

# The scale of many devices

**Niccolò Cribiori**



Max-Planck-Institut für Physik  
(Werner-Heisenberg-Institut)

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Effective theories (EFT) characterized at least by two scales

$$\Lambda_{IR}$$

$$\Lambda_{UV}$$

This talk is about a third scale, the **species scale**, related to  $\Lambda_{UV}$  in *gravitational* EFTs.

It is a scale of many devices, intertwining different subjects: EFTs, black holes, thermodynamics, topological strings, . . . and more in general quantum gravity with mathematics.

# Introduction

## What is the shortest possible length?

Possible answer  $L_p = 1/M_P$  and gravitational perturbation theory governed by  $E/M_p$ . However dimensionless parameters may produce scale  $\Lambda < M_p$ . How to understand this?

E.g. [Han, Willenbrock '04] used unitarity of scattering amplitudes. For  $2 \rightarrow 2$  scattering, spin-2 partial wave breaks unitarity at

$$\Lambda \simeq M_p / \sqrt{N} < M_P \quad \text{with} \quad N = \frac{2}{3} N_s + N_f + 4 N_V$$

Standard Model has  $N \sim \mathcal{O}(10 - 100)$ , hence  $\Lambda \simeq M_p$ . In quantum gravity  $N \gg 1$  expected *universally* at boundary of moduli space [Ooguri, Vafa '06], hence  $\Lambda \ll M_P$  therein.

# The species scale

The species scale aims at making this concrete. It is proposed as **upper bound** on UV cutoff of  $d$ -dimensional gravitational EFTs

$$\Lambda_{UV} \lesssim \Lambda_{sp}$$

The point is that  $\Lambda_{sp}$  is *calculable* from properties of the EFT.

- Perturbatively
  - loop expansion [Veneziano '01; Dvali, Redi '07]
  - higher derivative expansion [Dvali, Redi '07; van de Heisteeg, Vafa, Wiesner, Wu '22, '23; NC, Lüst, Staudt '22]
- Non-perturbatively
  - black holes [Dvali, Redi '07; Dvali Lüst '09; Dvali, Gomez '10]

Interplay between these calculations under investigation

[Castellano, Herraez, Ibanez '22, '23; Calderon-Infante, Delgado, Uranga '24; Bedroya, Vafa, Wu '24; Aoufia, Basile, Leone '24; Bedroya, Mishra, Wiesner '24]

## Species and uncertainty principle

Ideas in quantum gravity suggest modification of Heisenberg uncertainty principle at Planck scale (recent review [Bosso, Luciano, Petruzziello, Wagner '23])

$$\Delta x \Delta p \gtrsim 1 + N^2 L_P^2 (\Delta p)^2$$

implying minimal length  $\Delta x \gtrsim N L_P$  with  $N \sim \mathcal{O}(1)$ .

We propose [NC, Lüst, Montella, in progress] that modification should occur at  $1/\Lambda_{sp} > L_P$ , i.e.  $N \gg 1$ . This makes concrete notion of species scale as shortest possible length

$$\Delta x \Delta p \gtrsim 1 + \Lambda_{sp}^{-2} (\Delta p)^2 \quad \Rightarrow \quad \Delta x \gtrsim 1/\Lambda_{sp}$$

Bound  $N < 10^{30}$  [Bosso, Luciano, Petruzziello, Wagner '23] compatible with decompactification of one extra dimension *only*.

I will focus on the black hole picture of  $\Lambda_{sp}$ . The intuition is that in a theory with  $N_{sp}$  species, the minimal black hole should have entropy  $\mathcal{S} \gtrsim N_{sp}$  [Dvali, Redi '07; Brustein, Dvali, Veneziano '09]. Hence, its inverse horizon radius should not be larger than

$$\Lambda_{sp} = \frac{M_P}{N_{sp}^{\frac{1}{d-2}}}$$

- It intertwines EFTs with: black holes, number and index theory, topological strings
- UV cutoff mapped to counting problem. Counting typically hard, especially at strong coupling, see e.g. [Long, Montero, Vafa, Valenzuela '21]

# Black holes and species



In quantum gravity  $\Lambda_{sp}$  should be function of scalar fields, for there should not be free parameters. The goal is to find this function.

