



Max-Planck-Institut für Physik
(Werner-Heisenberg-Institut)

Emergence of R^4 -terms in M-theory

Testing the M-theoretic Emergence Proposal

Antonia Paraskevopoulou

Max Planck Institute for Physics, LMU Munich

Based on: **2404.01371** with R. Blumenhagen, N. Cribiori and A. Gligovic

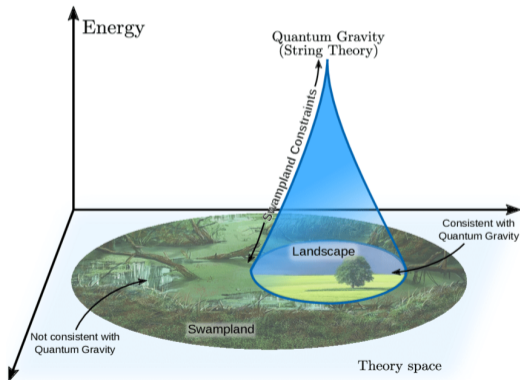
Review article: **2404.05801**



The Swampland Program

Conceptual framework

Consistent set of conjectures motivated mainly (but not exclusively) by string theory. Example reviews: [Palti '18, van Beest, Calderón-Infante, Mirfendereski, Valenzuela '22].

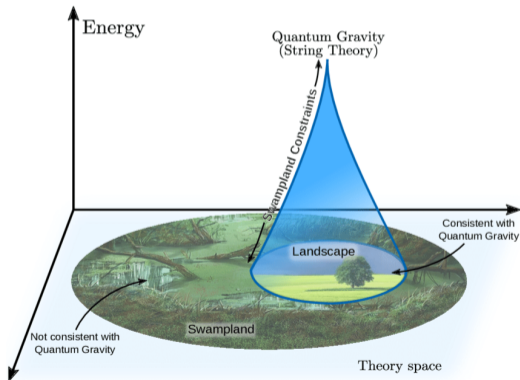


- ▶ No Global Symmetries Conjecture
- ▶ **Distance Conjecture**
- ▶ **Emergent String Conjecture**
- ▶ Weak Gravity Conjecture
- ▶ (A)dS Distance Conjecture
- ▶ Gravitino Conjecture
- ⋮

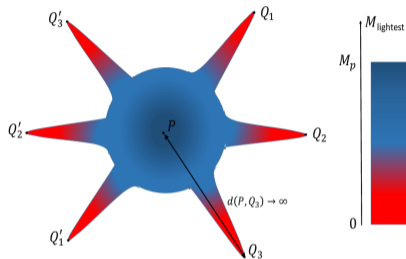
The Swampland Program

Conceptual framework

Consistent set of conjectures motivated mainly (but not exclusively) by string theory. Example reviews: [Palti '18, van Beest, Calderón-Infante, Mirfendereski, Valenzuela '22].



- ▶ No Global Symmetries Conjecture
- ▶ **Distance Conjecture**
- ▶ **Emergent String Conjecture**
- ▶ Weak Gravity Conjecture
- ▶ (A)dS Distance Conjecture
- ▶ Gravitino Conjecture
- ⋮
- ▶ **The Emergence Proposal**



Graphic taken from [Palti '18].

- **Distance Conjecture:** At an infinite distance in moduli space, a tower of exponentially light states appears [Ooguri, Vafa '06]

$$M(p) \sim M(p_0) e^{-\alpha d(p_0, p)}, \quad \alpha \sim \mathcal{O}(1). \quad (1)$$

- **Emergent String Conjecture:** [Lee, Lerche, Weigand '18]

→ {
decompactification
emergent string limit

Species Scale: The UV cut-off in the presence of many light fields is [Dvali '08]

$$\Lambda_{\text{sp}} = \frac{M_{\text{pl}}^{(d)}}{N_{\text{sp}}^{1/(d-2)}}. \quad (2)$$

