

# Surprises from Non-Supersymmetric Strings

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*Scuola Normale Superiore and INFN – Pisa*

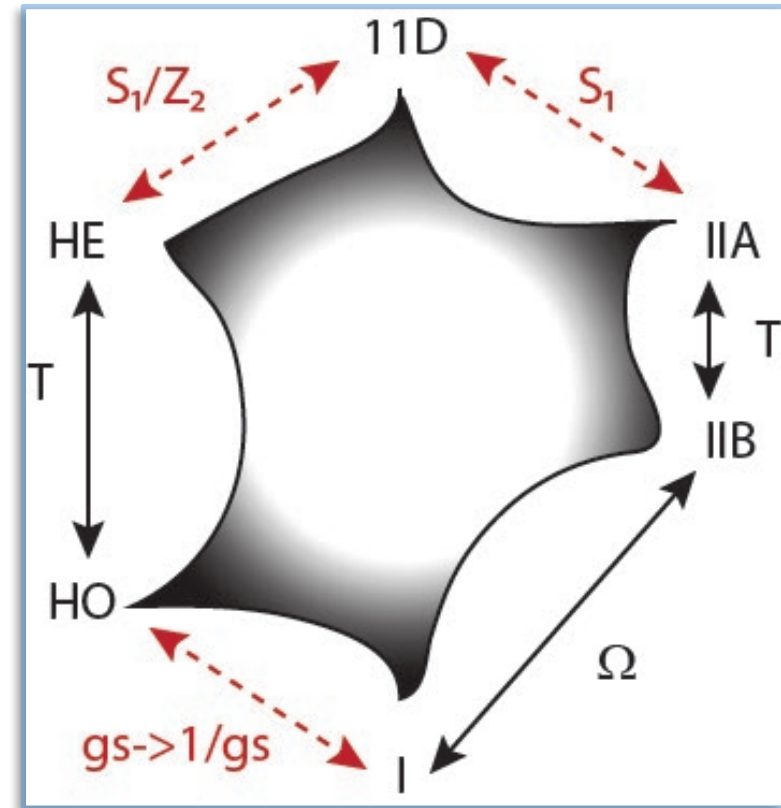
# ***I. 10D Tachyon-Free Models***

# The (SUSY) 10D-11D Hexagon

- Perturbative → **Solid arrows**
- [ 10&11D supergravity → **Dashed arrows** ]
- **Highest point** of (SUSY) String Theory

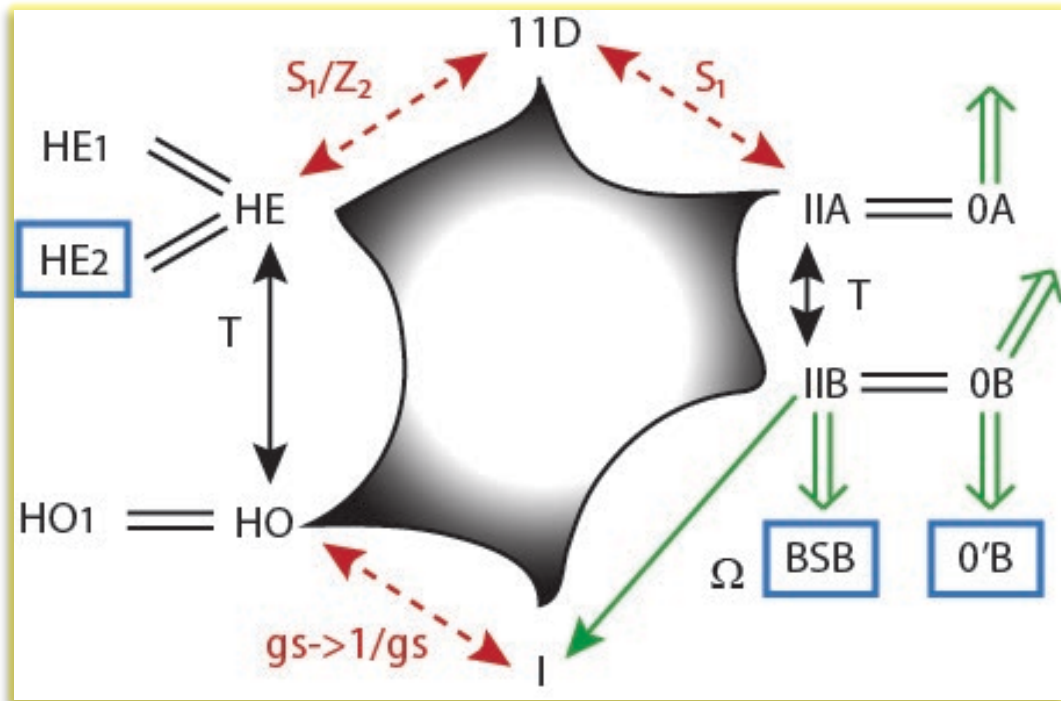
**BUT:**

- Exhibits **dramatically our limitations**  
(Witten, 1995)
- **SUSY: stabilizes** the 10D Minkowski vacua



**BROKEN SUSY ?**

# The 10D-11D Zoo



- Non-SUSY closed & orientifolds

(Seiberg, Witten, 1986)  
(Dixon, Harvey, 1986)  
(Bianchi, AS, 1990)

∃ 3 non-SUSY non-tachyonic strings

- SO(16)xSO(16)
- O'B U(32)
- [BSB: Usp(32)]

(Dixon, Harvey, 1986)  
(Alvarez-Gaumé, Ginsparg, Moore, Vafa, 1987)

(AS, 1995)

(Sugimoto, 1999, Antoniadis, Dudas, AS, 1999)

- NO SUPERSYMMETRY → (typically) TACHYONS
- Fairly enough: we are still UNABLE to cope with them
- ∃ three 10D theories without supersymmetry BUT NO TACHYONS:

1) Heterotic variant

2) Exotic descendant of "tachyonic OB"

3) Brane SUSY breaking



# The Non-Tachyonic 10D String Models

$$O_{2n} = \frac{\theta^n \begin{bmatrix} 0 \\ 0 \end{bmatrix}(0|\tau) + \theta^n \begin{bmatrix} 0 \\ 1/2 \end{bmatrix}(0|\tau)}{2\eta^n(\tau)}, \quad S_{2n} = \frac{\theta^n \begin{bmatrix} 1/2 \\ 0 \end{bmatrix}(0|\tau) + i^{-n} \theta^n \begin{bmatrix} 1/2 \\ 1/2 \end{bmatrix}(0|\tau)}{2\eta^n(\tau)}$$

$$V_{2n} = \frac{\theta^n \begin{bmatrix} 0 \\ 0 \end{bmatrix}(0|\tau) - \theta^n \begin{bmatrix} 0 \\ 1/2 \end{bmatrix}(0|\tau)}{2\eta^n(\tau)}, \quad C_{2n} = \frac{\theta^n \begin{bmatrix} 1/2 \\ 0 \end{bmatrix}(0|\tau) - i^{-n} \theta^n \begin{bmatrix} 1/2 \\ 1/2 \end{bmatrix}(0|\tau)}{2\eta^n(\tau)}$$

$$\eta(\tau) = q^{\frac{1}{24}} \prod_{n=1}^{\infty} (1 - q^n), \quad q = e^{2\pi i \tau},$$

$$\theta \begin{bmatrix} \alpha \\ \beta \end{bmatrix} (z|\tau) = \sum_{n \in \mathbb{Z}} q^{\frac{1}{2}(n+\alpha)^2} e^{i2\pi(n+\alpha)(z-\beta)}$$

**SO(16)xSO(16):**

$$\mathcal{T}_{SO(16) \times SO(16)} = \int_{\mathcal{F}} \frac{d^2\tau}{(Im\tau)^2} \frac{1}{(Im\tau)^4 \eta^8 \bar{\eta}^8} [O_8(\bar{V}_{16} \bar{C}_{16} + \bar{C}_{16} \bar{V}_{16}) + V_8(\bar{O}_{16} \bar{O}_{16} + \bar{S}_{16} \bar{S}_{16}) - S_8(\bar{O}_{16} \bar{S}_{16} + \bar{S}_{16} \bar{O}_{16}) - C_8(\bar{V}_{16} \bar{V}_{16} + \bar{C}_{16} \bar{C}_{16})]$$

