mathbf{n}}$$

# What?

- The RR ground states of the minimal model,

$$|l = 1, 2\rangle$$

# What?

- The RR ground states of the full model are labelled by  $\Omega_{\mathbf{l}}$  where  $\mathbf{l} = (l_1, l_2, \dots, l_9)$  with  $l_i = 1, 2$  -

$\sum_i l_i$	9	12	15	18
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# What?

- The  $1^9/\mathbb{Z}_3$  model has  $h^{(2,1)} = 84$  and  $h^{(1,1)} = 0$ .
- We would like to study orientifolds of these models. In particular, we will restrict to,

$$\sigma : (x_1, x_2, \dots, x_9) \rightarrow -(x_2, x_1, \dots, x_9)$$

*[Becker, Becker, Vafa, Walcher '06]*

which has an orientifold charge of 12 that has to be cancelled by fluxes.

$$h^{(2,1)} = 63 \quad h^{(1,1)} = 0$$

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# What?

There are 63 complex structure moduli arising from the  $(c, c)$  ring

There are 0 Kähler moduli arising from the  $(a, c)$  ring



# What?

- The overlap integral between the cycles and RR ground states is then calculable,

$$\langle V_n | l \rangle = \int_{V_n} x^{l-1} e^{-x^3} dx = \frac{1}{3} \Gamma\left(\frac{l}{3}\right) (1 - \omega^l) \omega^{ln}$$

with  $l = 1, 2$ ,  $n = 0, 1, 2$  and  $\omega = e^{\frac{2\pi i}{3}}$

# What?

- When the worldsheet superpotential is deformed as,  $\mathcal{W} = x^3 \rightarrow x^3 - tx$

$$\left(\frac{\partial}{\partial t}\right)^r \Big|_{t=0} \langle V_n | l \rangle = \int_{V_n} x^{r+l-1} e^{-x^3} dx = \frac{1}{3} \Gamma\left(\frac{r+l}{3}\right) (1 - \omega^{r+l}) \omega^{(r+l)n}$$

# What?

- GVW superpotential exists in these LG orbifold models as well.
- The superpotential is in fact exact!

*[Becker, Becker, Vafa Walcher '06]*

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$$\frac{1}{\tau - \bar{\tau}} \int G_3 \wedge \bar{G}_3 = \int F_3 \wedge H_3 = 12$$

# Moduli Stabilization

- Finding SUSY Minkowski vacua -

1. Pick fluxes  $\Omega_{l_1, l_2, \dots, l_9} \in H^{(2,1)} \left( \sum_i l_i = 12 \right)$

2. Ensure flux quantization and tadpole cancellation

- They generically have massless directions (maximal mass matrix rank of 26). *[Becker, Gonzalo, Walcher, Wrase '22]*
- We would like to expand the superpotential around the critical points,

$$W_{\text{expand}} = \frac{1}{2!} \partial_i \partial_j W (t^i t^j) + \frac{1}{3!} \partial_i \partial_j \partial_k W (t^i t^j t^k) + \dots$$

$t^i, i = 1, 2, \dots, 64$  are the deformations around the critical point.

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# Moduli Stabilization

Tadpole conjecture target =  $12 \times 3 = 36$  moduli

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$$\frac{\partial}{\partial t^{\mathbf{k}_1}} \frac{\partial}{\partial t^{\mathbf{k}_2}} \cdots \frac{\partial}{\partial t^{\mathbf{k}_r}} \int \Omega_1 \wedge \Omega \Big|_{t^{\mathbf{k}}=0} = \delta_{\mathbf{1}+\mathbf{L}} \frac{1}{3^9} \prod_{i=1}^9 (1 - \omega^{L_i}) \Gamma\left(\frac{L_i}{3}\right).$$

where,  $\mathbf{L} = \sum_{\alpha=1}^r \mathbf{k}_\alpha + \mathbf{1}$

$$W = \frac{1}{2}(\phi - \psi^2)^2$$

- Now going upto cubic order in the fields,  $W_2 + W_3 = \frac{1}{2}\phi^2 - \phi\psi^2$

~~$$\partial_\phi (W_2 + W_3) = \phi - \psi^2 = 0, \quad \partial_\psi (W_2 + W_3) = -2\phi\psi = 0$$

$$\implies \phi = \psi = 0$$~~

- The correct thing to do would be,

$$\left. \partial_\phi W_2 + \left( \partial_\phi W_3 \right) \right|_{\phi=\phi_{(1)}=0} = \phi - \psi^2 = 0 \quad \left. \partial_\psi W_2 + \left( \partial_\psi W_3 \right) \right|_{\phi=\phi_{(1)}=0} = 0$$