Introduction

Emergence Basics

More recently, [van de Heisteeg, Vafa, Wiesner, Wu '22-'23]

$$S_{\text{corr.,}d} \subset \frac{M_{\text{pl}}^{(d)d-2}}{2} \int d^d x \sqrt{-g} \left[\sum_n a_n(\phi_i) \frac{\mathcal{O}_n(\mathcal{R})}{M_{\text{pl}}^{(d)2n-2}} \right], \quad \frac{1}{\Lambda_{\text{sp}}(\phi_i)^{2n-2}} \simeq \frac{a_n(\phi_i)}{M_{\text{pl}}^{(d)2n-2}}. \quad (3)$$

Introduction

Emergence Basics

More recently, [van de Heisteeg, Vafa, Wiesner, Wu '22-'23]

$$S_{\text{corr.,}d} \subset \frac{M_{\text{pl}}^{(d)d-2}}{2} \int d^d x \sqrt{-g} \left[\sum_n a_n(\phi_i) \frac{\mathcal{O}_n(\mathcal{R})}{M_{\text{pl}}^{(d)2n-2}} \right], \quad \frac{1}{\Lambda_{\text{sp}}(\phi_i)^{2n-2}} \simeq \frac{a_n(\phi_i)}{M_{\text{pl}}^{(d)2n-2}}. \quad (3)$$

Emergence Proposal (Strong): The dynamics for all fields are emergent in the infrared by integrating out towers of states down from an ultraviolet scale Λ , below the Planck scale. [Palti '19]

Introduction

Emergence Basics

More recently, [van de Heisteeg, Vafa, Wiesner, Wu '22-'23]

$$S_{\text{corr.,}d} \subset \frac{M_{\text{pl}}^{(d)d-2}}{2} \int d^d x \sqrt{-g} \left[\sum_n a_n(\phi_i) \frac{\mathcal{O}_n(\mathcal{R})}{M_{\text{pl}}^{(d)2n-2}} \right], \quad \frac{1}{\Lambda_{\text{sp}}(\phi_i)^{2n-2}} \simeq \frac{a_n(\phi_i)}{M_{\text{pl}}^{(d)2n-2}}. \quad (3)$$

Emergence Proposal (Strong): The dynamics for all fields are emergent in the infrared by integrating out towers of states down from an ultraviolet scale Λ , below the Planck scale. [Palti '19]

Toy models:

$$G_{\phi\phi}^{1\text{-loop}} \simeq \frac{\Lambda_{\text{sp}}^{d-1}}{M_{\text{pl}}^{(d)d-2}} \frac{(\partial_\phi \Delta m(\phi))^2}{(\Delta m(\phi))^3} + \dots \quad (4)$$

[Heidenreich, Reece, Rudelius '18, Grimm, Palti, Valenzuela '18, Lee, Lerche, Weigand '21, Castellano, Herráez, Ibáñez '22, Blumenhagen, Gligovic, AP '23]

An M-theoretic Emergence Proposal

Overview



Emergence Proposal (Strong): The dynamics for all fields are emergent in the infrared by integrating out towers of states down from a scale Λ [Palti '19].

An M-theoretic Emergence Proposal

Overview



Emergence Proposal (Strong): The dynamics for all fields are emergent in the infrared by integrating out towers of states down from a scale Λ [Palti '19].

Emergence Proposal (M-theory): In the **M-theory limit** $M_* R_{11} \gg 1$ with $M_{\text{pl},d}$ kept fixed, a perturbative QG theory arises whose low energy effective description is emerging by integrating out the full **infinite** towers of states with a mass scale parametrically not larger than M_* . [Blumenhagen, Cribiori, Gligovic, AP '24]

An M-theoretic Emergence Proposal

Overview



Emergence Proposal (Strong): The dynamics for all fields are emergent in the infrared by integrating out towers of states down from a scale Λ [Palti '19].