(Dixon, Harvey, 1987)

(Alvarez-Gaumé, Ginsparg, Moore, Vafa, 1987)

**U(32):**

$$\frac{1}{2} (\mathcal{T} + \mathcal{K}) = \int_{\mathcal{F}} \frac{d^2\tau}{(Im\tau)^2} \frac{|O_8|^2 + |V_8|^2 + |S_8|^2 + |C_8|^2}{(Im\tau)^4 \eta^8 \bar{\eta}^8} + \frac{1}{2} \int_0^{\infty} \frac{d\tau_2}{(\tau_2)^2} \frac{(-)O_8 + V_8 + S_8 - C_8}{(\tau_2)^4 \eta^8} [2i\tau_2]$$

$$\frac{1}{2} (\mathcal{A} + \mathcal{M}) = \int_0^{\infty} \frac{d\tau_2}{(\tau_2)^2} \frac{\mathcal{N} \bar{\mathcal{N}} V_8 - \frac{1}{2} (\mathcal{N}^2 + \bar{\mathcal{N}}^2) C_8}{(\tau_2)^4 \eta^8} [i\tau_2/2] - \frac{\mathcal{N} + \bar{\mathcal{N}}}{2} \int_0^{\infty} \frac{d\tau_2}{(\tau_2)^2} \frac{\hat{C}_8}{(\tau_2)^4 \hat{\eta}^8} [i\tau_2/2 + 1/2]$$

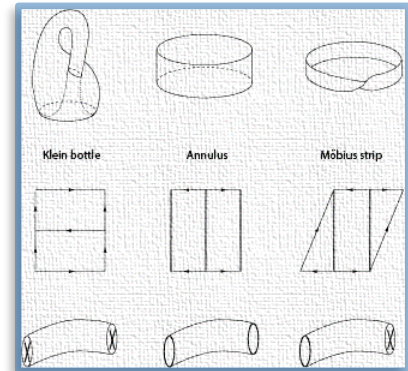
(AS, 1995)

**USp(32):**

$$\mathcal{T}_{IIB} = \int_{\mathcal{F}} \frac{d^2\tau}{(Im\tau)^2} \frac{|V_8 - S_8|^2}{(Im\tau)^4 \eta^8 \bar{\eta}^8} \rightarrow \frac{1}{2} (\mathcal{T} + \mathcal{K}) = \frac{1}{2} \int_{\mathcal{F}} \frac{d^2\tau}{(Im\tau)^2} \frac{|V_8 - S_8|^2}{(Im\tau)^4 \eta^8 \bar{\eta}^8} + \frac{1}{2} \int_0^{\infty} \frac{d\tau_2}{(\tau_2)^2} \frac{V_8 - S_8}{(\tau_2)^4 \eta^8} [2i\tau_2]$$

$$\mathcal{A} + \mathcal{M} = \frac{1}{2} \mathcal{N}^2 \int_0^{\infty} \frac{d\tau_2}{(\tau_2)^2} \frac{V_8 - S_8}{(\tau_2)^4 \eta^8} [i\tau_2/2] - \frac{1}{2} \mathcal{N} \int_0^{\infty} \frac{d\tau_2}{(\tau_2)^2} \frac{(-)\hat{V}_8 - \hat{S}_8}{(\tau_2)^4 \hat{\eta}^8} [i\tau_2/2 + 1/2]$$

(Sugimoto, 1999, Antoniadis, Dudas, AS, 1999)



# The Non-Tachyonic 10D String Models

$$\begin{aligned}
 O_{2n} &= \frac{\theta^n \begin{bmatrix} 0 \\ 0 \end{bmatrix}(0|\tau) + \theta^n \begin{bmatrix} 0 \\ 1/2 \end{bmatrix}(0|\tau)}{2\eta^n(\tau)}, & S_{2n} &= \frac{\theta^n \begin{bmatrix} 1/2 \\ 0 \end{bmatrix}(0|\tau) + i^{-n} \theta^n \begin{bmatrix} 1/2 \\ 1/2 \end{bmatrix}(0|\tau)}{2\eta^n(\tau)} \\
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 \theta \begin{bmatrix} \alpha \\ \beta \end{bmatrix} (z|\tau) &= \sum_{n \in \mathbb{Z}} q^{\frac{1}{2}(n+\alpha)^2} e^{i2\pi(n+\alpha)(z-\beta)}
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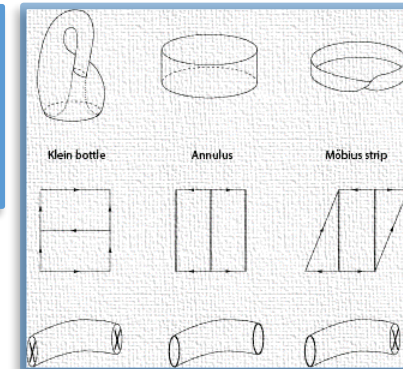
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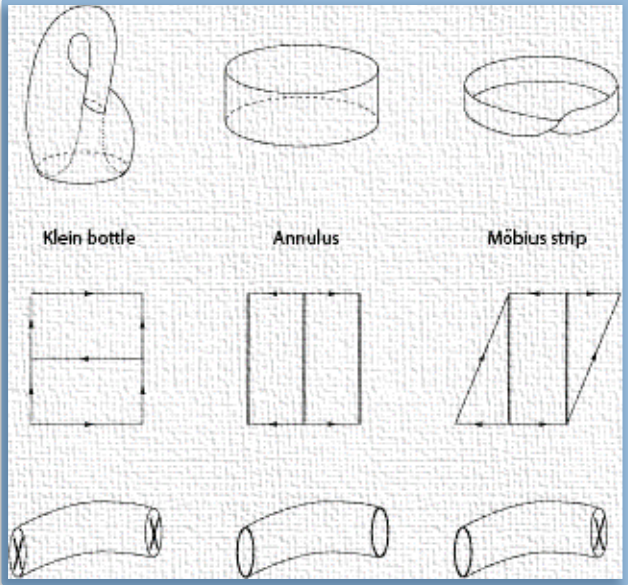
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 V_8 &= \frac{\theta^4 \begin{bmatrix} 0 \\ 0 \end{bmatrix}(0|\tau) - \theta^4 \begin{bmatrix} 0 \\ 1/2 \end{bmatrix}(0|\tau)}{2\eta^4(\tau)}, & C_8 &= \frac{\theta^4 \begin{bmatrix} 1/2 \\ 0 \end{bmatrix}(0|\tau) - \theta^4 \begin{bmatrix} 1/2 \\ 1/2 \end{bmatrix}(0|\tau)}{2\eta^4(\tau)} \\
 \begin{pmatrix} O_8 \\ V_8 \\ -S_8 \\ -C_8 \end{pmatrix} &\xrightarrow{\mathbf{s}} & \begin{pmatrix} 1 & 1 & -1 & -1 \\ 1 & 1 & 1 & 1 \\ -1 & 1 & 1 & -1 \\ -1 & 1 & -1 & 1 \end{pmatrix} \begin{pmatrix} O_8 \\ V_8 \\ -S_8 \\ -C_8 \end{pmatrix}
 \end{aligned}$$

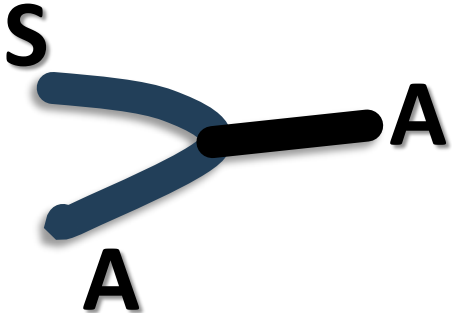


“- signs”: (Schellekens and Warner, 1987)

$$\frac{1}{2} \mathcal{K} = \frac{1}{2} \int_0^\infty \frac{d\tau_2}{(\tau_2)^2} \frac{\epsilon_o O_8 + \epsilon_v V_8 + \epsilon_s (-S_8) + \epsilon_c (-C_8)}{(\tau_2)^4 \eta^8} [2i\tau_2]$$

Standard choice:  $\epsilon_i = (1, 1, 1, 1)$

$$\begin{aligned}
 \epsilon_i &= (1, 1, -1, -1) \\
 \epsilon_i &= (-1, 1, 1, -1)
 \end{aligned}$$



