

# An Axion Cosmology Scenario

**String Pheno 2024**

**Matt Reece, Harvard University**

# Introduction

# Why Axions?

## Bottom up model building:

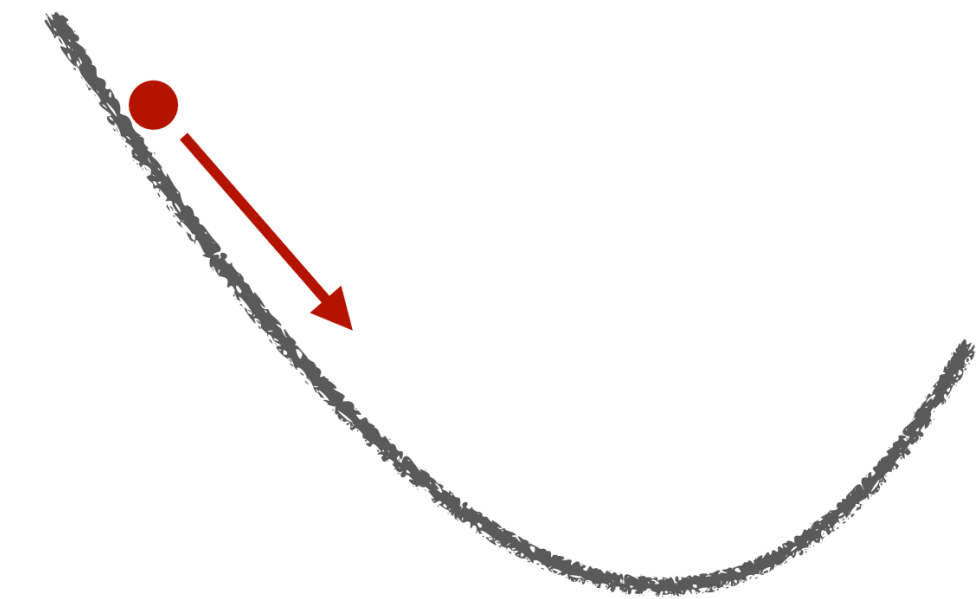
- QCD axion solves the Strong CP problem
- Misalignment mechanism produces cold dark matter

## Top down model building:

- String constructions often predict axions

## General principles:

- Axions as gauge fields for  $(-1)$ -form instanton number “symmetry”
- No free parameters in quantum gravity



# Chern-Weil Global Symmetries

Gauge theories intrinsically have symmetries connected to characteristic classes, e.g., in  $d > 4$  we have U(1)  $(d - 5)$ -form “**instanton number symmetry**”:

$$\frac{1}{8\pi^2} d [\text{tr}(F \wedge F)] = 0 \quad \text{follows from the nonabelian Bianchi identity} \quad d_A F = 0.$$

Quantum gravity: often **gauged** by Chern-Simons terms

$$\int \frac{1}{8\pi^2} C_{d-4} \wedge \text{tr}(F \wedge F). \text{ Sometimes } \textbf{explicitly broken}, \text{ e.g., by monopoles.}$$

For instance, for U(1),

$$dF = 2\pi j_{\text{mon}}$$

implies

$$d(F \wedge F) \neq 0.$$



w/ Ben Heidenreich, Jake McNamara, Miguel Montero, Tom Rudelius, Irene Valenzuela



# Axions from Quantum Gravity Principles

In  $d = 4$  the story is a bit different:  $d [\text{tr}(F \wedge F)] = 0$  is trivial because this is a top form. Does a U(1) “ $(-1)$ -form symmetry” have nontrivial meaning?

One definition: such a symmetry  $\Rightarrow$  theory admits **consistent coupling to a background axion  $\theta(x)$** .

Again this can be **gauged** — coupled to a **dynamical axion** — or **broken**, e.g., by monopoles, for which the Witten effect obstructs the coupling to  $\theta(x)$ .

Then “no  $(-1)$ -form symmetry in QG” closely related to “**no free parameters in QG.**”

Rich story about monopoles, dyon modes, etc.

see my recent symmetry seminar “Instanton Number as a Symmetry”



w/ Daniel Aloni, Eduardo García-Valdecasas, Motoo Suzuki  
arXiv:2402.00117 [hep-th] and work in progress

# Axion Models at a Glance

<b>“Pre-inflation” scenario</b>	<b>Pseudo-Nambu-Goldstone for 4d <math>U(1)_{PQ}</math></b>  Quality problem  Isocurvature problem	<b>Zero mode of gauge field in higher dimensions</b>  (Quality problem)  Isocurvature problem
<b>Post-inflation PQ transition</b>	Quality problem  Domain wall problem  Stable relic problem	<b>Not possible</b> (no linearly realized PQ symmetry to break)  (maybe similar late-time physics from other initial conditions?)



# Post-Inflation Axion Cosmology

4d U(1) PQ symmetry spontaneously broken after inflation.

- Axion randomized, strings form (Kibble-Zurek)
- QCD phase transition: axion domain walls form
- String-wall network destroys itself ( $N_{\text{DW}} = 1$ )