Emergence Proposal (M-theory): In the **M-theory limit** $M_* R_{11} \gg 1$ with $M_{\text{pl},d}$ kept fixed, a perturbative QG theory arises whose low energy effective description is emerging by integrating out the full **infinite** towers of states with a mass scale parametrically not larger than M_* . [Blumenhagen, Cribiori, Gligovic, AP '24]

Light States: Transverse M2, M5 branes carrying KK momentum

$$R_{11} \rightarrow \lambda R_{11}, \quad M_* \rightarrow \frac{M_*}{\lambda^{\frac{1}{d-1}}}, \quad R_I \rightarrow \lambda^{\frac{1}{d-1}} R_I, \quad M_{D0} \sim \frac{M_{\text{pl}}^{(d)}}{\lambda}, \quad M_{D2,NS5} \sim \frac{M_{\text{pl}}^{(d)}}{\lambda^{1/(d-1)}}. \quad (5)$$

Similarities: BFSS matrix model [Banks, Fischler, Shenker, Susskind '97].

M-theory on a $(k + 1)$ torus of volume $r_{11} \mathcal{V}_k$

$$S_{R^4} \simeq M_*^{d-8} \int d^d x \sqrt{-g} r_{11} \mathcal{V}_k a_d t_8 t_8 R^4, \quad k = 10 - d. \quad (6)$$

The **1/2 BPS saturated** coefficient a_d is known [Green,Gutperle,Vanhove '97]

$$a_{10-k} \simeq \frac{2\pi}{r_{11} \mathcal{V}_k} \sum_{m_I \in \mathbb{Z}} \int_0^\infty \frac{dt}{t^{\frac{4-k}{2}}} e^{-\pi t \sum_{I,J=1}^k m_I G_{(k+1)}^{IJ} m_J}. \quad (7)$$

Regularization: Poisson resummation over all integers and T-duality for $\hat{m}_I = 0$.

M-theory on a $(k + 1)$ torus of volume $r_{11}\mathcal{V}_k$

$$S_{R^4} \simeq M_*^{d-8} \int d^d x \sqrt{-g} r_{11} \mathcal{V}_k a_d t_8 t_8 R^4, \quad k = 10 - d. \quad (6)$$

The **1/2 BPS saturated** coefficient a_d is known [Green,Gutperle,Vanhove '97]

$$a_{10-k} \simeq \frac{2\pi}{r_{11}\mathcal{V}_k} \sum_{m_I \in \mathbb{Z}} \int_0^\infty \frac{dt}{t^{\frac{4-k}{2}}} e^{-\pi t \sum_{I,J=1}^k m_I G_{(k+1)}^{IJ} m_J}. \quad (7)$$

Regularization: Poisson resummation over all integers and T-duality for $\hat{m}_I = 0$.

What more can we learn?

Emergence of R^4 couplings

Testing the Emergence Proposal in M-theory

Extend the Ansatz of GGW to the **full spectrum** of **light** 1/2 BPS particle states

$$a_d \simeq \frac{2\pi}{r_{11} \mathcal{V}_k} \sum_{N^A, m \in \mathbb{Z}} \int_0^\infty \frac{dt}{t^{\frac{d-6}{2}}} \delta(\text{BPS}) \exp\left(-\pi t N^A \mathcal{M}_{AB} N^B - \pi t \frac{m^2}{r_{11}^2}\right). \quad (8)$$

Regularization: UV cutoff + ζ -functions [Blumenhagen, Cribiori, Gligovic, AP '23].

Emergence of R^4 couplings

Testing the Emergence Proposal in M-theory

Extend the Ansatz of GGV to the **full spectrum** of **light** 1/2 BPS particle states

$$a_d \simeq \frac{2\pi}{r_{11} \mathcal{V}_k} \sum_{N^A, m \in \mathbb{Z}} \int_0^\infty \frac{dt}{t^{\frac{d-6}{2}}} \delta(\text{BPS}) \exp\left(-\pi t N^A \mathcal{M}_{AB} N^B - \pi t \frac{m^2}{r_{11}^2}\right). \quad (8)$$

Regularization: UV cutoff + ζ -functions [Blumenhagen, Cribiori, Gligovic, AP '23].