In [Bonnefoy, Ciambelli, Lüst, Lüst '19] general approach to extract info on EFT from entropy of small/large black holes. We can apply it to derive  $\Lambda_{sp}$  as function of scalar fields coupled to the black hole.

Controlled setup given by extremal black holes in 4d N=2 SUGRA. Described microscopically by [Maldacena, Strominger, Witten '97] via wrapped M5-branes in M-theory on  $CY_3 \times S^1$  and macroscopically by [Cardoso, de Wit, Mohaupt '98] via **higher derivative** supergravity.

## Extremal black holes with $R^2$ corrections

Black hole coupled to scalars  $X^\Lambda = (X^0, X^i)$ , entering prepotential

$$F = \underbrace{\frac{1}{6} C_{ijk} \frac{X^i X^j X^k}{X^0}}_{\text{kin. term}} + \underbrace{c_{2i} \frac{X^i}{X^0} A}_{\text{higher der.}} \equiv F_0 + F_1 A$$

and with charges (attractor mechanism [Behrndt, Cardoso, de Wit, Kallosh, Lüst, Mohaupt '96])

$$p^\Lambda = -2 \text{Im} X^\Lambda, \quad q_\Lambda = -2 \text{Im} \partial_\Lambda F$$

Composite N=2 chiral multiplet  $A = (T_{\mu\nu})^2 = -64$  is (graviphoton) background. It contains  $R^2$  as highest component [Antoniadis, Ferrara, Minasian, Narain '97]

$$S_{\text{corr}} = \int c_{2i} \text{Im} \frac{X^i}{X^0} R \wedge *R + \text{SUSY}$$

Microscopically  $c_{2i} = \int c_2(CY_3) \wedge \omega_i$  with  $\omega$  Kähler 2-form.

## Entropy and species scale

Black hole entropy given by [Cardoso, de Wit, Mohaupt '98]

$$\mathcal{S}_{BH} = \pi \left[ Z\bar{Z} + 4\text{Im}(A\partial_A F(X, A)) \right] = \pi \left[ X^0\bar{X}^0 e^{-K} + \frac{1}{6}c_{2i}\text{Im}\frac{X^i}{X^0} \right]$$

For the specific model  $\mathcal{S}_{BH} = \sqrt{\frac{q}{6} (C_{ijk}p^i p^j p^k + c_{2i}p^i)}$  and minimal entropy is for  $\frac{1}{6}C_{ijk}p^i p^j p^k = 0$  but  $c_{2i}p^i \neq 0$ , giving

$$X^0\bar{X}^0 e^{-K} \sim \frac{1}{6}c_{2i}\text{Im}\frac{X^i}{X^0}$$

Thus  $\mathcal{S}_{BH} = \pi \left[ X^0\bar{X}^0 e^{-K} + \frac{1}{6}c_{2i}\text{Im}\frac{X^i}{X^0} \right] \gtrsim F_1 \simeq \mathcal{S}_{BH, \min}$  and we find the species scale as function of scalars [NC, Lüst, Staudt '22]

$$N_{sp} \simeq \mathcal{S}_{BH, \min} \simeq F_1(X)$$

While the method is general and can be used elsewhere, the above setup exemplifies the interplay between various approaches and the richness of the notion of species scale:

- $N_{sp} \simeq F_1$  agrees with [Vafa, Van de Heisteeg, Wiesner, Wu '22] who argued via topological string. Indeed  $F_1$  is genus-one topological string free energy; related to superstring by [Antoniadis, Gava, Narain, Taylor '93].
- $S_{BH} \simeq F_1$  links directly perturbative ( $F_g$ ) and non-perturbative ( $S_{BH}$ ) approach to species scale. Extending it to all orders would amount to “prove” the [Ooguri, Strominger, Vafa '04] conjecture  $|\mathcal{Z}_{top}|^2 = \mathcal{Z}_{BH}$ .
- $N_{sp} \simeq S_{BH}$  hints at statistical interpretation of species scale along lines of black hole thermodynamics. This can be made more precise.