# Moduli Stabilization

- A vast classification of these possible flux choices was pursued recently.
- The fluxes are classified in terms of number of  $\Omega$ 's “turned on”. [Becker, Brady, Sengupta '23]

- Consider 1  $\Omega$ ,

$$G_3 = A\Omega_1$$

63 choices of  $\mathbf{l}$   $\left( \sum_i l_i = 12 \right)$

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2

# Moduli Stabilization

- Consider 2  $\Omega$ 's,  $G_3 = A_1 \Omega_{\mathbf{l}_1} + A_2 \Omega_{\mathbf{l}_2}$   $N_{flux} = 18$   
6 choices of  $\mathbf{l}_1, \mathbf{l}_2$   $\left( \sum_i l_i = 12 \right)$
- 4  $\Omega$ 's can give rise to physical solutions with  $N_{flux} = 12$
- Physical solutions are only possible upto 12  $\Omega$ 's.

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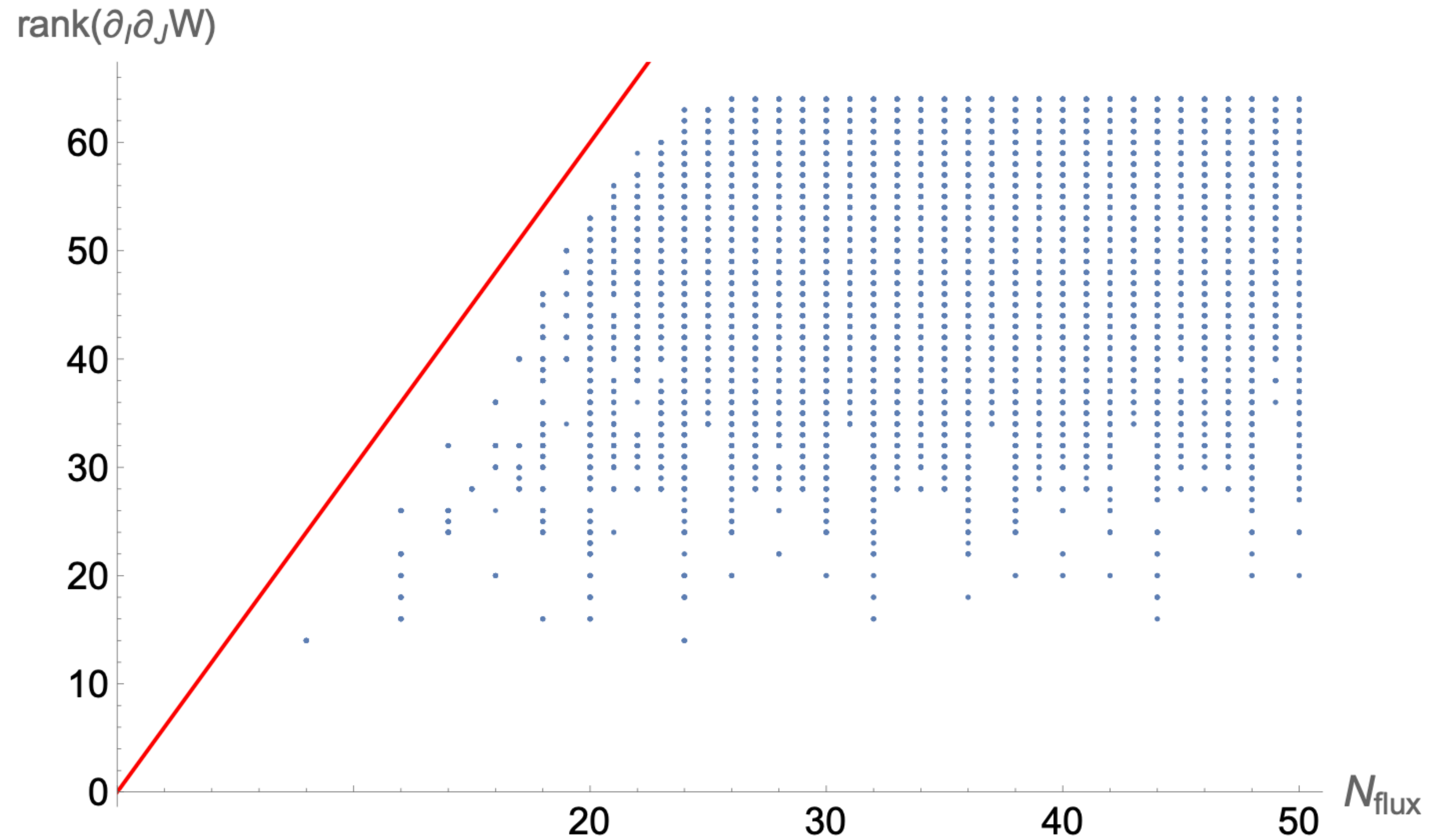
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# Moduli Stabilization