► $d = 10$: We can only have particle-like KK modes, just like GGV.

$$a_{10} \simeq \frac{2\pi}{r_{11}} \sum_{m \neq 0} \int_\epsilon^\infty \frac{dt}{t^2} e^{-\pi t \frac{m^2}{r_{11}^2}} \simeq \frac{2\zeta(3)}{r_{11}^3}, \quad (9)$$

using

$$\int_\epsilon^\infty \frac{dt}{t^2} e^{-\pi t A} = \frac{1}{\epsilon} + \pi A \left(\log(\pi A \epsilon) + \gamma_E - 1 \right) + \mathcal{O}(\epsilon). \quad (10)$$

Downside: The (constant) one-loop term is missing.

Emergence of R^4 couplings

Testing the Emergence Proposal in M-theory

- ▶ $d = 9$: Similar behavior (no **light** wrapped branes)

$$a_9 \simeq \frac{2\zeta(3)}{r_{11}^3} + \frac{8\pi}{r_{11}^2 r_1} \sum_{m \neq 0} \sum_{m_1 > 0} \left| \frac{m}{m_1} \right| K_1 \left(2\pi |m| m_1 \frac{r_1}{r_{11}} \right). \quad (11)$$

Emergence of R^4 couplings

Testing the Emergence Proposal in M-theory

- ▶ $d = 9$: Similar behavior (no **light** wrapped branes)

$$a_9 \simeq \frac{2\zeta(3)}{r_{11}^3} + \frac{8\pi}{r_{11}^2 r_1} \sum_{m \neq 0} \sum_{m_1 > 0} \left| \frac{m}{m_1} \right| K_1 \left(2\pi |m| m_1 \frac{r_1}{r_{11}} \right). \quad (11)$$

- ▶ $d = 8$: Also light wrapped $M2$ -branes satisfying [Obers, Pioline '99]

$$\sum_J n_{IJ} m_J = 0 \rightarrow \mathcal{M}^2 = n_{12}^2 t_{12}^2 + \frac{m^2}{r_{11}^2}, \quad t_{12} = r_1 r_2. \quad (12)$$

Our ansatz implies that the contribution to the R^4 coupling is

$$a_{8,D2/D0} \simeq \frac{2\pi}{r_{11} t_{12}} \sum_{n_{12} \neq 0} \sum_{m \in \mathbb{Z}} \int_{\epsilon}^{\infty} \frac{dt}{t} e^{-\pi t \left(n_{12}^2 t_{12}^2 + \frac{m^2}{r_{11}^2} \right)} \rightarrow \quad (13)$$

$$a_{8,D2/D0} \simeq \frac{2\pi}{r_{11} t_{12}} \left(\frac{\pi}{3} r_{11} t_{12} + 4 \sum_{n_{12}, m > 0} \frac{1}{n_{12}} e^{-2\pi n_{12} m r_{11} t_{12}} \right) = -\frac{2\pi}{r_{11} t_{12}} \log \left(|\eta(ir_{11} t_{12})|^4 \right).$$

Emergence of R^4 couplings

Testing the Emergence Proposal in M-theory

Adding the KK contribution

$$a_{8,D0} \simeq \frac{2\zeta(3)}{r_{11}^3} - \frac{2\pi}{r_{11}t_{12}} \log \left(r_{11}r_2^2 |\eta(iu)|^4 \right) + \frac{8\pi}{r_{11}^2} \sum_{\substack{m>0 \\ (m_1, m_2) \neq (0,0)}} \frac{m K_1 \left(2\pi \frac{m}{r_{11}} \sqrt{m_1^2 r_1^2 + m_2^2 r_2^2} \right)}{\sqrt{m_1^2 r_1^2 + m_2^2 r_2^2}} \quad (15)$$

where we have used

$$\int_{\epsilon}^{\infty} \frac{dt}{t} e^{-tA} = -\gamma_E - \log(\epsilon A) + \mathcal{O}(\epsilon). \quad (16)$$

We thus obtain the **complete** result in 8d!

Can this be checked further?