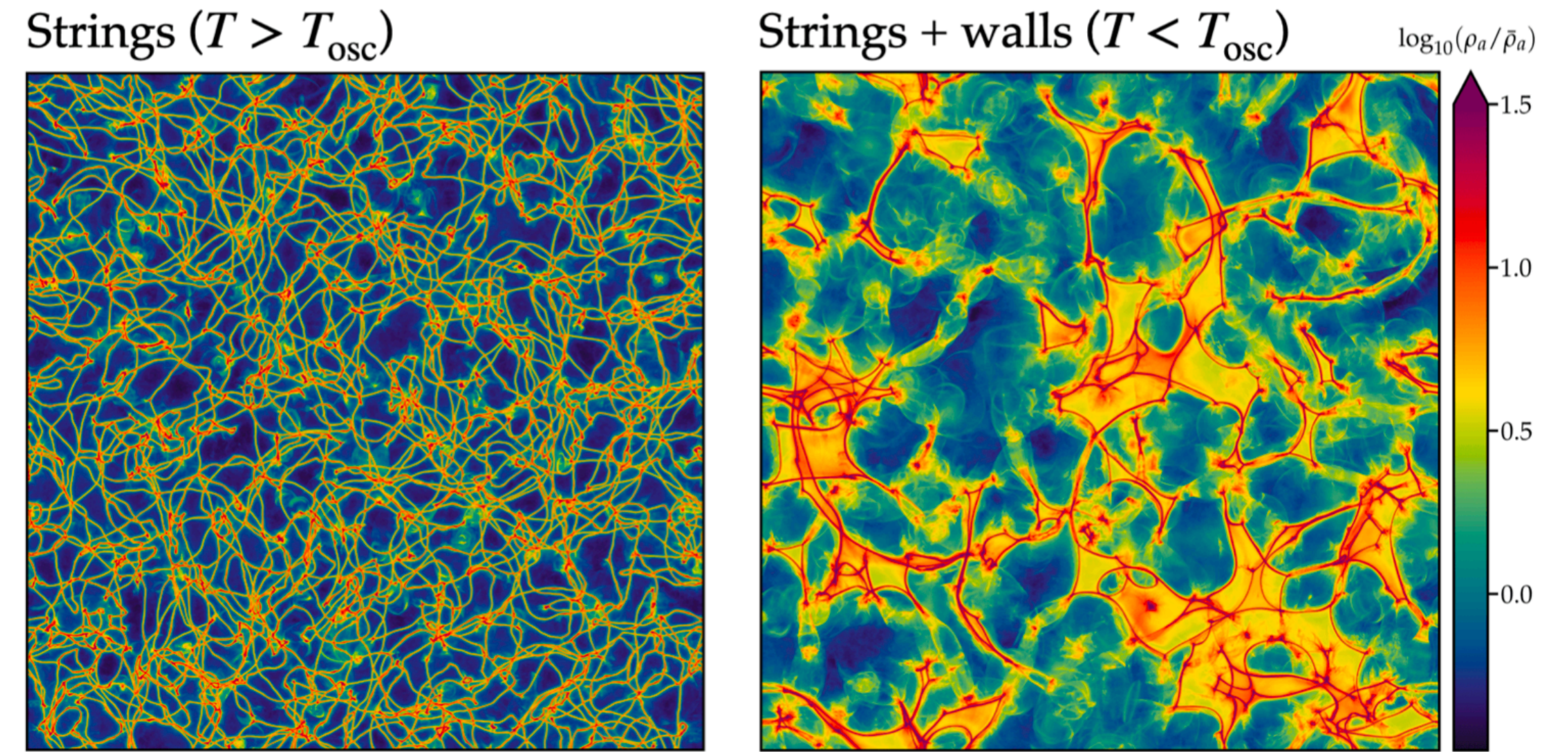


Figure from **Ciaran O'Hare's** lectures on axion cosmology, arXiv:2403.17697 [hep-ph]  
Using code from **Alejandro Vaquero, Javier Redondo, Julia Stadler**, arXiv:1809.09241

Axion dark matter relic abundance dominantly from axion emission from string network, as well as misalignment.

Detailed simulations, e.g., Buschmann, Foster, Hook, Peterson, Willcox, Zhang, Safdi arXiv:2108.05368



# Post-Inflation: Axion Domain Wall Problem

Domain walls can end on strings if

$$\int \frac{k_G}{8\pi^2} \theta \text{tr}(F \wedge F)$$

has minimal coupling  $N_{\text{DW}} = |k_G| = 1$ .