Can change it compatibly with the fusion rules:  
(as in 2D WZW models of ADE series)

(Pradisi, AS, Stanev, 1994)

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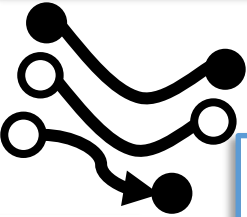
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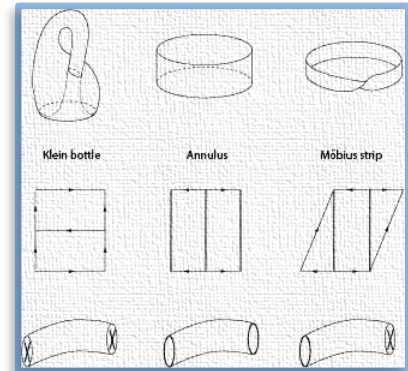
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(Sugimoto, 1999, Antoniadis, Dudas, AS, 1999)



# Back-Reaction on the Vacuum

- **Dual Role** of **Vacuum amplitudes** in **String Theory**:
  - a. **Consistency conditions**
  - b. **Backreaction on vacuum**
- **AT BEST: Double expansion** in powers of  $\alpha' R$  and  $g_s = e^\phi$
- **VERY DIFFICULT: one can at least EXPLORE the dominant terms ... AND YET ...**

$$\mathcal{S} = \frac{1}{2k_{10}^2} \int d^{10}x \sqrt{-G} \left\{ e^{-2\phi} [-R + 4(\partial\phi)^2] - \frac{1}{12} \mathcal{H}_3^2 - \frac{1}{4} e^{-\phi} \text{tr } \mathcal{F}^2 - T e^{-[0,1]\phi} + \dots \right\}$$



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VACUUM  
ENERGY →  
“TADPOLE  
POTENTIAL”

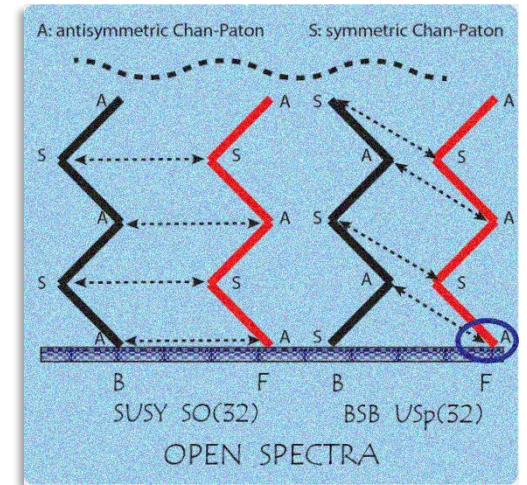
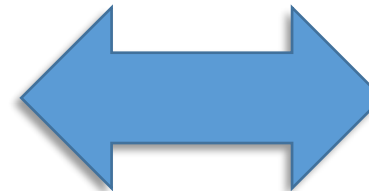
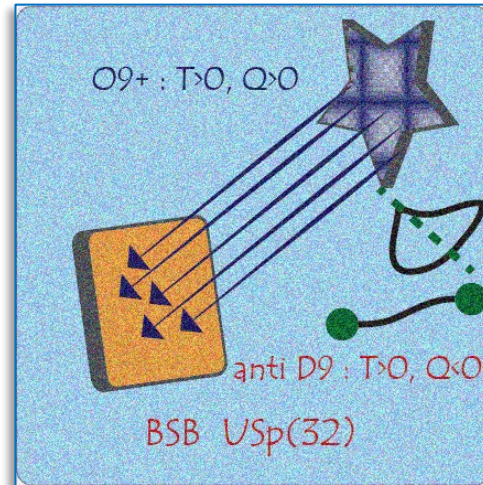
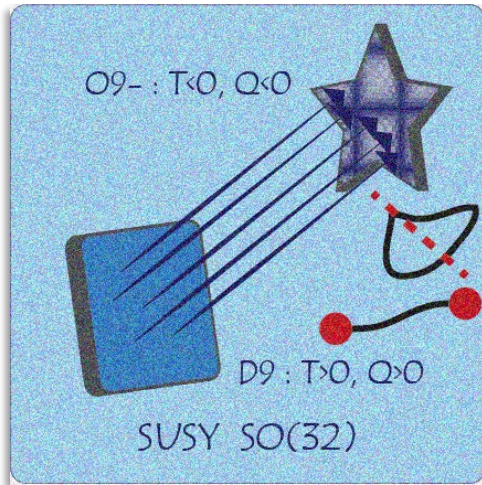
## ***II. Brane Supersymmetry Breaking*** ***(non-linear supersymmetry in $D=10$ )***

# Brane SUSY Breaking (BSB)

(Sugimoto, 1999)  
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 (Angelantonj, 1999)  
 (Aldazabal, Uranga, 1999)

- ❖ NO TACHYONS
- ❖ **Non-linear SUSY:  $\exists$  goldstino!**

(Dudas, Mourad, 2000)  
 (Pradisi, Riccioni, 2001)



**NON-LINEAR REALIZATIONS: USUALLY** limits of linear ones. **WHAT ARE THE “HIGGS” MODES HERE?**

In **D=10 BSB** an **OPTION**, in lower dimensions **INEVITABLE WITH** special Klein-bottle projections

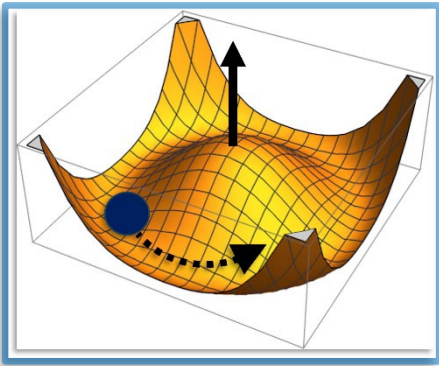
**SUSY IN CLOSED SPECTRUM, NOT IN OPEN:** a puzzle noted in Rome in the early '90's (see hep-th/9302099),

Work with **M. Bianchi** and **G. Pradisi** [See also 2403.02392 for recent developments]



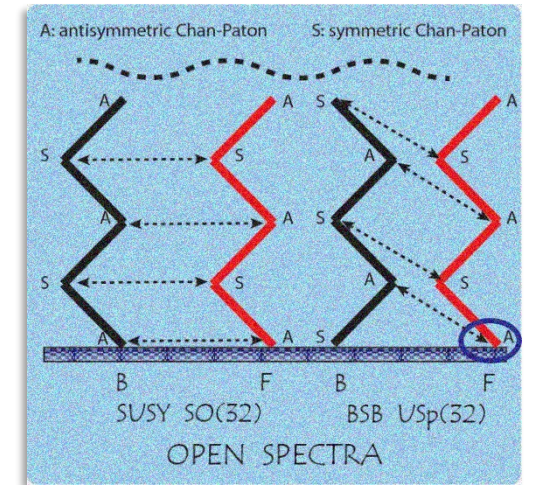
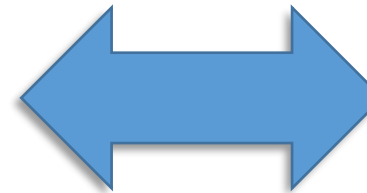
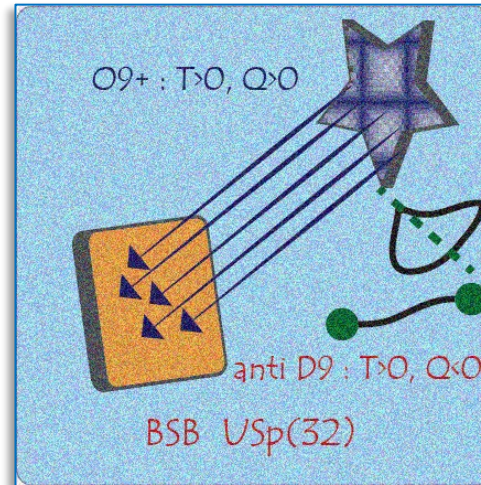
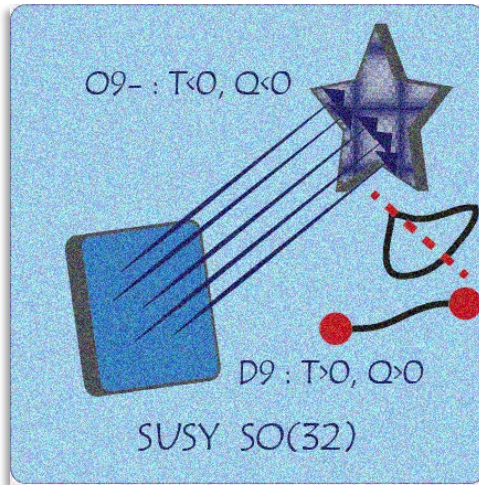
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### ***III. The Climbing Scalar***

***(Different Cosmological dynamics with  $V = e^{\gamma\phi}$  for  $\gamma < \gamma_c$  &  $\gamma \geq \gamma_c$ )***

# Cosmology: "Critical" Potential & Climbing Scalar

WHAT POTENTIALS LEAD TO SLOW-ROLL, AND WHERE ?