Emergence of R^4 couplings

Testing the Emergence Proposal in M-theory

Adding the KK contribution

$$a_{8,D0} \simeq \frac{2\zeta(3)}{r_{11}^3} - \frac{2\pi}{r_{11}t_{12}} \log \left(r_{11}r_2^2 |\eta(iu)|^4 \right) + \frac{8\pi}{r_{11}^2} \sum_{\substack{m>0 \\ (m_1, m_2) \neq (0,0)}} \frac{m K_1 \left(2\pi \frac{m}{r_{11}} \sqrt{m_1^2 r_1^2 + m_2^2 r_2^2} \right)}{\sqrt{m_1^2 r_1^2 + m_2^2 r_2^2}} \quad (15)$$

where we have used

$$\int_{\epsilon}^{\infty} \frac{dt}{t} e^{-tA} = -\gamma_E - \log(\epsilon A) + \mathcal{O}(\epsilon). \quad (16)$$

We thus obtain the **complete** result in 8d!

Can this be checked further?

- The pattern: **constant terms** \leftrightarrow **extended objects** persists in $d = 6, 7$ and in the emergent string limit.

Emergence of R^4 couplings

Testing the Emergence Proposal in M-theory

- ▶ The instanton contributions can be generally determined.

Particle states	Instantons
$(D0, KK_{(K)})$	$ED0_{(K)}$
$(D2_{(IJ)}, KK_{(K)})$	$ED2_{(IJK)}$
$(NS5_{(IJKLM)}, KK_{(N)})$	$ENS5_{(IJKLMN)}$
$(D2_{(IJ)}, D0)$	$EF1_{(IJ)}$
$(NS5_{(IJKLM)}, D0)$	$ED4_{(IJKLM)}$
$(NS5_{(IJKLM)}, D2_{(LM)})$	$ED2_{(IJK)}$

$$M_{\text{pl}} = \text{const}$$

Our result are consistent with more formal results [Obers, Pioline '99, Green, Russo, Vanhove '10, Bossard, Kleinschmidt '15, Bossard, Pioline '16].

pert. string theory	desert	M-theory
$g_s \ll 1$	$g_s = \mathcal{O}(1)$	$g_s \gg 1$
$a_d = \frac{c_0}{g_s^2} + \underbrace{(c_1 + \mathcal{O}(e^{-S_{\text{ws}}}))}_{\text{one-loop}} + \mathcal{O}(e^{-S_a})$	$= \mathcal{G}_{\Lambda_{E_{k+1}, s = \frac{k}{2} - 1}}^{E_{k+1}(k+1)}$	$= \mathcal{G}_{\Lambda_{E_k} \oplus 1, s = \frac{k}{2} - 1}^{E_k(k)}$

Summary and Outlook

Testing the **M-theoretic Emergence Proposal**, we extended the ansatz of [Green, Gutperle, Vanhove '97] to the **full spectrum** of **light** 1/2 BPS particle states

$$a_d \simeq \frac{2\pi}{r_{11} \mathcal{V}_k} \sum_{N^A, m \in \mathbb{Z}} \int_0^\infty \frac{dt}{t^{\frac{d-6}{2}}} \delta(\text{BPS}) \exp\left(-\pi t N^A \mathcal{M}_{AB} N^B - \pi t \frac{m^2}{r_{11}^2}\right). \quad (17)$$

Regularization: UV cutoff, minimal subtraction and ζ -function regularization.

Testing the **M-theoretic Emergence Proposal**, we extended the ansatz of [Green, Gutperle, Vanhove '97] to the **full spectrum** of **light** 1/2 BPS particle states

$$a_d \simeq \frac{2\pi}{r_{11} \mathcal{V}_k} \sum_{N^A, m \in \mathbb{Z}} \int_0^\infty \frac{dt}{t^{\frac{d-6}{2}}} \delta(\text{BPS}) \exp\left(-\pi t N^A \mathcal{M}_{AB} N^B - \pi t \frac{m^2}{r_{11}^2}\right). \quad (17)$$

Regularization: UV cutoff, minimal subtraction and ζ -function regularization.

Upshots:

- ▶ Self-consistently getting full results in $d = 7, 8$. Precise instanton predictions.
- ▶ Providing physical interpretation for previously ambiguous terms.
- ▶ Connecting the work of [Obers, Pioline '99] to the swampland framework.

Future directions:

- ▶ Non 1/2 BPS quantities?
- ▶ Connections with other approaches e.g. [Hattab, Palti '23-24]?
- ▶ M(-atrix) model implications?