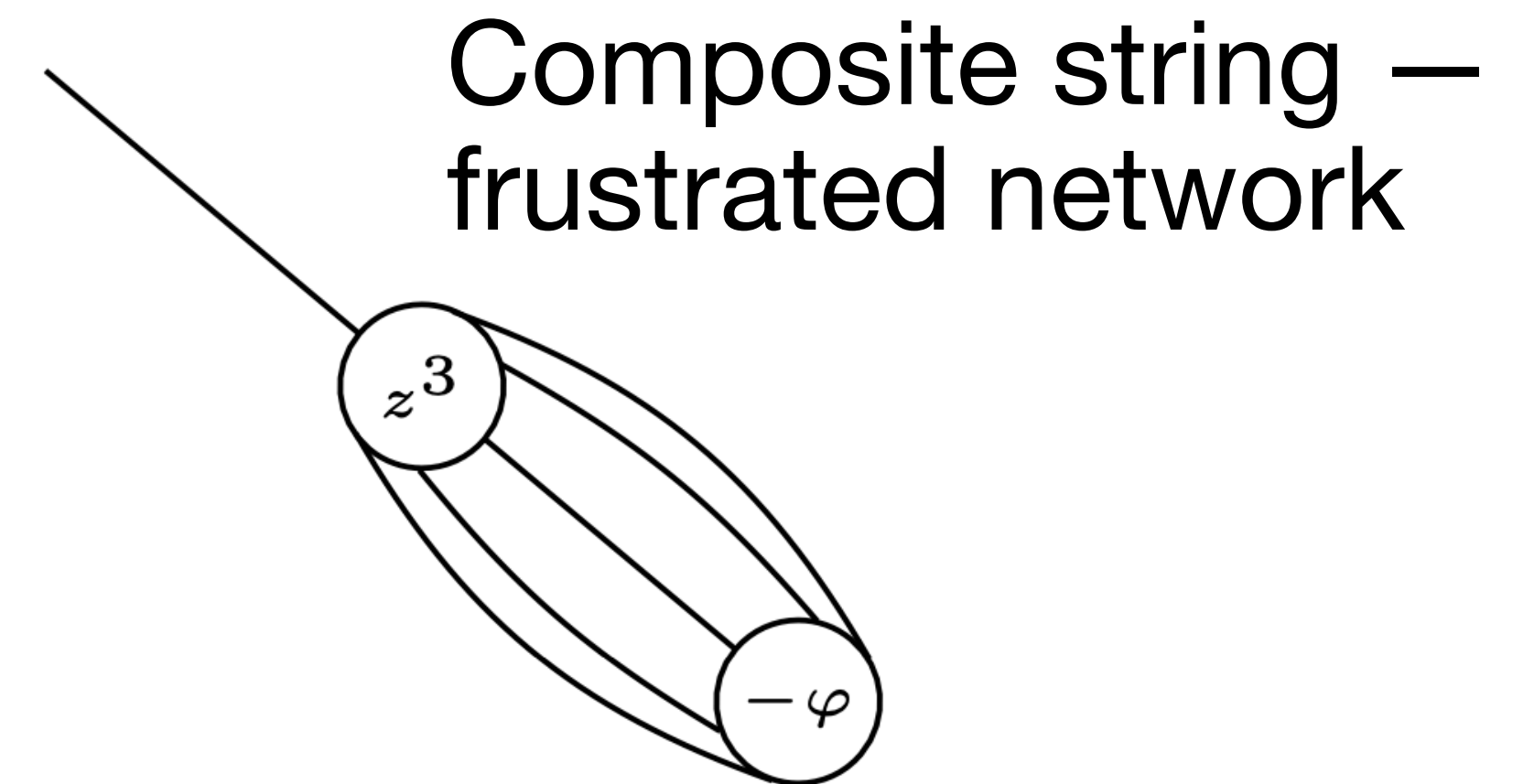
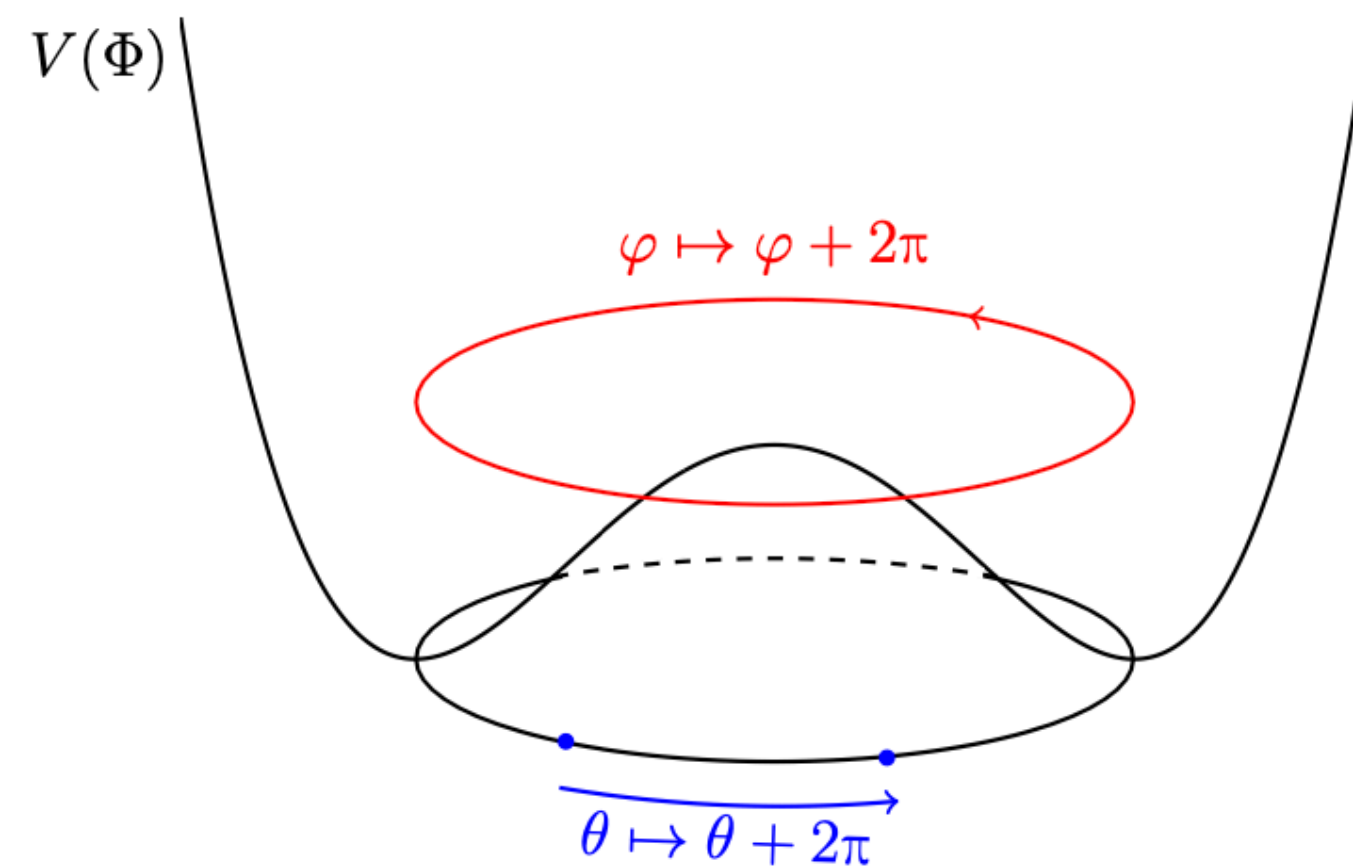
But such strings may not form, or may not be elementary! Tension w/ models for quality problem