(Dudas, Kitazawa, AS, 2010)

$$ds^2 = -dt^2 + e^{2A(t)} dx \cdot dx \quad \longrightarrow \quad \ddot{\phi} + 3\dot{\phi} \sqrt{\frac{1}{3} \dot{\phi}^2 + \frac{2}{3} V(\phi)} + V' = 0$$

Driving force from  $V'$  vs friction from  $V$

- **IF  $V$  does not vanish** : a convenient gauge "makes the damping term neater" (Dudas and Mourad, 2000)

$$ds^2 = e^{2B(t)} dt^2 - e^{\frac{2A(t)}{d-1}} dx \cdot dx$$

$$V e^{2B} = V_0$$

$$\tau = t \sqrt{\frac{d-1}{d-2}}, \quad \varphi = \phi \sqrt{\frac{d-1}{d-2}}$$

$$\begin{aligned} \dot{A}^2 - \dot{\phi}^2 &= 1 \\ \ddot{\phi} + \dot{\phi} \sqrt{1 + \dot{\phi}^2} + \frac{V_\varphi}{2V} (1 + \dot{\phi}^2) &= 0 \end{aligned}$$

- **NOW**: driving from  $\log V$  vs  $O(1)$  damping

$$V = \varphi^n \longrightarrow \frac{V'}{2V} = \frac{n}{2\varphi}$$

❖ **Quadratic potential?**

Far away from origin

(Linde, 1983)

❖ **Exponential potential?**

**YES or NO**

$$V(\varphi) = V_0 e^{2\gamma\varphi} \longrightarrow \frac{V'}{2V} = \gamma$$

# Cosmology: "Critical" Potential & Climbing Scalar

WHAT POTENTIALS LEAD TO SLOW-ROLL, AND WHERE ?

(Dudas, Kitazawa, AS, 2010)

$$ds^2 = -dt^2 + e^{2A(t)} dx \cdot dx \quad \longrightarrow \quad \ddot{\phi} + 3\dot{\phi} \sqrt{\frac{1}{3} \dot{\phi}^2 + \frac{2}{3} V(\phi)} + V' = 0$$

Driving force from  $V'$  vs friction from  $V$

- **IF  $V$  does not vanish** : a convenient gauge "makes the damping term neater" (Dudas and Mourad, 2000)

$$ds^2 = e^{2B(t)} dt^2 - e^{\frac{2A(t)}{d-1}} dx \cdot dx$$

$$V e^{2B} = V_0$$

$$\tau = t \sqrt{\frac{d-1}{d-2}}, \quad \varphi = \phi \sqrt{\frac{d-1}{d-2}}$$

$$\dot{A}^2 - \dot{\varphi}^2 = 1$$

$$\ddot{\varphi} + \dot{\varphi} \sqrt{1 + \dot{\varphi}^2} + \frac{V_\varphi}{2V} (1 + \dot{\varphi}^2) = 0$$

- **NOW**: driving from  $\log V$  vs  $O(1)$  damping

$$V = \varphi^n \longrightarrow \frac{V'}{2V} = \frac{n}{2\varphi}$$

$$m\ddot{x} + b\dot{x} = f$$

❖ **Quadratic potential?**

Far away from origin

(Linde, 1983)

❖ **Exponential potential?**

**YES or NO**

$$V(\varphi) = V_0 e^{2\gamma\varphi} \longrightarrow \frac{V'}{2V} = \gamma$$

# $V = e^{2\gamma\phi}$ : Climbing & Descending Scalars

(HERE we work with  $\gamma_c = 1$ )

(Halliwell, 1987;..., Dudas and Mourad, 2000; Russo, 2004)  
(Dudas, Kitazawa, AS, 2010)

Follow solutions back to the initial singularity:

- $\gamma < 1$ ? Both signs of speed allowed
- a. “Climbing” solution ( $\phi$  climbs, then descends):
- b. “Descending” solution ( $\phi$  only descends):

Limiting  $\tau$ - speed (LM attractor):

$$v_{\text{lim}} = -\frac{\gamma}{\sqrt{1-\gamma^2}}$$

(Lucchin and Matarrese, 1985)

$\gamma = 1$  is “critical”: LM attractor & descending solution disappear for  $\gamma \geq 1$

$$V_S \sim e^{-\phi}$$

$$V_E = e^{\frac{3}{2}\phi}$$

$$(V_E = e^{2\phi})$$

$$\ddot{\phi} + \dot{\phi}\sqrt{1+\dot{\phi}^2} + \frac{V_\phi}{2V}(1+\dot{\phi}^2) = 0$$

$$\ddot{\phi} + \dot{\phi}|\dot{\phi}| + \gamma\dot{\phi}^2 \simeq 0 \rightarrow \dot{\phi} = \frac{C}{t}$$

$$|C| = \frac{1}{1+\epsilon\gamma}, \quad \epsilon = \pm 1$$

$$V = Te^{2\gamma\phi}$$



# $V = e^{2\gamma\phi}$ : Climbing & Descending Scalars

(HERE we work with  $\gamma_c = 1$ )

(Halliwell, 1987;..., Dudas and Mourad, 2000; Russo, 2004)  
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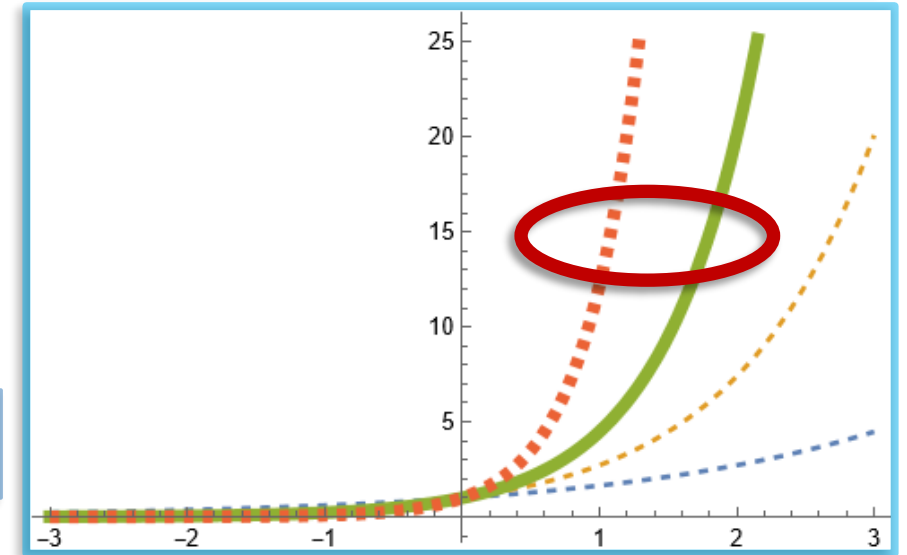
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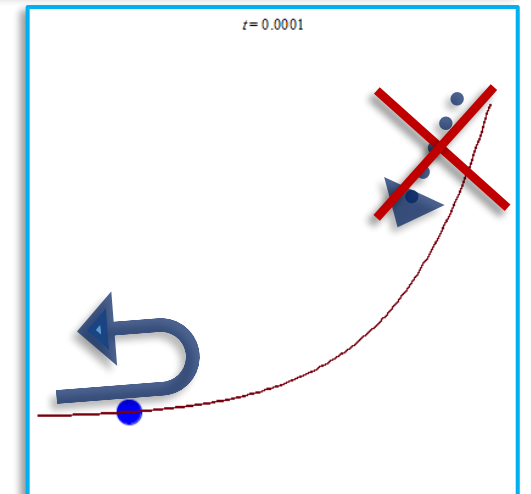
$$(V_E = e^{2\phi})$$