# Species thermodynamics

[NC, Lüst, Montella '23; Basile, NC, Lüst, Montella '24]

$N_{sp} \gg 1$  natural in quantum gravity at boundary of moduli space.  
Here  $N_{sp} \simeq (\Lambda_{sp}^{-1} M_P)^{d-2}$ , which is **intensive** and can be understood as **entropy of species**

$$N_{sp} \leftrightarrow S_{sp}$$

Rest of the dictionary can be built by exploiting **species/black hole correspondence** [Basile, NC, Lüst, Montella '23, '24]: *Set of species behaves collectively as (small) black hole.*

Talks by I. Basile, A. Herraez, D. Lüst, J. Masias, A. Mininno, C. Montella, T. Weigand. Poster by G. Staudt.

The framework allows for explicit calculations

$$T_{BH} \leftrightarrow T_{sp} \quad M_{BH} \leftrightarrow M_{sp}$$

and it can provide bottom-up rationale to swampland conjectures.

# Uncharged species

Consider set of species with

$$M_n = n^{\frac{1}{p}} \Delta m, \quad p : \# \text{ of towers}$$

E.g.:  $p = 1$  for single KK tower,  $p \rightarrow \infty$  for string tower.

Dual to Schwarzschild BH with  $\mathcal{S}_{sp}$ ,  $T_{sp}$ ,  $M_{sp}$ . We find ( $M_P = 1$ )

- Mass: 
$$M_{sp} = \sum_{n=1}^{N_{sp}} M_n \simeq \frac{p}{p+1} \Lambda_{sp}^{3-d}$$
- Entropy: 
$$\mathcal{S}_{sp} = \log \frac{N_{sp}^{\frac{p+1}{p} N_{sp}}}{(N_{sp}!)^{\frac{p+1}{p}}} \simeq \frac{p+1}{p} N_{sp}$$

(log of # of partitions of  $N = M_{sp}/\Delta m$  into  $N_{sp}$  parts)
- Temperature:  $T_{sp} \simeq \Lambda_{sp}$  (from  $dM = TdS + \dots$ )

The Schwarzschild relation  $\mathcal{S} T^{d-2} = 1$  becomes now  $N_{sp} \Lambda_{sp}^{d-2} = 1$ , namely the definition of the species scale we started from.

# The laws of species thermodynamics

- **Zero-th law:** points in moduli space with the same  $\Lambda_{sp}(\phi)$  have the same  $T_{sp}(\phi)$ .
- **First law:**  $\delta E_{sp} = T_{sp}\delta S_{sp} + \dots$
- **Second law:** species entropy does not decrease when moving adiabatically towards boundary of the moduli space

$$\delta\Lambda_{sp}(\phi) \leq 0, \quad \delta S_{sp}(\phi) \geq 0$$

- **Third law:** point  $T_{sp} = 0$  impossible to reach with a finite sequence of steps.

Further developed and motivated in [Herraez, Lüst, Masias, Scalisi '24].



## Charged species

If tower satisfies WGC,  $Q_n > M_n$ , then dual species black hole super-extremal,  $Q_{sp} > M_{sp}$ . Fixed by higher derivative corrections ( $\propto \kappa$ ), leading to modified extremality parameter [Kats, Motl, Padi '06; Hamada, Noumi, Shiu '18]

$$c^2 = M^2 - Q^2 + 2\kappa M^{\frac{2d-8}{d-3}}$$

For simple tower  $M_n = n\Delta m$ ,  $Q_n = (n + \beta)\Delta m$ , we get  $c \simeq \kappa \mathcal{S}^{\frac{d-4}{d-2}}$  and then

$$T_{sp} \simeq \frac{c}{\mathcal{S}_{sp}} \simeq \sqrt{\kappa} \Lambda_{sp}^2$$

Suppressed by factor  $\Lambda_{sp}$  with respect to uncharged case.