*Example:*

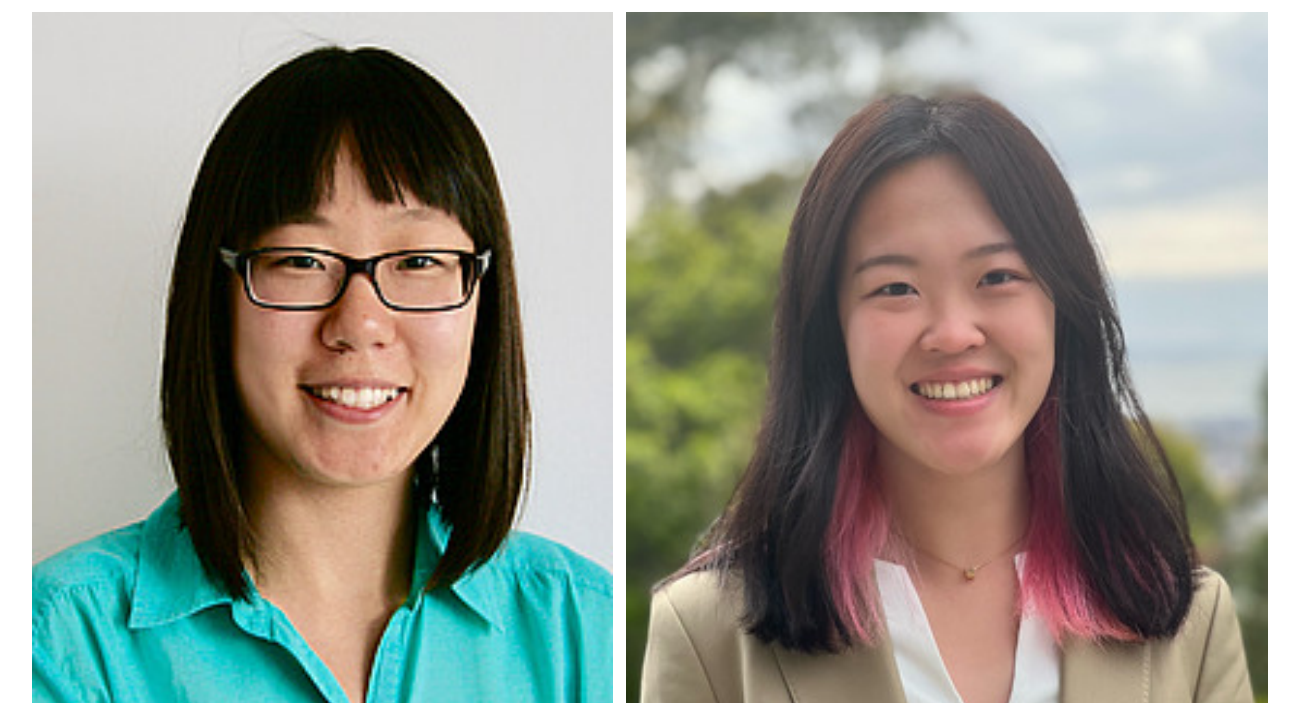
$\mathbb{Z}_p$  symmetry,

$$\Phi(x) = f e^{i\varphi(x)} = f e^{i\theta(x)/p}$$

Kibble-Zurek: string of winding  $p$



**Hard to find convincing models!**



w/ Qianshu Lu, Zhiquan Sun  
arXiv:2312.07650 [hep-ph]



# “Pre-Inflation”: Axion Isocurvature Problem

A light scalar during inflation fluctuates by  $\delta\varphi \sim H_I/(2\pi)$ . Fluctuations independent of inflaton fluctuations  $\Rightarrow$  **isocurvature**, strongly constrained.