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$$V = T e^{2\gamma\phi}$$



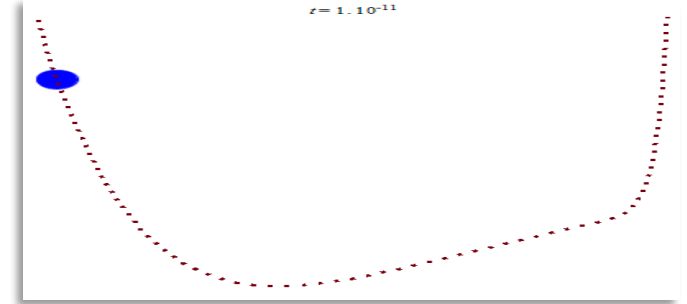
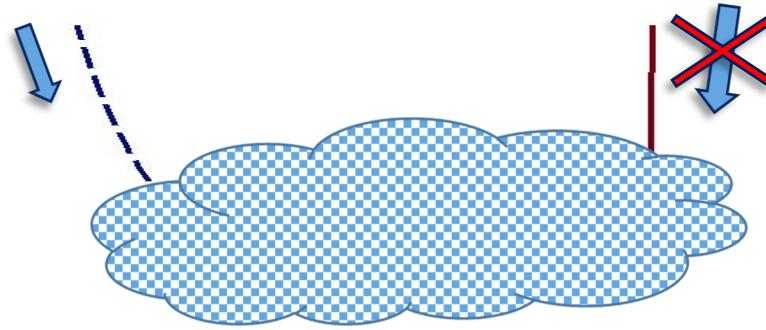
# Cosmology: a Climbing Scalar as Trigger of Inflation?

**CLIMBING & SLOW-ROLL ?** With (super)critical Exponential (e.g. + Starobinsky) → **FIXED INITIAL CONDITIONS**

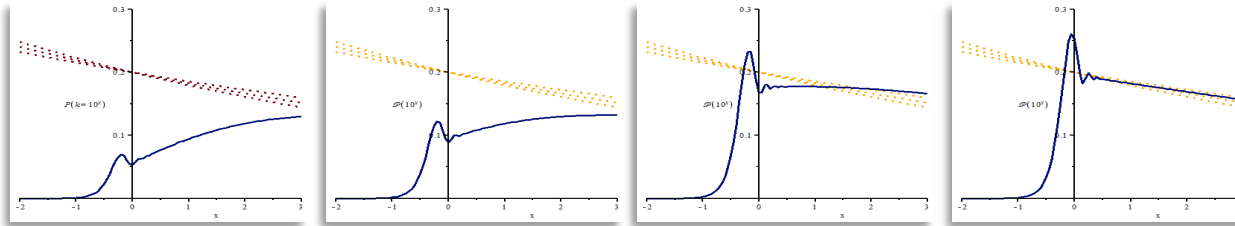
$$V(\phi) = T e^{2\varphi} + v(\phi)$$

$$\text{e.g. } v(\phi) = v_0 \left(1 - e^{-\frac{2}{3}\varphi}\right)^2$$

(Dudas, Kitazawa, Patil, AS, 2013)  
(Kitazawa, AS, 2014)

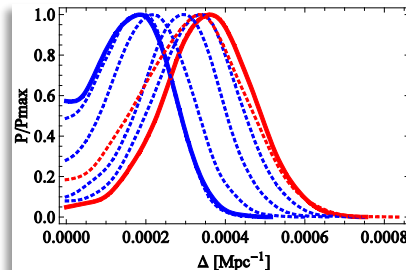
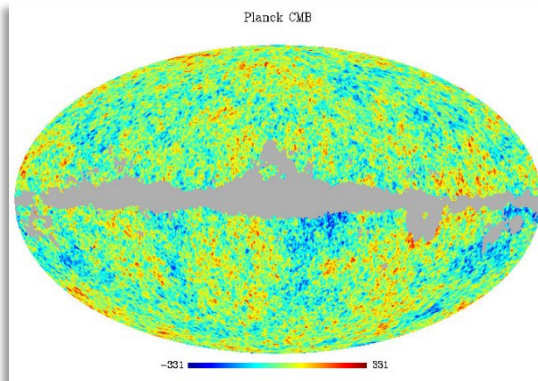


**DAMPED LOW END** of primordial power spectrum → **POSSIBLY:** damping of first CMB multipoles (cfr. lack-of-power)  
[ + enhanced tensor-to-scalar ratio at the transition ]



$$P(k) \sim k^{3-3\nu} \longrightarrow P(k) \sim \frac{k^3}{[k^2 + \Delta^2]^\nu}$$

[ Corrects Chibisov-Mukhanov tilt by  $\Delta$  ]



$$\Delta = (0.351 \pm 0.114) \times 10^{-3} \text{ Mpc}^{-1}$$

$$\Delta_{infl} \sim 10^{12} - 10^{14} \text{ GeV for } N \sim 60$$

[ **RED** : + 30-degree extended mask ]

(Gruppuso, Mandolesi, Natoli, Kitazawa, AS, 2015)  
(+ Lattanzi, 2017)

# Climbing Scalar : Instability of Isotropy

(Basile, Mourad, AS, 2018)

- ❖ **COSMOLOGY** : the issue is the time evolution of perturbations
- ❖ **INITIALLY** (large  $\eta$ )  $V$  is negligible: tensor perturbations evolve as

$$h''_{ij} + \frac{1}{\eta} h'_{ij} + \mathbf{k}^2 h_{ij} = 0$$
$$h_{ij} \sim A_{ij} J_0(k\eta) + B_{ij} Y_0(k\eta) \quad (\mathbf{k} \neq 0)$$
$$h_{ij} \sim A_{ij} + B_{ij} \log\left(\frac{\eta}{\eta_0}\right) \quad (\mathbf{k} = 0)$$

- ❖ **NOTE**: logarithmic growth for  $\mathbf{k}=0$  (instability of isotropy) !!

- ❖ **RESONATES with**

(Kim, Nishimura, Tsuchiya, 2018)

(Anagnostopoulos, Auma, Ito, Nishimura, Papadoulis, 2018)

(HINT of) Dynamical origin of compactification ?



## ***IV. The Dudas-Mourad Vacua***

***(Tadpole-driven compactifications on intervals,  
with strongly coupled portions and yet perturbatively stable)***

# 9D Dudas-Mourad Vacua (for orientifolds)

(Dudas, and Mourad, 2000, 2001)

$$S = \frac{1}{2 k_{10}^2} \int d^{10}x \sqrt{-G} \left\{ e^{-2\phi} [-R + 4(\partial\phi)^2] - \frac{1}{12} \mathcal{H}_3^2 - \frac{1}{4} e^{-\phi} \text{tr } \mathcal{F}^2 - T e^{-\phi} + \dots \right\}$$

9D solutions → T DRIVES compactification & KK CIRCLE → INTERVAL

[For Usp(32) and U(32), & similar for SO(16) x SO(16)]



❖ SPONTANEOUS COMPACTIFICATIONS: INTERVALS of FINITE length  $\sim \frac{1}{\sqrt{T}}$

❖ FINITE 9D Planck mass & gauge coupling

• At ends:  $g_s \rightarrow (\infty, 0)$  & curvature diverges

• ASYMPTOTICS: Kasner-like (FREE!)

$$e^\phi = e^{u + \phi_0} u^{\frac{1}{3}}$$

$$ds^2 = e^{-\frac{u}{6}} u^{\frac{1}{18}} dx^2 + \frac{2}{3T u^{\frac{3}{2}}} e^{-\frac{3}{2}(u + \phi_0)} du^2$$

$$u \rightarrow 0 : ds^2 \sim (\mu_0 \xi)^{\frac{2}{9}} dx^2 + d\xi^2, \quad e^\phi \sim (\mu_0 \xi)^{\frac{4}{3}}$$

$$u \rightarrow \infty : ds^2 \sim [\mu_0 (\xi_m - \xi)]^{\frac{2}{9}} dx^2 + d\xi^2, \quad e^\phi \sim [\mu_0 (\xi_m - \xi)]^{-\frac{4}{3}}$$

• EXTENSIONS:

$$V_E = T e^{\frac{3}{2}\phi} \longrightarrow V_E = T e^{\gamma\phi}$$

Orientifold  $\gamma$ : "CRITICAL" !