Model	massive	3rd power	4th power	5th power	6th power
$G_{(1)}^{[8,8]}$	14	0	0	0	0
$G_{(1)}^{[12,12]}$	22	0	0	0	0
$G_{(2)}^{[12,12]}$	26	0	0	0	0
$G_{(3)}^{[12,12]}$	26	0	0	0	0
$G_{(1)}^{[12,4]}$	22	0	0	0	0
$G_{(2)}^{[12,4]}$	26	0	0	0	0
$G_{(3)}^{[12,4]}$	16	6	0	0	0
	16	6	0	0	?
	16	6	4	0	0
	16	7	1	0	0
	16	7	4	0	0
$G_{(4)}^{[12,12]}$	20	2	0	4	1
	20	2	0	0	0

# Summary

- Non-geometric LG Models are promising tools for the Swampland program.
- Moduli stabilization is possible with higher order terms in the superpotential.
- Tadpole Conjecture appears to hold in non-geometric models (for now) in the interiors of moduli space.
- Stay tuned!

Thank you!



# Deformations

$$(c, c) \text{ ring} \quad \mapsto \quad \mathcal{R} = \left[ \frac{\mathbb{C}[x_1, \dots, x_9]}{\partial_{x_i} \mathcal{W}(x_1, \dots, x_9)} \right]$$

- The above ring is spanned by,

$$\mathbf{x}^{\mathbf{k}} = x_1^{k_1} \cdot x_2^{k_2} \cdots x_9^{k_9}$$

with  $\mathbf{k} = (k_1, \dots, k_9)$  such that  $k_i \in \{0, 1\}$  and  $\sum_i k_i = 0 \pmod{3}$ .

- The monomials of the kind  $x_i x_j x_k$  with  $i \neq j \neq k \neq i$  form a basis of the allowed marginal deformations of the superpotential.

# GKP vs BBVW

- How is this different from GKP?

*[Giddings, Kachru, Polchinski '01]*

$$K_{GKP} = K_{CS} - 3\log[-(T - \bar{T})] - \log[-(\tau - \bar{\tau})]$$

- Solving the SUSY equations,  $D_\tau W = D_i W = 0 \implies$  ISD fluxes

$$K_{BBVW} = K_{CS} - 4\log[-(\tau - \bar{\tau})]$$

*[Becker, Becker, Walcher '07]*

- SUSY equations do not require ISD fluxes unlike in GKP.
- For SUSY Minkowski solutions GKP and BBVW are almost identical.

# Explicit Example

$$G_3 = \frac{i}{3\sqrt{3}} (\Omega_{1,1,1,1,2,1,2,1,2} - \Omega_{1,1,1,1,2,1,2,2,1} - \Omega_{1,1,1,1,2,2,1,1,2} - \Omega_{1,1,1,1,2,2,1,2,1})$$

- Mass matrix rank = 16

*[Becker et al '22]*

- The already massive fields can be fixed order by order with no ambiguity. That is,

$$\partial_{\tilde{a}} W = 0$$

where  $\tilde{a}$  runs over the 16 massive fields can be solved to get,

$$t_a = t_{a(1)} + t_{a(2)} + t_{a(3)} + \dots$$

# Explicit Example

- Solving the quadratic order constraints from the cubic order terms for the massless fields leads to six new stabilized directions.

$$t_{20} = t_{20(1)} + t_{20(2)} + \dots$$

- Several branches of solutions. Need to be careful to not overfix.
- An exhaustive search is cumbersome and maybe even impossible.
- Progress towards classifying the various solutions.
- General patterns and symmetry arguments?