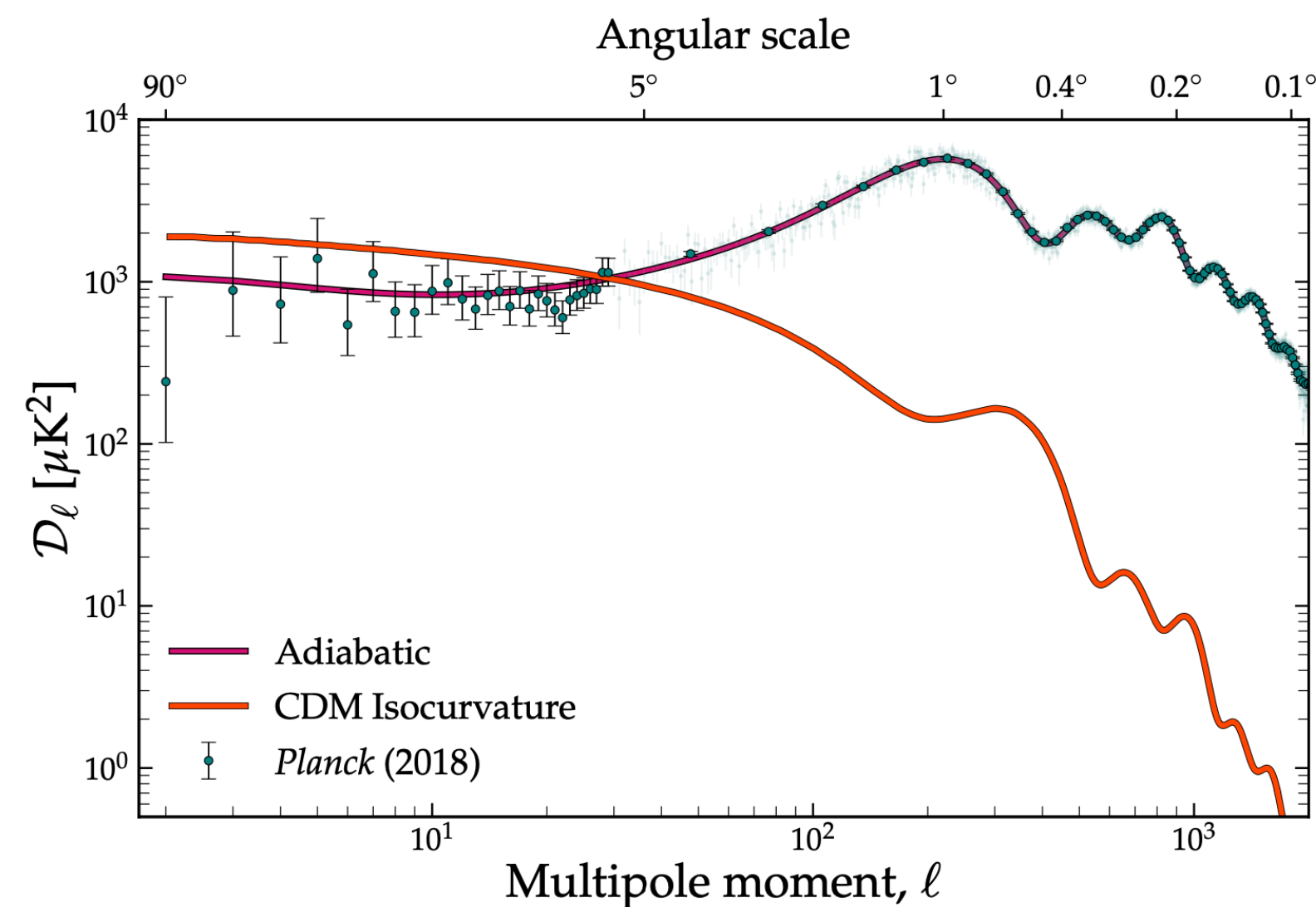


Figure from **Ciaran O’Hare’s** lectures on axion cosmology, arXiv:2403.17697 [hep-ph]

Leads to a bound

$$H_I \lesssim 3 \times 10^7 \text{ GeV} \frac{f_I}{10^{12} \text{ GeV}}$$

which is much stronger than the observational bound from (lack of) tensor modes,

$$H_I \lesssim 10^{13} \text{ GeV} .$$

Is a bound a **problem**? Not a sharp one, but the simplest and most natural inflation models are large-field (hence high-scale).

# Solutions to the Axion Isocurvature Problem

Several ideas have been discussed in the literature for opening up a wider range of  $H_I$  for a given axion decay constant. Broadly,

- Turn on larger  $|\Phi|^2$  term during inflation — back to **post-inflation**.
- **Dynamical axion mass**, heavier than  $H_I$  during inflation, e.g., make QCD very strongly coupled so  $\Lambda_{\text{QCD}}/f$  is not small. (Dvali '95, ...)

[Awkward to continuously change *exponentially tiny* number to  $O(1)$ !]

- **Dynamical axion decay constant**,  $f_I \gg f_a$  to relax bound (Linde/Lyth '90, ...)

String pheno: time-varying modulus can lead to both of the last two.

**Rest of this talk:** a new variation on dynamical axion mass.



# Eliminating Axion Isocurvature: A New Approach



w/ Prish Chakraborty, Junyi Cheng, Zekai Wang  
expected to appear on arxiv this summer

# Monodromy Mass vs. Isocurvature

An axion  $\theta \cong \theta + 2\pi$  can get a large (“monodromy”) mass from a Chern-Simons coupling to a 4-form field strength  $F^{(4)} = dC^{(3)}$ :

$$S = \int -\frac{1}{2} f^2 |d\theta|^2 - \frac{1}{2g^2} |F^{(4)}|^2 + \frac{n}{2\pi} \theta F^{(4)}, \quad n \in \mathbb{Z}.$$

[Kallosh, Linde, Linde, Susskind '95; Gabadadze '99; Silverstein, Westphal '08; Kaloper, Sorbo '08; ....]

$$\text{Axion mass: } m_{\hat{\theta}} = \frac{n}{2\pi} \frac{g}{f}.$$

## Main idea:

If  $n \in \mathbb{Z}$  is a **dynamical integer**, it could be nonzero during inflation (heavy axion, no isocurvature) and zero today (standard axion).

Change between them with a **first-order phase transition**.