• ARE large values of curvature &  $g_s$  INEVITABLE in these non-SUSY compactifications?

• STABILITY ?

# Dudas-Mourad Vacua : Stability , I

(Basile Mourad, AS, 2018)

❖ Dudas-Mourad:  $\exists$  STRONG COUPLING END but STABLE VACUUM !

• **SETUP : Scalar perturbations:**

$$ds^2 = e^{2\Omega(z)} [(1 + A) dx^\mu dx_\mu + (1 - 7A) dz^2] ,$$

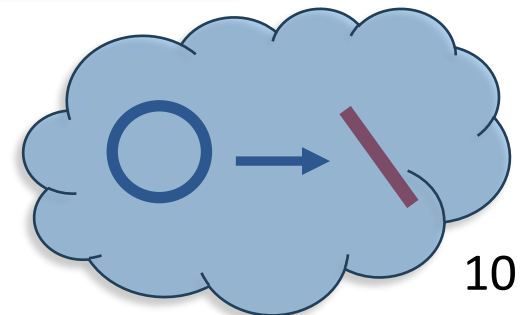
$$A'' + A' \left( 24\Omega' - \frac{2}{\phi'} e^{2\Omega} V_\phi \right) + A \left( m^2 - \frac{7}{4} e^{2\Omega} V - 14 e^{2\Omega} \Omega' \frac{V_\phi}{\phi'} \right) = 0$$

❖ **Schrödinger-like form:**

$$m^2 \Psi = (b + \mathcal{A}^\dagger \mathcal{A}) \Psi$$

$$\mathcal{A} = \frac{d}{dr} - \alpha(r) , \quad \mathcal{A}^\dagger = -\frac{d}{dr} - \alpha(r) , \quad b = \frac{7}{2} e^{2\Omega} V \frac{1}{1 + \frac{9}{4} \alpha_O y^2} > 0$$

**BUT: Boundary Conditions !**



# Self-Adjoint Extensions

(Mourad, AS, 2023)

- ❖ **SELF-ADJOINT EXTENSIONS (boundary conditions) → COMPLETE SETS of modes**
- ❖ **In conformal coordinate along the  $[0, z_m]$  interval → Schrödinger form**

$$-\frac{d^2 \psi(z)}{dz^2} + V(z) \psi(z) = m^2 \psi(z)$$

**&  $\forall$  two solutions**

$$\left[ \psi^* \partial_z \chi - \partial_z \psi^* \chi \right]_0^{z_m} = 0$$

$$\underline{\psi} = \begin{pmatrix} \psi \\ z_m \partial_z \psi \end{pmatrix}, \quad \underline{\chi} = \begin{pmatrix} \chi \\ z_m \partial_z \chi \end{pmatrix} \longrightarrow \underline{\psi}^\dagger(z_m) \sigma_2 \underline{\chi}(z_m) = \underline{\psi}^\dagger(0) \sigma_2 \underline{\chi}(0)$$
$$e^{i\beta} \underline{\psi}(z_m) = U \underline{\psi}(0), \quad e^{i\beta} \underline{\chi}(z_m) = U \underline{\chi}(0) \quad U^\dagger \sigma_2 U = \sigma_2$$

- ❖ **Generic self-adjoint boundary conditions: points in  $SL(2, \mathbb{R}) \times U(1)$**

$$U(\rho, \theta_1, \theta_2) = \cosh \rho (\cos \theta_1 \underline{1} - i \sigma_2 \sin \theta_1) + \sinh \rho (\sigma_3 \cos \theta_2 + \sigma_1 \sin \theta_2)$$

- ❖ **Link between ends **ALSO** characterized by a matrix **V** of  $SL(2, \mathbb{R})$  (Wronskian is **CONSTANT**)**

- ❖ **Eigenvalue equation:**  $\text{Tr} [U^{-1} V] = 2 \cos \beta$

- ❖ **Boundary conditions given independently at the ends:  $\rho \rightarrow \infty$  (Boundary of  $SL(2, \mathbb{R})$ )**

# Singular Potentials & Self-Adjoint Extensions

(Mourad, AS, 2023)

❖ **SELF-ADJOINT EXTENSIONS (boundary conditions) → COMPLETE SETS of NORMALIZABLE modes**

$$V(z) \sim \frac{\mu^2 - \frac{1}{4}}{z^2}, \quad V(z) \sim \frac{\tilde{\mu}^2 - \frac{1}{4}}{(z_m - z)^2} \longrightarrow \psi \sim z^{\frac{1}{2} \pm \mu}, \quad \psi \sim (z_m - z)^{\frac{1}{2} \pm \tilde{\mu}}$$

- Two choices at  $z=0$  ONLY IF  $\mu < 1$  (and similarly at right end)
- In the cases of interest for  $\gamma \leq \gamma_c$ :  $\mu = \tilde{\mu}$

❖ The possible self—adjoint extensions depend on  $\mu$

- $\mu \geq 1$ : UNIQUE b.c. → SCALAR MODES (MASSIVE)
- $\mu < 1$ : b.c.  $\in \text{SL}(2, \mathbb{R}) \times \text{U}(1)$  → [indep.: AdS<sub>3</sub> boundary ( $\theta_1, \theta_2$ )] → TENSOR & VECTOR MODES

**STABILITY ANALYSIS ( $m^2 > 0$ ) → EXACT LEGENDRE EIGENVALUE EQUATION**

$$H = \mathcal{A}^\dagger \mathcal{A} \quad V_\pm = \left( \frac{\pi}{z_m} \right)^2 \left[ \frac{(\mu^2 - \frac{1}{4})}{\sin^2 \left( \frac{\pi z}{z_m} \right)} - \left( \frac{1}{2} \pm \mu \right)^2 \right]$$

**Legendre functions  
(& Exact zero modes)**

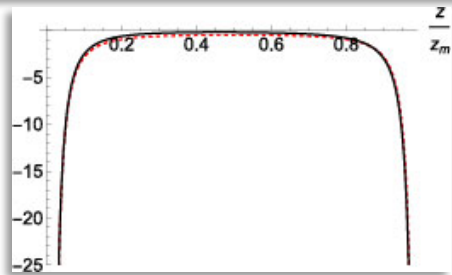
# Dudas-Mourad Vacua : Stability , II

(Mourad, AS, 2023)

- (Singular) potentials closely approximated by Legendre ones
- Exact eigenvalue equations
- Vertical adjustments: compare with the exact zero modes

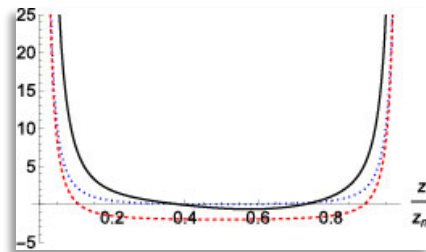
$$V_{\pm} = \left( \frac{\pi}{z_m} \right)^2 \left[ \frac{\left( \mu^2 - \frac{1}{4} \right)}{\sin^2 \left( \frac{\pi z}{z_m} \right)} - \left( \frac{1}{2} \pm \mu \right)^2 \right]$$

Tensor Modes ( $\mu=0$ ) :

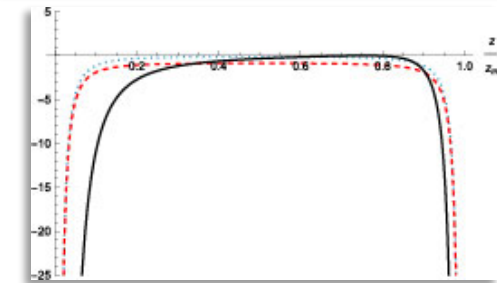


Contour lines  
of fixed tachyon mass

Scalar Modes ( $\mu=1$ )



Vector Modes ( $\mu=3/8$ ) :