*[Becker et al '23]*

$$2^6 / \mathbb{Z}_4$$

- Similarly we can indentify the cohomology and homology bases starting from the building block of the  $2^6 / \mathbb{Z}_4$  model,  $W_{ws} = x^4$ .
- A cohomology basis is given by the RR ground states of the minimal model  $|l\rangle$  with  $l = 1, 2, 3$ . A homology basis is given by  $V_0, V_1, V_2, V_3$  with  $V_0 + V_1 + V_2 + V_3 = 0$ .
- The overlap integral between the cycles and RR ground states is then calculable,

$$\langle V_n | l \rangle = \int_{V_n} x^{l-1} e^{-x^4} dx = \frac{1}{4} \Gamma\left(\frac{l}{4}\right) (1 - \omega^l) \omega^{ln} \quad [Hori et al '00]$$

with  $l = 1, 2, 3$ ,  $n = 0, 1, 2, 3$  and  $\omega = e^{\frac{2\pi i}{4}}$

$$2^6 / \mathbb{Z}_4$$

- The  $2^6 / \mathbb{Z}_4$  model has  $h^{(2,1)} = 90$  and  $h^{(1,1)} = 0$ .  $\left( W_{2^6} = \sum_{i=1}^6 x_i^4, g : x_i \rightarrow e^{\frac{2\pi i}{4}} x_i \right)$
- The RR ground states of the model are labelled by  $\Omega_{\mathbf{l}}$  where  $\mathbf{l} = (l_1, l_2, \dots, l_6)$  with  $l_i = 1, 2, 3$  -

1. For  $\Omega_{l_1, l_2, \dots, l_6} \in H^{(2,1)}$ ,  $\sum_i l_i = 10$ .

2. For  $\Omega_{l_1, l_2, \dots, l_6} \in H^{(3,0)}$ ,  $\sum_i l_i = 6$

- The orientifold involution we will work with is,

$$\sigma : (x_1, x_2, \dots, x_6) \rightarrow e^{\frac{2\pi i}{4}} (x_1, x_2, \dots, x_6)$$

which has an orientifold charge of 40 that has to be canceled by fluxes.

[Becker et al '06]

$$2^6/\mathbb{Z}_4$$

- The  $2^6/\mathbb{Z}_4$  orientifold with tadpole charge 40 could give a way out.
- This model has 91 moduli including the axio-dilation.
- The tadpole conjecture does not imply that all 91 moduli cannot be stabilized ( $40 \times 3 = 120 > 91$ ).
- For example, we find solutions with mass matrix rank of 84 (out of 91) moduli.

# $2^6/\mathbb{Z}_4$

- A flux choice that gives 84 massive fields,

$$\begin{aligned}
 G_3 = & -\frac{1}{2}\Omega_{1,1,3,3,1,1} + \left(\frac{1}{4} + \frac{i}{4}\right)\Omega_{1,2,1,1,3,2} - \left(\frac{1}{4} - \frac{i}{4}\right)\Omega_{1,2,2,3,1,1} - \left(\frac{1}{4} + \frac{i}{4}\right)\Omega_{1,2,3,1,1,2} \\
 & + \left(\frac{1}{4} + \frac{i}{4}\right)\Omega_{1,3,1,1,2,2} + \frac{1}{2}i\Omega_{1,2,1,1,3,2} - \left(\frac{1}{4} + \frac{i}{4}\right)\Omega_{1,3,2,1,1,2} + \left(\frac{1}{4} - \frac{i}{4}\right)\Omega_{1,3,2,2,1,1} \\
 & + \left(\frac{1}{2} - \frac{i}{2}\right)\Omega_{1,3,3,1,1,1} + \left(\frac{1}{4} + \frac{i}{4}\right)\Omega_{2,1,1,1,3,2} - \frac{1}{2}\Omega_{2,1,2,3,1,1} - \left(\frac{1}{4} + \frac{i}{4}\right)\Omega_{2,1,3,1,1,2} \\
 & - \left(\frac{1}{4} + \frac{i}{4}\right)\Omega_{2,1,3,2,1,1} + \left(\frac{1}{4} + \frac{i}{4}\right)\Omega_{2,2,1,1,2,2} + \frac{1}{2}i\Omega_{2,2,1,1,3,1} - \left(\frac{1}{4} - \frac{i}{4}\right)\Omega_{2,2,1,3,1,1} \\
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 \end{aligned}$$