# Avoiding confusion

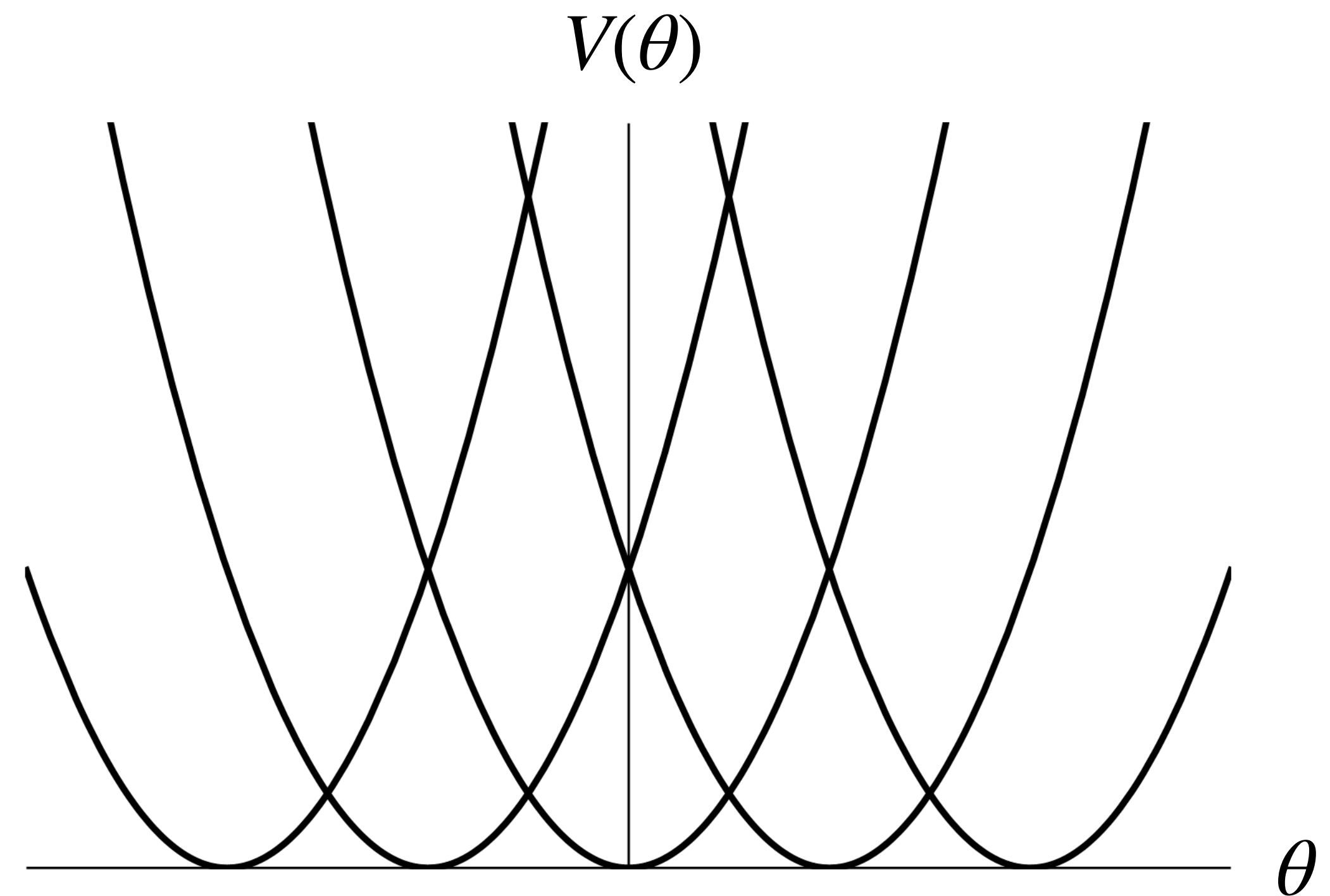
$$S = \int -\frac{1}{2} f^2 |d\theta|^2 - \frac{1}{2g^2} |F^{(4)}|^2 + \frac{n}{2\pi} \theta F^{(4)}, \quad n \in \mathbb{Z}.$$

The monodromy potential  $V(\theta)$  has **infinitely many branches** labeled by an integer

$$j = \frac{1}{e_4^2} \star F^{(4)} - \frac{n}{2\pi} \theta, \text{ and a gauge invariance}$$

$$\theta \mapsto \theta + 2\pi, j \mapsto j - n.$$

$j$ , the  $C^{(3)}$  electric field, is **always dynamical**.  
It is **not** the dynamical integer  $n$  that we wish to change in cosmology.



# Making $n$ Dynamical

Idea: the integer  $n$  is flux of higher-dimensional gauge field,  $n = \frac{1}{2\pi} \int_{\Sigma^{(q+1)}} dA^{(q)}$

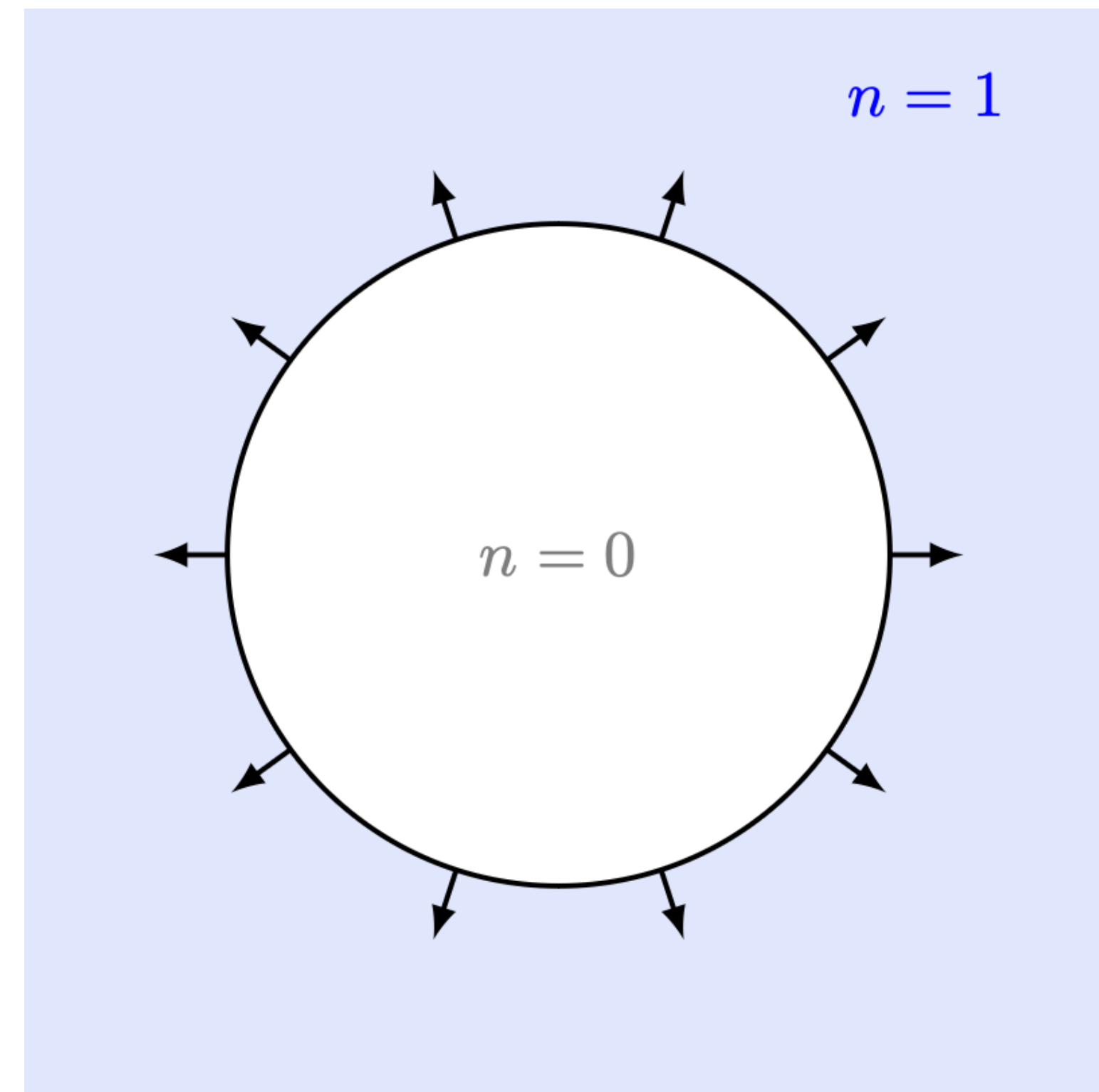
Extra-dimensional axion  $\theta = \int_{\Lambda^{(p)}} C^{(p)}$