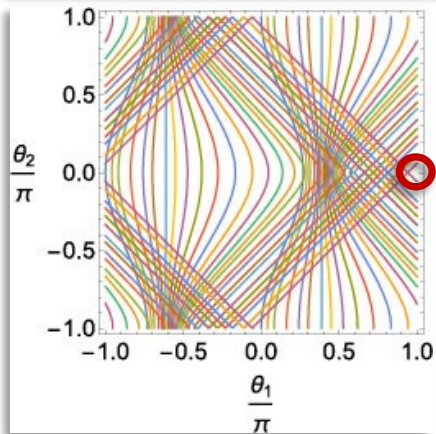
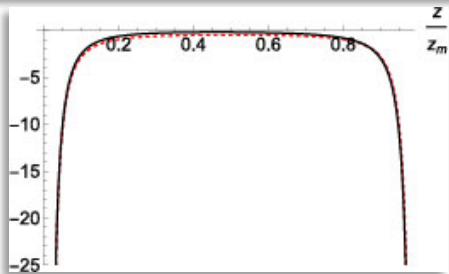
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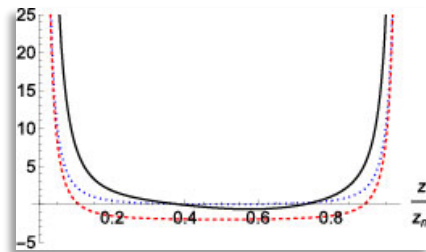
$$V_{\pm} = \left(\frac{\pi}{z_m}\right)^2 \left[ \frac{\left(\mu^2 - \frac{1}{4}\right)}{\sin^2\left(\frac{\pi z}{z_m}\right)} - \left(\frac{1}{2} \pm \mu\right)^2 \right]$$

## Tensor Modes ( $\mu=0$ ) :



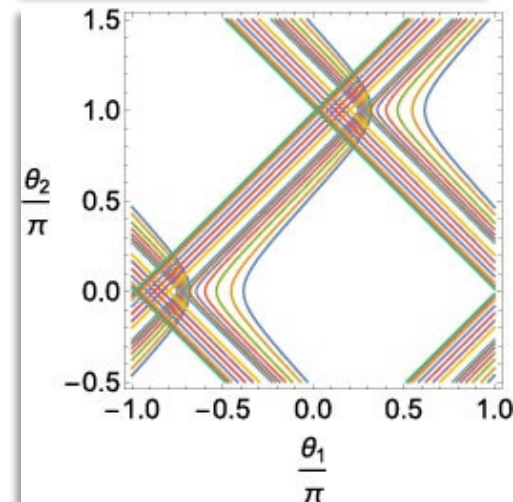
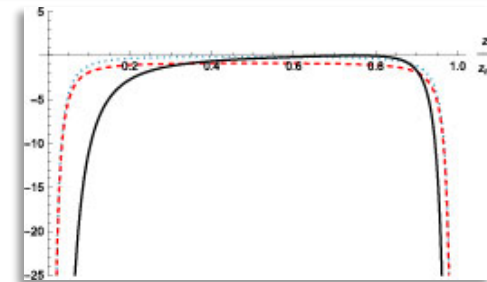
## Contour lines of fixed tachyon mass

## Scalar Modes ( $\mu=1$ )



**UNIQUE b.c.**  
[up to vertical adjustment]  
(massive scalar)

## Vector Modes ( $\mu=3/8$ ) :



**WIDE stability regions**  
(generic massive/massless vector)

$$m^2 \simeq \left(\frac{\pi}{z_m}\right)^2 n(n+1), \quad n = 0, 1, 2, \dots$$

**UNIQUE stable b.c. ( $\pi, 0$ )**  
(massless 9D graviton !)

$$m^2 \simeq \left(\frac{\pi}{z_m}\right)^2 \left[ n(n+1) - \frac{7}{8} \right], \quad n = 1, 2, \dots$$



# ***V. Some Further Developments***

***(Insights on the nature of boundaries)***



# $M_d \times I \times T^{9-d}$ Vacua with TADPOLE Potential

(Mourad, AS, 2021)

Solution	Left(L)	Left(T)	Left( $g_s$ )	Right(L)	Right(T)	Right( $g_s$ )
$\gamma = \gamma_c, \beta = 0$	F	is. (0)	$\infty$	F	is. (0)	$\infty$
$\gamma = \gamma_c, \beta \neq 0, p = 8$	F	is. (0)	0	F	is. (0)	$\infty$
$\gamma = \gamma_c, \beta \neq 0, p < 8$	F	anis. (0)	A	F	is. (0)	$\infty$
$\gamma < \gamma_c, p = 8$	F	is. (0)	0	F	is. (0)	$\infty$
$\gamma < \gamma_c, \cos \eta < -\frac{\gamma}{\gamma_c}$	F	anis. (0)	0	F	anis. (0)	$\infty$
$\gamma < \gamma_c, \cos \eta > \frac{\gamma}{\gamma_c}$	F	anis. (0)	$\infty$	F	anis. (0)	0
$\gamma < \gamma_c,  \cos \eta  < \frac{\gamma}{\gamma_c}$	F	anis. (0)	$\infty$	F	anis. (0)	$\infty$
$\gamma > \gamma_c, p = 8, E = 0$	F	is. ( $\neq 0$ )	$\infty$	$\infty$	is. ( $\neq 0$ )	0
$\gamma > \gamma_c, E = 0, \phi_1 > 0$	F	is. ( $\neq 0$ )	$\infty$	F	anis. (0)	$\infty$
$\gamma > \gamma_c, E = 0, \phi_1 = 0$	F	is. ( $\neq 0$ )	$\infty$	$\infty$	is. ( $\neq 0$ )	0
$\gamma > \gamma_c, E = 0, \phi_1 < 0$	F	is. ( $\neq 0$ )	$\infty$	$\infty$	anis. (0)	0
$\gamma > \gamma_c, p = 8, E > 0, (u)$	F	is. ( $\neq 0$ )	$\infty$	F	is. (0)	0
$\gamma > \gamma_c, p = 8, E > 0, (l)$	F	is. ( $\neq 0$ )	$\infty$	$\infty$	is. (0)	0
$\gamma > \gamma_c, E > 0, (u)$	F	is. ( $\neq 0$ )	$\infty$	F	anis. (0)	A
$\gamma > \gamma_c, E > 0, (l)$	F	is. ( $\neq 0$ )	$\infty$	$\infty$	anis. (0)	0
$\gamma > \gamma_c, E < 0,$	F	is. ( $\neq 0$ )	$\infty$	F	is. ( $\neq 0$ )	$\infty$

**INTERNAL INTERVAL** again: the **TWO GROUPS OF COLUMNS** refer to its **LEFT** and **RIGHT** ends

- **F** and  $\infty$  : finite or infinite distances from  $r=0$
- **(0, A,  $\infty$ )**:  $g_s$  vanishes, can be anything (zero, finite or infinite, depending on parameters)
- **(0)**: the vacuum approaches asymptotically the **(an)isotropic T=0 solutions**  
[links with Blumenhagen et al (2021-23) & Uranga et al (2022-23)]
- **( $\neq 0$ )**: the tension **T** is **NOT sub-dominant** in the limiting region

**NOTE: SHARP CHANGE OF BEHAVIOR across  $\gamma=\gamma_c$**

# $M_d \times I \times T^{9-d}$ Vacua with TADPOLE Potential

(Mourad, AS, 2021)

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$\gamma > \gamma_c, p = 8, E > 0, (u)$	F	is. ( $\neq 0$ )	$\infty$	F	is. (0)	0
$\gamma > \gamma_c, p = 8, E > 0, (l)$	F	is. ( $\neq 0$ )	$\infty$	$\infty$	is. (0)	0
$\gamma > \gamma_c, E > 0, (u)$	F	is. ( $\neq 0$ )	$\infty$	F	anis. (0)	$A$
$\gamma > \gamma_c, E > 0, (l)$	F	is. ( $\neq 0$ )	$\infty$	$\infty$	anis. (0)	0
$\gamma > \gamma_c, E < 0,$	F	is. ( $\neq 0$ )	$\infty$	F	is. ( $\neq 0$ )	$\infty$