A child with short blonde hair, wearing a patterned shirt, is shown in profile from the chest up, blowing a dandelion seed head. The background is a dark, starry space with several colorful, glowing galaxies and numerous small, multi-colored star-like shapes scattered throughout. The overall tone is soft and dreamlike.

Thank you

Back-up Slide: 8d calculation in perturbative string theory

The Kaluza-Klein contribution is

$$a_8^{1\text{-loop},(1)} \simeq \frac{2\pi}{\vartheta_{12}} \sum_{(m_1, m_2) \neq (0,0)} \int_0^\infty \frac{dt}{t} e^{-\pi t \left(\frac{m_1^2}{\rho_1^2} + \frac{m_2^2}{\rho_2^2} \right)}, \quad (18)$$

$$\int_0^\infty \frac{dx}{x^{1-\nu}} e^{-\frac{b}{x} - cx} = 2 \left| \frac{b}{c} \right|^{\frac{\nu}{2}} K_\nu \left(2\sqrt{|bc|} \right), \quad \int_\epsilon^\infty \frac{dt}{t} e^{-tA} = -\gamma_E - \log(\epsilon A) + \mathcal{O}(\epsilon). \quad (19)$$

With $\epsilon \rightarrow \tilde{\epsilon} = \epsilon 4\pi e^{-\gamma_E}$, this gives rise to

$$a_8^{1\text{-loop},(1)} \simeq -\frac{2\pi}{\vartheta_{12}} \log \left(\rho_2^2 |\eta(iu)|^4 \right). \quad (20)$$

Meanwhile, defining $\alpha = (1, 0), (0, 1), (1, 1)$, the winding sector contributes as

$$a_8^{1\text{-loop},(2)} = -\frac{2\pi}{\vartheta_{12}} \log \left(|\eta(i\vartheta_{12})|^4 \right). \quad (21)$$

We get the full **one-loop** result!

Back-up Slide: Example of an NS5-brane contribution

The full set of BPS conditions is [Obers, Pioline '99]

$$\sum_J n_{IJ} m_J = 0, \quad n_{[IJ} n_{KL]} + \sum_P m_P n_{PIJKL} = 0, \quad n_{I[J} n_{KLMNP]} = 0. \quad (22)$$

An example of such a solution is the configuration

$$(n_{45}, m_1) = P(-\tilde{\nu}_5, \tilde{n}_{23}), \quad (n_{15}, m_4) = Q(\tilde{\nu}_5, \tilde{n}_{23}), \quad (n_{14}, m_5) = R(-\tilde{\nu}_5, \tilde{n}_{23}), \quad (23)$$

where $P, Q, R \in \mathbb{Z}$. This contributes as

$$a_5^{\text{typ}} \simeq \frac{2\pi}{r_{11} t_{12345}} \sum_{\tilde{n}_{23}, \tilde{\nu}_5 \in \mathbb{Z}} \sum_{N > 0} \sum_{P, Q, R, m \in \mathbb{Z}} \int_0^\infty dt t^{\frac{1}{2}} e^{-\pi t \left(N^2 t_{23}^2 L^2 + \frac{m^2}{r_{11}^2} + \left(\frac{P^2}{r_1^2} + \frac{Q^2}{r_4^2} + \frac{R^2}{r_5^2} \right) L^2 \right)} \quad (24)$$

$$\simeq 2\pi \sum_{\tilde{n}_{23}, \tilde{\nu}_5 \in \mathbb{Z}} \sum_{N > 0} \sum_{(P, Q, R, m) \neq (0, 0, 0, 0)} \frac{1}{S L^2} e^{-2\pi NS}, \quad L = \sqrt{\tilde{\nu}_5^2 t_{145}^2 + \tilde{n}_{23}^2}, \quad (25)$$

$$S = \sqrt{P^2 t_{123}^2 + Q^2 t_{234}^2 + R^2 t_{235}^2 + m^2 (\tilde{n}_{23}^2 (r_{11} t_{23})^2 + \tilde{\nu}_5^2 (r_{11} t_{12345})^2)}.$$