## Species in expanding universe

Consider  $d$ -dimensional expanding universe with  $\Lambda_{cc}$ .

Limit  $\Lambda_{cc} \rightarrow 0$  conjectured (swampland [Lüst, Palti, Vafa '19] + unitarity) to be accompanied by tower of states with

$$m \sim \Lambda_{cc}^\alpha, \quad \frac{1}{d} \leq \alpha \leq \frac{1}{2}$$

- Tower of species initially produced when temperature of universe reaches  $T_{sp}$ .
- For KK species with typical mass  $m \simeq M_{KK}$  and corresponding to  $n$  extra dimensions, we have

$$\Lambda_{sp} \simeq M_{KK}^{\frac{n}{d+n-2}}$$

(valid also beyond flat space [Aoufia, Basile, Leone '24])

In scenario with  $M_{KK} \simeq \Lambda_{cc}^{1/d}$  we can relate  $T_{sp}$  to  $\Lambda_{cc}$  via  $\Lambda_{sp}$

$$\text{uncharged species} \quad T_{sp} \simeq \Lambda_{cc}^{\frac{n}{d(d+n-2)}}$$

$$\text{charged species} \quad T_{sp} \simeq \Lambda_{cc}^{\frac{2n}{d(d+n-2)}}$$

The Dark Dimension [Montero, Vafa, Valenzuela '22] is the particular case  $d = 4 = 1/\alpha$ ,  $n = 1$  and  $m \equiv M_{KK} \sim \Lambda_{cc}^{1/4} \sim \Lambda_{sp}^3$  (up to prefactor  $\sim \mathcal{O}(10^{-3})$ ) giving

$$\text{uncharged species} \quad T_{sp} \simeq \Lambda_{cc}^{\frac{1}{12}}$$

$$\text{charged species} \quad T_{sp} \simeq \Lambda_{cc}^{\frac{1}{6}}$$

The latter is initial temperature required for KK gravitons to be dark matter in Dark Dimension [Gonzalo, Montero, Obied, Vafa '22]. Here re-derived from species thermodynamics.

## Species, emergence, ...

Species scale plays central role in the **emergence proposal**:

*Dynamics for all fields are emergent in the infrared by integrating out towers of states down from ultraviolet scale  $\Lambda$  below the Planck scale.* [Palti '19]  
[Heidenreich, Reece, Rudelius '17; Grimm, Palti, Valenzuela '18]

[Blumenhagen, NC, Gligovic, Paraskevopoulou '23] hinted at strong version of the proposal within M-theory (compactifications) by **integrating out all infinite towers with typical mass  $\lesssim \Lambda_{sp}$** .  
Talks by R. Blumenhagen, A. Gligovic, A. Paraskevopoulou

Similarly,  $R^4$  term in 11D shown to be emergent in [Blumenhagen, NC, Gligovic, Paraskevopoulou '24]. It reduces to  $R^2$  correction in 4D giving  $\Lambda_{sp}$  as function of type IIA Kähler moduli. In this sense, the species scale is emergent [Calderon-Infante, Delgado, Uranga '24].

## ... and more

The species scale has been also investigated in relation to

- 4d  $N=1$  EFTs and wormholes [Martucci, Risso, Valenti, Vecchi '24]
- 4d  $N=2$  EFTs [Marchesano, Melotti '22]
- Gravitino conjecture and SUSY breaking in Dark Dimension [NC, Lüst, Scalisi '22; Antoniadis, Anchordoqui, NC, Lüst, Scalisi '23]
- Inflationary cosmology [Scalisi, Valenzuela '18; Lüst, Masias, Muntz, Scalisi '23; Scalisi '24]
- ...

# Outlook

The species scale is rich notion of which much is to be understood.

- Unify various definitions of species scale?
- Improve on species thermodynamics. Does it imply swampland conjectures?
- Going away from asymptotic regions of moduli space?
- What the species scale can teach us about interplay between quantum gravity and mathematics?
- Can we relate it to observations?

Thank you!