Chern-Simons in  $n = p + q + s + 1$  extra dims:

$$\frac{1}{4\pi^2} \int_{M^{(4)} \times Y^{(n)}} C^{(p)} \wedge dA^{(q)} \wedge dC^{(3+s)}$$

$\Downarrow$

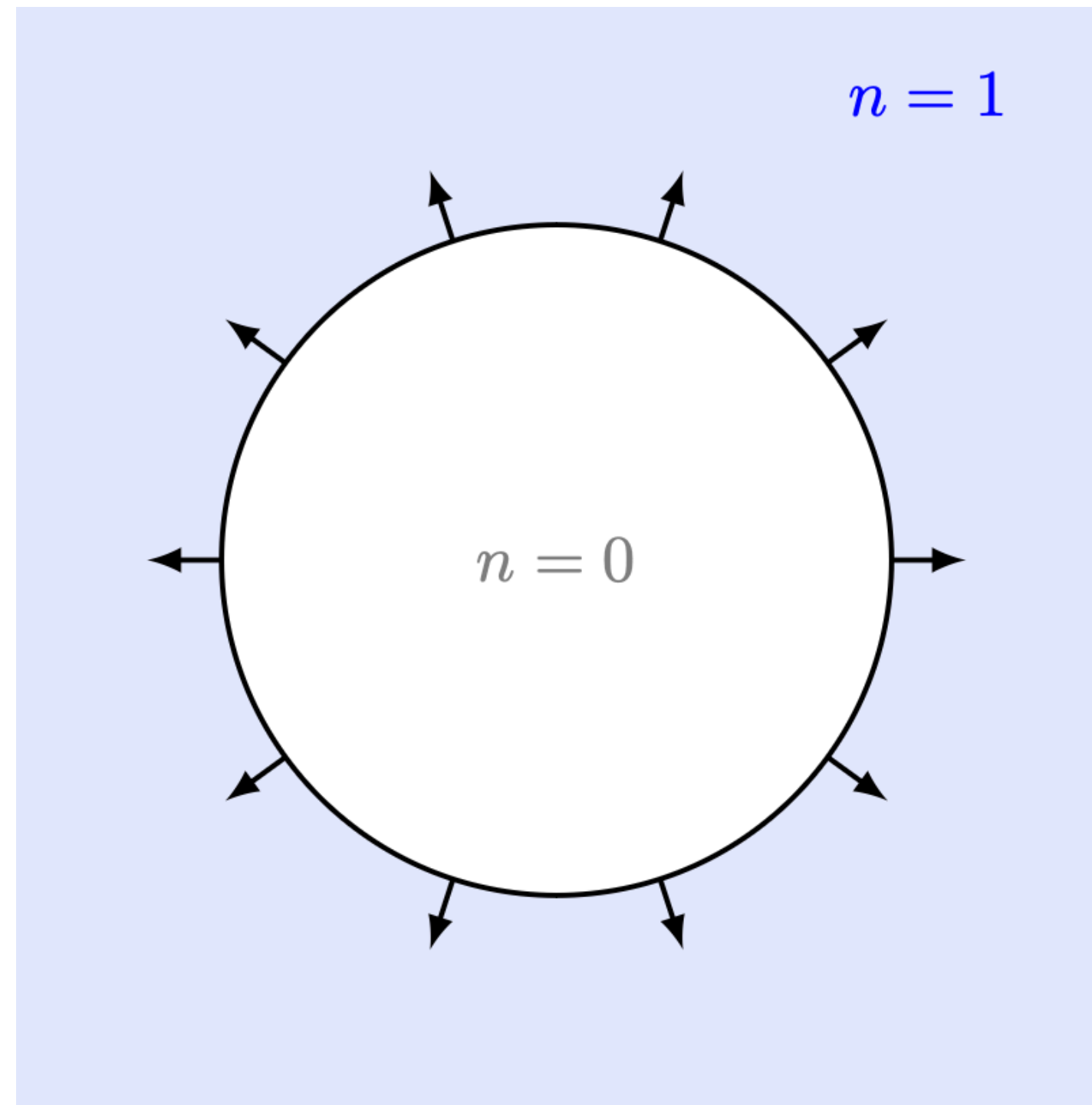
$$\int_{M_4} \frac{n}{2\pi} \theta F^{(4)}$$