**INTERNAL INTERVAL** again: the **TWO GROUPS OF COLUMNS** refer to its **LEFT** and **RIGHT** ends

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 [links with Blumenhagen et al (2021-23) & Uranga et al (2022-23)]
- **( $\neq 0$ )**: the tension **T** is **NOT sub-dominant** in the limiting region

**NOTE: SHARP CHANGE OF BEHAVIOR across  $\gamma=\gamma_c$**

# $D=4$ with Fluxes on $T^5 \times I$

(Mourad, AS, 2023)

❖ **Five-form flux in IIB**  $\rightarrow$   $\phi$  **CONSTANT, SPATIAL INTERVAL** of length  $l$

$$ds^2 = \frac{\eta_{\mu\nu} dx^\mu dx^\nu}{[h \sinh(\tilde{r})]^{\frac{1}{2}}} + [\sinh(\tilde{r})]^{\frac{1}{2}} \left[ l^2 e^{-\frac{\sqrt{10}}{2} \tilde{r}} d\tilde{r}^2 + (2\Phi l)^{\frac{2}{5}} e^{-\frac{\sqrt{10}}{10} \tilde{r}} (d\tilde{y}^i)^2 \right]$$
$$\mathcal{H}_5^{(0)} = \frac{1}{2h} \frac{dx^0 \wedge \dots \wedge dx^3 \wedge d\tilde{r}}{[\sinh(\tilde{r})]^2} + \Phi d\tilde{y}^1 \wedge \dots \wedge d\tilde{y}^5$$

❖ **FINITE gs , BUT STILL CURVATURE SINGULARITY ]**

USED EXTENSIVELY: (Bergshoeff, Kallosh, Ortin, Roest, Van Proeyen, 2001)

- **SUSY BREAKING** scale  $\sim 1/l$
- **SUSY recovered asymptotically at one end**
- **Less familiar tensor eqs: (+ Einstein eqs.)**
- **Interval of FINITE length :**  $l \sim H^{\frac{1}{4}} \rho^{\frac{5}{4}}$
- **PERTURBATIONS:**  $\rightarrow$  **Schrödinger-like systems**  $\exists$  **STABLE BOUNDARY CONDITONS!**  
(Hypergeometric setup)

# A Closer Look at the Interval, I

(Mourad, AS, 2022, 2023)

One can “explore” the interval with a probe brane :

$$\begin{aligned}\frac{S}{V_3} &= -T_3 \int dt e^{4A(r(t))} \sqrt{1 - e^{2(B-A)(r(t))} \dot{r}(t)^2} + q_3 \int b[r(t)] dt \\ b(r) &= -\frac{1}{4\rho H} \left[ \coth\left(\frac{r}{\rho}\right) - 1 \right] \\ E &= \frac{T_3 e^{4A(r(t))}}{\sqrt{1 - e^{2(3A+5C)(r(t))} \dot{r}(t)^2}} - q_3 b\end{aligned}$$

The probe brane feels the potential below:

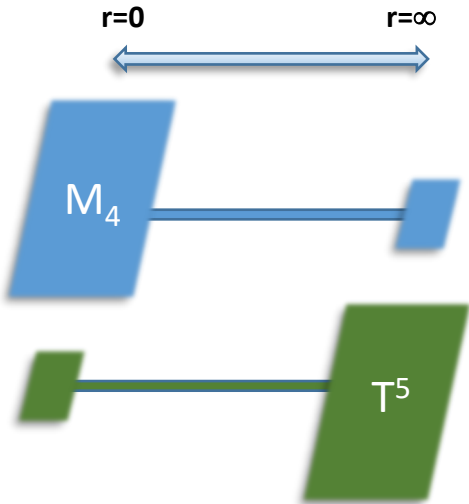
$$\begin{aligned}V(r) &= T_3 e^{4A} - q_3 b = \frac{1}{2|H|\rho} \left[ \frac{T_3}{\sinh\left(\frac{r}{\rho}\right)} + \frac{q_3 \operatorname{sign}(H)}{2} \left( \coth\left(\frac{r}{\rho}\right) - 1 \right) \right] \\ V &\sim \left[ \frac{1}{r} \left[ T_3 + \frac{q_3}{2} \operatorname{sign}(H) \right] \right]\end{aligned}$$

**BPS  $r=0$  endpoint ! (consistently w. Killing spinor emerging as  $\rho \rightarrow \infty$ )**

**NO FORCE:** if  $T_3$  and  $q_3$  are TUNED (the factor  $\frac{1}{2}$  depends on conventions)

# A Closer Look at the Interval, II

(Mourad, AS, 2022, 2023)



Einstein action with York-Gibbons-Hawking term & its variation :

$$\mathcal{S}_{grav} = \frac{1}{2k_{10}^2} \int_{\mathcal{M}} d^9 x dr \sqrt{-\tilde{g}} \mathcal{N} \left[ \tilde{\mathbf{R}} + \mathcal{K}_{mn} \mathcal{K}_{pq} (\tilde{g}^{mn} \tilde{g}^{pq} - \tilde{g}^{mp} \tilde{g}^{nq}) \right]$$

$$\tilde{g}_{mn} = g_{mn}, \quad \mathcal{N}_m = g_{mr}, \quad \mathcal{N}^2 + \mathcal{N}^m \mathcal{N}_m = g_{rr}, \quad \mathcal{K}_{mn} = \frac{1}{2\mathcal{N}} \left( \partial_r \tilde{g}_{mn} - \tilde{D}_{(m} \mathcal{N}_{n)} \right)$$

$$\mathbf{G}_{mn} - \mathbf{T}_{mn} + \frac{\delta(\mathbf{r} - \mathbf{R}^*)}{\mathcal{N}} \left[ \mathcal{K}_{mn} - \tilde{g}_{mn} \mathcal{K} \right] - \frac{\delta(\mathbf{r} - \mathbf{r}^*)}{\mathcal{N}} \left[ \mathcal{K}_{mn} - \tilde{g}_{mn} \mathcal{K} \right] = 0,$$

This reveals TENSION (and CHARGE) of an EFFECTIVE BPS  $O_3$  orientifold at  $r=0$   
 Neat realization of “dynamical cobordism” (HERE protected by SUSY)

(McNamara, Vafa, 2019)  
 (Uranga et al, 2021)  
 (Blumehagen et al, 2021)  
 (Raucci, 2022)

$$G_{\mu\nu} - T_{\mu\nu} + H \tilde{g}_{\mu\nu} \sqrt{-\det \tilde{g}_{\mu\nu}} \delta(z - z^*) = 0$$

$$\mathcal{S}_T = \frac{H}{k_{10}^2} \int d^9 x \sqrt{-\det \tilde{g}_{\mu\nu}} \Big|_{z^*} \longrightarrow \mathbf{T} = - \frac{\Phi}{k_{10}^2}$$

- SITUATION LESS CLEAR at other NON-SUSY end (BUT opposite charge)

# Summarizing

❖ **Tadpoles** → **Dudas-Mourad vacua**: **BOUNDARIES** play a key role !

✓ **STABILITY**: **NO** tachyon modes emerge [cfr **UNSTABLE AdS x S** !]

*(Basile, Mourad, AS, 2018)*  
*(Raucci, 2023)*  
*(Mourad, AS, 2023)*

• **(Proportional) Tension & charge of EFFECTIVE (SUSY) ORIENTIFOLD at one end**

• **∃ (explicit) correspondence** with work on “**Dynamical Cobordism**”

*(McNamara, Vafa, 2019)*  
*(Uranga et al, 2021)*  
*(Blumehagen et al, 2021)*  
*(Raucci, 2022)*

[See: **(Bergshoeff, Riccioni et al, 2006 –)** for a wide zoo of lower-dimensional branes built via SUGRA U-dualities]

✓ **COSMOLOGY**: **climbing & inflation** → (lack-of-power [ enhanced tens.-to-scal. ratio]  
[& non-Gaussianities?])

• **INTRIGUING INSTABILITY OF ISOTROPY** ( $k=0$ ) in “climbing scalar” Cosmology : **4D by accident?**

➤ **BRANES & TADPOLES** → (un)charged branes in Dudas-Mourad vacua **(Salvatore Raucci’s talk)**

**(2406.14296, 2406.16327)**

***Thank You  
for  
Your Attention***