# Flux Tunneling

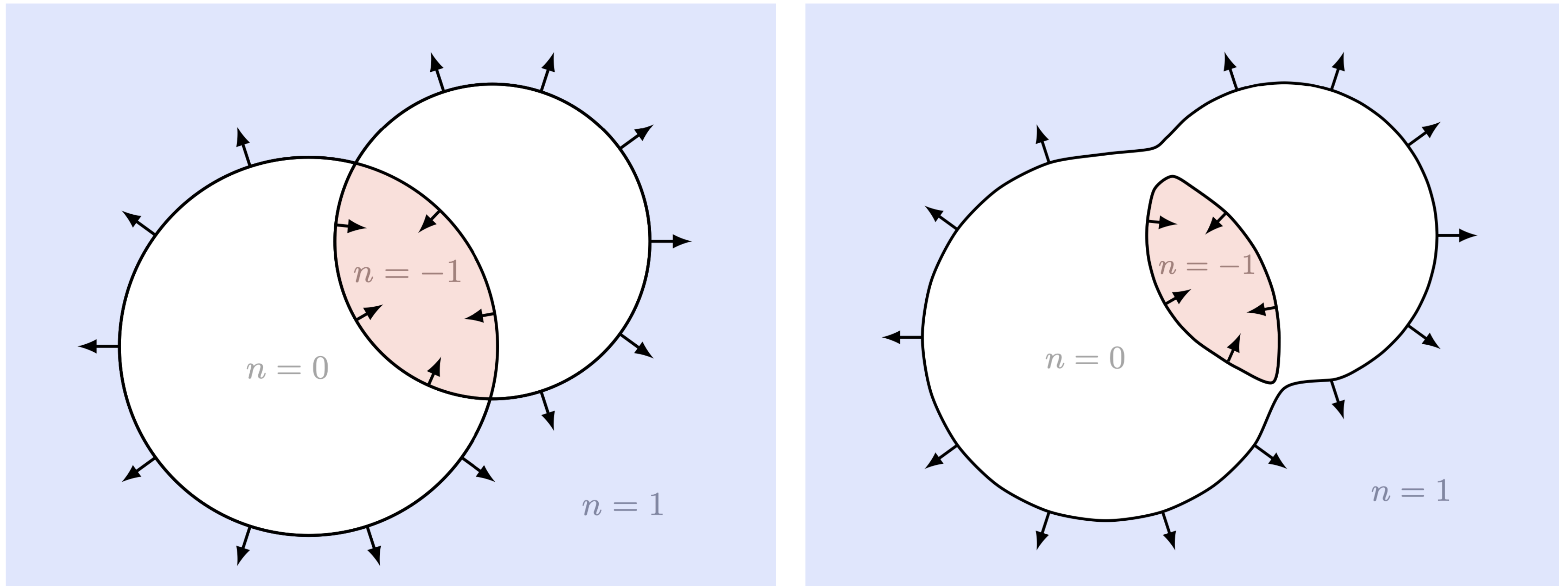
Our tunneling process must change the flux  $n = \frac{1}{2\pi} \int_{\Sigma^{(q+1)}} dA^{(q)}$ .



This can only happen by nucleating a dynamical **magnetically charged brane** for  $A^{(q)}$ . This has  $4 + n - q = 3 + r + s$  dimensions. Wrapping the  $r + s$  internal dimensions transverse to  $\Sigma^{(q+1)}$ , we have a **domain wall** in  $(3+1)d$ .

(see, e.g., Blanco-Pillado, Schwartz-Perlov, Vilenkin '09, but details differ — we do *not* want a Freund-Rubin compactification, our flux is through a cycle in a larger geometry)

# Bubble Mergers



Provided the  $n = 0$  state has lowest vacuum energy, we expect colliding branes to reconnect and the  $n \neq 0$  regions to collapse.



# Flux Tunneling at the End of Inflation?

Need to suppress isocurvature:  $|n| > 0$  during inflation. Drops to 0 after.

Vacuum energy contribution  $V(n)$  from flux energy density: could that provide the energy driving inflation?

“Graceful exit” problem of old inflation: need to make bubble nucleation rate time-dependent.  $\Gamma(t) < H(t)^4$  until some critical time  $t_*$ .

Scenarios:

- Inflaton  $\phi$  affects  $\Gamma(t)$ , e.g., brane tension  $\mathcal{T}(\phi)$  dynamical.
- Tunneling as inflation is ending,  $H(t)$  starts to drop rapidly.

$$S = \int -\frac{Z_n}{2} |d\phi|^2 - \frac{1}{2} f_n(\phi)^2 |d\theta|^2 - \frac{1}{2g_n(\phi)^2} |F^{(4)}|^2 + \frac{n}{2\pi} \theta F^{(4)} + V(\phi, n) + h(\phi) \mathcal{T} \delta^{(2)}(M)$$

# String Theory Embedding?

All the *ingredients* exist in string theory, e.g.:

Type IIA model with D6 branes,  $\theta = \int_{\alpha} C^{(3)}$ , dynamical integer  $n = \int_{\beta} H^{(3)}$ ,  
axion mass from  $C^{(3)} \wedge dC^{(3)} \wedge H^{(3)}$ .

The bubble wall is an NS5 brane wrapped on  $\beta$ .

**Inside the wall:** D6's wrapped on  $\beta$  for realizing Standard Model.

**Outside the wall:** obstructed by  $H^{(3)}$  flux on  $\beta$ .

**Dynamical emergence of chirality after inflation?** (Potential implications for baryogenesis, Festina Lente bound, ....)

# Conclusions

- Axions play an important role in quantum gravity.
- Conventional 4d QCD axion models face serious cosmological challenges.
- Extra-dimensional axions primarily face the axion isocurvature problem: difficult to combine with high-scale inflation.
- Possible scenario: time-dependent moduli fields after inflation change the value of the decay constant.
- **Novel scenario:** first-order phase transition from large tree-level axion mass during inflation to zero mass afterward. Implications for reheating, gravitational waves, and more. Can we find a realistic version of this scenario?